

2008-2009 ALLOTMENT POLICY MANUAL



**PUBLIC SCHOOLS OF NORTH CAROLINA
STATE BOARD OF EDUCATION
DEPARTMENT OF PUBLIC INSTRUCTION
FINANCIAL AND BUSINESS SERVICES
DIVISION OF SCHOOL BUSINESS**



www.ncpublicschools.org/fbs/allotments/

 Public Schools of North Carolina	
 North Carolina Department of Public Instruction	
<u>Allotment Policy Manual Changes for FY 2008-09</u>	
General Changes:	<p>Dates and Years as appropriate</p> <p>Policy contact names and numbers when appropriate</p> <p>Chart of Accounts code changes as needed</p> <p>Statutory References as needed</p>
General Information Section:	Modifications
Employers Benefit Cost	<p>Changed: Retirement rate changed from 7.83% to 8.14%</p> <p>Changed: Hospitalization rate changed from \$4,097 to \$4,157</p>
Substitute Pay	Changed: Non-Certified Rate from \$68 to \$69 per day. Certified rate changed from \$88 to \$90 per day.
ABCs Tranfer of Funds	Corrected: Classroom Teachers funds (PRC 001) are no longer allowed to transfer into Waivers to Dollars for Certified Personnel (PRC 010).
Acts of Violence	Changed: LEAs should include the following information when submitting claims for episodes of violence: • A copy of the physician's statement and/or the Board's designation of Act of Violence was changed to •A copy of the physician's statement and the Board's designation of Act of Violence
Added:	Policies
General Section:	None
State Section:	Focused Education Reform Pilot Program - (PRC 071)
Federal Section:	ESEA Title I - School Improvement – 1003G - (PRC 117)
Deleted:	Policies
General Section:	Mentor Pay
State:	
Federal:	
Changes to State Policies:	Modifications
ABC Incentive Awards (PRC 033)	Added Special Provision: The actual dollar payment for full-time employees must be <u>at least</u> the same level as appropriated by the State; but, cannot exceed the maximum payment allowed by legislation.
	Added Special Provision: Payments exceeding the appropriated incentive award amount to an eligible individual, must be outlined in a school's improvement plan, or a local board's approved uniform regulation which had to be in effect during the school year for which the payments are associated. The total State amount paid for incentive award payments by a local education agency cannot exceed the total State appropriation for that purpose.
	Changed: Eligible Chart of Accounts codes for eligible employees based on the new Chart of Accounts in effect for FY 2007-2008.

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Changes to State Policies:	Modifications
At-Risk Student Services/Alternative Schools (PRC 069)	Removed Special Provision: \$500,000 is reserved for the State Board of Education to be used for special projects for At-Risk students.
Charter Schools (PRC 036)	Added Special Provision: The State Board of Education may spend up to \$50,000 from State Aid to Local School Administrative units for the 2008-09 fiscal year to evaluate charter schools. (HB 1473, Section 7.16(a))
Child and Family Support Teams - Nurses (PRC 042)	Changed: Conversion rate from \$59,736 to \$61,046
Child and Family Support Teams - Social Workers and Other (PRC 043)	Changed: Conversion rate from \$59,736 to \$61,046
Children with Disabilities (PRC 032)	Changed: Ages 5-20 to 5-21
Classroom Teachers (PRC 001)	Added Special Provision: 12. If a Local School administrative unit employees more classroom teachers than they allotted to it due to a projected increase in student population resulting from BRAC activity, the State Board shall allot additional teachers to the unit based on the greater of (i) the local school administrative unit's first month ADM or (ii) 50% of the projected increase in ADM resulting from BRAC activity that is in excess of the increased anticipated in the allotted ADM. (HB 2436, Section 7.23 (a))
Disadvantaged Students Supplemental Funding (PRC 24)	Changed: The targeted allotment ratios for the Fundable Disadvantaged Population are: <ul style="list-style-type: none"> • If low wealth % (per low wealth supplemental funding formula) is > 90%, one teacher per 19.9 students • If low wealth % is >= 80% but <= 90%, one teacher per 19.4 students. • If low wealth % is < 80%, one teacher per 19.1 students. • If an LEA received DSSF funds in FY 2005-06, one teacher per 16 students. These 16 LEAs will not receive less funding than they received in FY 2006-07.
	Added Special Provision: If a local school administrative unit's wealth increases to a level that adversely affects the unit's DSSF allotment ratio, the DSSF allotment for that unit shall be maintained at the prior year level for one additional fiscal year. (HB 1473, Section 7.1(d))
	Changed Special Provision: LEA plans must be approved by appointed agent of State Board of Education. Funding will be pulled back from LEAs if plans are not approved by the date set by the appointed agent.
Learn and Earn (PRC 055)	Added Special Provision: The Department of Public Instruction may use up to \$430,000 in funds appropriated for Learn and Earn Online for 14 planning grants for Learn and Earn sites in the 2008-09 fiscal year. (HB 2438, Section 3.4)
Low Wealth Supplemental Funding (PRC 031)	Added Special Provision: For FY 2008-09 only, funding was maintained at the FY 07-08 Levels plus Legislative salary increase and the restoration of 40% of the loss for LEAs losing funding from 06-07 to 07-08. (HB 2436, Section 7.10(a))

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Changes to State Policies:	Modifications
Mentor Positions (PRC 022)	<p>Changed Formula: The State Board of Education shall allot funds for mentoring services Mentor Pay to local school administrative units based on the highest number of employees in the preceding three school years who (i) are paid with State, federal, or local funds and (ii) are either teachers paid on the first or second steps of the teacher salary schedule or instructional support personnel paid on the first step of the instructional support personnel salary schedule. The allocation is dollars per eligible personnel.</p> <p>Added Special Provision: Requirement for NBTS Teachers to be Full-Time Mentors G.S. 115C 296.2(e1)</p>
Non-Instructional Support (PRC 003)	<p>Added Special Provision: For 2008-09 only, the General Assembly appropriated \$5 million dollars for one day of Personal Leave substitute reimbursement for eligible employees. The allocation is made based on dollars per ADM.</p>
School Connectivity (PRC 073)	<p>Added Special Provision: Up to \$600,000 may be transferred annually through June 30, 2013, to the Friday Institute of North Carolina State University to evaluate the effectiveness of using technology and its impact on 21st Century Teaching and Learning outcomes approved by the State Board of Education. (HB 2436, Section 7.6 (a))</p>
	<p>Added Special Provision: Of the funds allocated, the sum of \$250,000 may be used to sustain the Education E-learning Portal. (HB 2436, Section 7.6(b))</p>
	<p>Added Special Provision: Up to \$300,000 may be transferred to the Office of the Governor to establish NC Virtual within the Education Cabinet. These funds may be used for services to coordinate E-learning activities across the State educational agencies. (HB 2436, Section 7.28 (f))</p>
	<p>Added Special Provision: The State Board of Education may use up to \$1 million to establish up to eight regional positions or contract services regionally to assist local school administrative units in implementing the Initiative. (HB 2436, Section 7.28 (h))</p>
School Technology Pilot (PRC 081)	<p>Added Special Provision: Up to \$100,000 may be used to contract with an independent research organization to study the effectiveness of the pilot program on student achievement, to complete a cost-benefit analysis, to make recommendation for improvements in the program, and to make recommendations regarding the possible continuance of expansion of the program. (HB 1473, Section 7.39 (a))</p>
Changes to Federal Policies:	Modifications
ESEA Title I - Migrant Education (PRC 051)	<p>Changed: Term from 15 months with no liquidation to 15 months with a 90-day liquidation.</p>
	<p>Added Special Provision: Funds used for Administration is capped at 20%.</p>
ESEA Title I - School Improvement (PRC 105)	<p>Changed Formula: Base Allotments increased per range; per ADM Amount is weighted based on year of sanction.</p>
IDEA VI-B - Capacity Building and Improvement (PRC 044)	<p>Added Purpose: Five Directives by the Department of Public Instruction.</p>

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IDEA VI-B (PRC 060)	Added Special Provisions: Special Provisions were added for changes in the legislation regarding Early Intervening Services.
Changes to Federal Policies:	Modifications
IDEA VI-B - Preschool (PRC 049)	Deleted: Set Aside language for Out-of-District Placement and Group Homes
IDEA VI-B - Early Intervening Services (EIS) (PRC 070)	Changed Purpose: The Purpose of PRC 070 is now specifically for Early Intervening Services.
IDEA VI-B - Special Education State Improvement Grant (PRC 082)	Deleted Special Provision: Funds can not be transferred into this PRC from PRC 060.
Learn and Serve America (PRC 064)	Changed Special Provision: The limit for equipment purchases requiring approval has been lowered from \$5,000 to \$1,000.
Title IV 21st Century Community Learning Centers (PRC 110)	Changed Special Provision: The minimum amount of an allotment to eligible entities is changed from \$100,000 to a range from \$50,000 - \$300,000.
	Added Special Provision: Unallotted funds may be used to provide additional monies to existing grantees to support operational expenses. Funds will be distributed based on documentation of need.
Title IV 21st Century Community Learning Centers - Summer Mini Grants (PRC 113)	Changed Special Provision: The minimum amount of an allotment to eligible entities is changed from \$15,000 to \$5,000.

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DEFINITION OF TERMS

1. **ABCs Flexibility** – In 1996, the General Assembly approved a law to give the State Board of Education the authority to implement the ABCs. The ABCs assign more responsibility at the school building level and allow schools flexibility to use funds as they are most needed at the school.
2. **Add-on** - Categorical dollars allocated over and above the base allotment.
3. **Allotted ADM** - The higher of the first two months total projected ADM for the current year or the higher of the first two months total prior year ADM.
4. **Apportionment of Local Funds** - The certification of the distribution of local current expense funds by the State Board of Education when there is more than one LEA in a county.
5. **Average Daily Membership (ADM)** - The sum of the number of days in membership for all students in individual Local Education Agencies (LEAs), divided by the number of school days in the term.
6. **CFDA #** - A number that is assigned to each federal program for which a grant is awarded. [The Catalog of Federal Domestic Assistance](#) contains information for all federal grant programs such as grant authorization, who is eligible to apply, etc.
7. **Carryover** – Funds appropriated but unspent in the first fiscal year that are brought forward for expenditure in the succeeding fiscal year. Most allotments that carry over must be approved annually by the State Budget Office.
8. **Categorical Allotments** – Local school systems may use this funding to purchase all services necessary to address the needs of a specific population or service. The local school system must operate within the allotted funds. These funds may be used to hire personnel such as teachers, teacher assistants, and instructional support personnel or to provide a service such as transportation, staff development, or to purchase supplies and materials.
Examples: At Risk Student Services, Transportation, Children with Disabilities.
9. **Charter Schools** – A public school operated by a group of parents, teachers, and/or community members as a semi-autonomous school of choice within a school district operating under a “charter” with the State Board of Education. The final approval of all charters is granted by the State Board of Education. Charter schools are designed to give significant autonomy to individual schools and in turn hold these schools accountable for results.
10. **Dollar Allotments** – Local school systems can hire employees or purchase goods for a specific purpose, but the local system must operate within the allotted dollar amount.
Examples: Textbooks, Central Office Administration, Teacher Assistants.
11. **Dollars per ADM** - LEA's Initial Allotments divided by the allotted ADM for that LEA. Charter schools receive an amount equal to the state funded dollars per ADM for the LEA in which the school is located or (for new charters) in which the student was previously enrolled.

12. **Dollars per Child Count** - Funds generated for school-aged children with special needs divided by the LEA's April 1 total child count (not capped). Charter schools receive an amount equal to the LEA's state funded dollars per child count for the LEA in which the child was identified.
13. **ESEA** - Elementary and Secondary Education Act of 1965. This act was amended by the No Child Left Behind Act of 2001.
14. **Full-time Equivalent** (FTE) - Sum of part-time positions employed when added together equate to a full-time position used within a classification.
- Example:** Two one-half time positions equate to one full-time equivalent position.
15. **Grade Level Proficiency** – Performance standards, called achievement levels, are one way that scores on the North Carolina End-of-Course Tests are reported. Students that score at or above level III are considered to be grade level proficient. Listed below are the four achievement levels:
- Level I: Students performing at this level do not have sufficient mastery of knowledge and skills of the course to be successful at a more advanced level in the content area.
- Level II: Students performing at this level demonstrate inconsistent mastery of knowledge and skills of the course and are minimally prepared to be successful at a more advanced level in the content area.
- Level III: Students performing at this level consistently demonstrate mastery of the course subject matter and skills and are well prepared for a more advanced level in the content area.
- Level IV: Students performing at this level consistently perform in a superior manner clearly beyond that required to be proficient in the course subject matter and skills and are very well prepared for a more advanced level in the content area.
16. **Handicapped (Children with Disabilities)** – Mentally retarded, hearing impaired, deaf, speech/language impaired, visually handicapped, autistic, behaviorally/emotionally disturbed, orthopedic impairment, other health impaired, traumatic brain injury, deaf-blind, multi-handicapped, or specific learning disabled students who need special education and related services.
17. **Headcount/Child Count** - This term refers to the process of actually counting the number of pupils who are defined as Children with Special Needs or Limited English Proficient. For state purposes, children with special needs include handicapped and pregnant students.
- For federal purposes, children with special needs include only the handicapped.
- The federal government requires child counts of children with special needs who have been properly identified, are receiving special education and related services on the child count day, and have an individual education plan (IEP) or an individualized family service plan (IFSP). The December 1 IDEA Title VI-B Handicapped child count includes those children ages 3 to 21 who are being served by LEAs, charter schools, or state-operated programs. State law requires an adjusted child count on April 1 of children ages 5 to 20 who are being served by LEAs or charter schools and ages 3 to Pre-K-5 for Preschool handicapped funds. The April child count includes additions or deletions to the December 1 child counts and is used for state funding purposes.

18. **IDEA** - Individuals with Disabilities Education Act replaced the EHA - Education of Handicapped Act for Title VI-B. Includes Title VI-B and Title VI-B Preschool Handicapped.
19. **Initial Allotments** – The allocation of state and federal funds to LEAs occurring after adjournment of the General Assembly.
20. **Months of Employment** - A unit of employment corresponding to a calendar month. The state allots a certain number of months of employment which can be assigned to a position, or a portion of a position, at the discretion of the LEA. Months of employment pertain to all position allotments which include Career Technical Education and School Building Administration (Assistant Principals).
- Example: If a LEA is allotted 100 months of employment, that LEA can hire 10 Career Technical Education teachers for 10 months; or 8 teachers for 12 months and 1 teacher for 4 months; or any other combination which equals 100 months. Each allotment category must be reviewed for any special restrictions.
21. **NCLB** - On January 8, 2002, President Bush signed into law the **No Child Left Behind** Act of 2001. The Act is the most sweeping reform of the Elementary and Secondary Education Act (ESEA) since ESEA was enacted in 1965. It redefines the federal role in K-12 education and will help close the achievement gap between disadvantaged and minority students and their peers. It is based on four basic principles: stronger accountability for results, increased flexibility and local control, expanded options for parents, and an emphasis on teaching methods that have been proven to work.
22. **NC WISE** – North Carolina Window of Information on Student Education, NC WISE, is a web-based, integrated and secure tool that will replace SIMS to effectively manage student information and improve instruction in North Carolina schools. NC WISE provides teachers, principals, counselors, nurses, central office staff, and others with direct and immediate access to a full spectrum of data on a student's entire career in the North Carolina school system. NC WISE supports federal and state reporting requirements associated with No Child Left Behind, ABCs Accountability, School Report Card, and Closing The Gap.
23. **Planning Allotments** – The tentative allocation of state and federal funds to LEAs to provide information for budgeting purposes. These allotments occur during February, preceding the fiscal year for which the initial allotment will be made.
24. **Positions** - Positions equate to the full-time equivalent individuals that can be assigned for the employment period represented by the allotment category. For example, a position in the classroom teacher allotment represents an employment period of 10 months. The number of full-time equivalent individuals that can be employed is limited to the number of months associated with the positions allotted by the state.
25. **Position Allotments** – The state allots positions to a local school system for a specific purpose. The local school system pays whatever is required to hire certified teachers and other educators based on the [State Salary Schedule](#), without being limited to a specific dollar amount. Each local school system will have a different average salary based on the certified personnel's experience and education. For more information see the State Salary Schedule Manual.

Example: Teachers, School Building Administration (Principals) and Instructional Support Personnel.

26. **School** - An organizational subdivision of a school system consisting of a group of pupils composed of one or more grade groups, organized as one unit with an assigned principal, or person acting in the capacity of principal, to give instruction of the type defined in the N.C. Standard Course of Study, and housed in a school plant of one or more buildings. (See #9 for definition of *charter school*.)
27. **Student Accounting** - The data collection system regarding student enrollment, membership, attendance, and withdrawal from membership in the public schools. The Student Information Management System (SIMS), North Carolina Window of Information on Student Education (NC WISE) or other Uniform Education Reporting System (UERS) compliant systems, are the official means by which this data is reported to the Department of Public Instruction.
28. **Tydings Amendment** - Federal law provides that certain federal funds not obligated during the first year of allotment shall remain available for obligation and expenditure for one additional year. Federal grant periods vary; therefore, each grant must be reviewed to determine if the Tydings Amendment will apply. Since the federal fiscal year begins October 1st and the state fiscal year begins July 1st, many grant periods can be active up to 27 months when provisions of the Tydings Amendment are applicable.
29. **UERS** – Uniform Education Reporting System. UERS is the comprehensive system by which LEAs and Charter schools report data electronically to the Department of Public Instruction. Some components of UERS include SIMS (Student Information Management System) and expenditure reporting in compliance with the [Uniform Chart of Accounts](#).
30. **Year Round Education** - Reorganization of the school calendar into instructional blocks with vacations distributed across the calendar year so that learning is continuous throughout the year.

EMPLOYER BENEFIT COSTS

PROGRAM REPORT CODE:	Various
UNIFORM CHART OF ACCOUNTS CODE:	XXX-XXX-2X1
STATUTORY REFERENCE:	Session Law 2005-76, HB 2436, Sections 26.22

Benefit Rates:

Hospitalization	\$4,157 per year per full-time equivalent position
Social Security	7.65%
Retirement	8.14%

Effective July 13, 1989, a person must be a permanent employee as defined in the North Carolina Public School [Benefits and Employment Policy Manual](#), and must be employed for thirty or more hours per week in order for an LEA to submit the employee for enrollment in the State Retirement System and the State Health Insurance System. Charter schools may elect to participate in the State Retirement System and the State Health Insurance System. Charter school decisions to enroll in the State Retirement System and State Health Insurance system are final.

Effective July 1, 1995, all employer salary-related contributions for full-time permanent employees, including hospitalization benefits, shall be prorated based on the actual percentage employed in each expenditure code.

APPORTIONMENT OF LOCAL FUNDS

In accordance with **G.S. 115C-430**, if there is more than one LEA in a county, all appropriations by the county to the local current expense funds of the LEAs, except appropriations funded by supplemental taxes levied less than county wide, must be apportioned according to the membership of each LEA. Membership for each LEA will be based on the allotted ADM. Students residing outside the county will be deducted from membership before the apportionment of local funds is calculated.

Membership for city LEAs located in more than one county will be divided on a percentage basis according to the number of students residing in each county at the end of the second school month of the prior year.

If a Charter school is established within a LEA whose borders extend into more than one county, a breakdown of student membership for each of those counties will be obtained from the Charter School. This information will be used to calculate the revised ADM figures for the per capita distribution of local funds for the school system. As of the 2004-05 school year, Kannapolis City Schools and Nash-Rocky Mount Schools have borders that extend into more than one county.

To comply with the School Budget and Fiscal Control Act, the membership for the subject LEAs will be determined and certified to the LEA and the board of county commissioners by the **Division of School Business**.

TEXTBOOKS FOR THE VISUALLY IMPAIRED

LEAs may order Braille, large print, and audio-cassette tape copies of textbooks for children with disabilities whose Individualized Education Programs state that such modified textbooks are necessary to meet their unique learning needs.

Orders for modified textbooks must be submitted to the State Textbook Warehouse. These orders will be filled on a first-come first-served basis until the available state funds have been depleted. Once the fund for modified textbooks has been depleted, LEAs can use their textbook credit balance account to purchase modified textbooks.

Textbook Services shall have the authority to recall the modified textbooks for redistribution when no longer needed by children with special needs in any LEA.

Local boards of education may:

1. Select, procure, and use textbooks that have not been adopted by the State Board of Education for use throughout the local school administrative unit for selected grade levels and courses; and
2. Approve school improvement plans developed under **G.S. 115C-105.22** that include provisions for using textbooks that have not been adopted by the State Board of Education for selected grade levels and courses.

All textbook contracts made under this subsection shall include a clause granting to the local board of education the license to produce Braille, large print, and audiocassette tape copies of the textbooks for use in the local school administrative unit.

MERGED LEAs

If two or more LEAs are consolidated into one LEA, the following allotments shall not be less than those same allotments to the separate LEAs for the first and second full fiscal years of the consolidation and shall be used for the continuation of the positions and programs, except as specifically authorized by the State Board of Education.

1. Central Office Administration
2. Career Technical Education Months of Employment
3. Children with Special Needs - Preschool Handicapped
4. Limited English Proficiency

Additionally, individual LEAs with June 30 allotment balances that are subject to carryover provisions will be consolidated by respective category for all LEAs within the merger and re-allotted in total to the consolidated LEA.

For additional information related to minimum local funding of merged LEAs, see **G.S. 115C-68.1**, as enacted by the 1991 General Assembly.

ALLOTMENT ADJUSTMENTS FOR ADM GROWTH

PURPOSE:

The contingency reserve was established to support the average daily membership (ADM) based allotments. The reserve is to be used in cases where first month Average Daily Membership exceeds the original anticipated ADM used for allotments. Increases will be made in the Classroom Teacher, Instructional Support, Non-instructional Support, Teacher Assistant, Classroom Materials and Supplies, Textbook, and Career Technical Educational Program Support categories as applicable.

OBJECTIVE:

In administering this allotment, the intent is to first ensure that adequate school-based resources are provided to meet the average daily membership as of the first month of school, per LEA request. The reserve will be allotted to LEAs on a case-by-case basis according to need. Secondly, (within available state funding) the reserve will be utilized to relieve class size overage problems as they may occur during the school year after the allotment adjustment for ADM growth. In the case of class size overage adjustments after the first month, allotments will only be made for regular teachers as authorized by **G.S. 115C-301**.

ADMINISTRATION:

These adjustments are to ensure that adequate school-based resources are provided (within appropriated funding/formulas) to meet the requirements after the first month of school per LEA request.

The allotment adjustments are based on first month average daily membership reports as submitted by the LEAs to the **Division of School Business**.

After the first month of school, a LEA can request additional resources due to extraordinary student population growth that results in significant class size overage problems. Each request will be reviewed based on the criteria outlined below:

- The LEA's current month Average Daily Membership (ADM) will be compared to the LEA's Allotted ADM.
- Overall growth must be at least 2% or 100 students and the growth must have created significant class size overage problems that cannot be resolved by the LEA.
- Less growth will be considered if the growth and class size problem occurs within a specific grade or grade spans K-3, 4-8, 9-12, or within classes for children with disabilities.
- Individual school class sizes and the type of class structures (blocked, combined, etc.) will be examined. If reorganization or class restructuring can absorb the growth, additional allocations will not be made.
- The LEA must show a need for funding not already included in the regular ADM teacher allotment or children with disabilities programs.

- With receipt of a LEA's request, the Department of Public Instruction will verify that sufficient State resources are available to meet the LEA's request and will notify the LEA:
 - If additional funding will be allotted to cover the LEA's ADM growth, or
 - If the LEA's request for additional funding for ADM growth has been denied.

Additional funds will be allotted, within available funds, on a case-by-case basis. The State Board of Education, in accordance with **G.S. 115C-416**, may specifically authorize use of the reserve (within available state funding) on a case-by-case basis where additional resources are required to meet legislative mandates.

ALLOTMENT ADJUSTMENTS FOR ADM DECREASES

PURPOSE:

In accordance with HB 1473 Section 7.14 (b), decreases to allotments must be made if the original anticipated ADM used for allotments exceeds the higher of the first or second month Average Daily Membership (ADM) by at least 2% or 100 students. The funds from this adjustment will be added to the ADM Contingency Reserve to be used in cases where first month Average Daily Membership exceeds the original anticipated ADM. Decreases are made in the Classroom Teacher, Instructional Support, Non-instructional Support, Teacher Assistant, Classroom Materials and Supplies, Textbook, and Career Technical Educational Program Support Categories as applicable.

OBJECTIVE:

In administering this reduction, the intent is to redistribute funds to ensure that adequate school based resources are provided to meet the average daily membership as of the first month of school.

ADMINISTRATION:

The allotment adjustments are based on the higher of the first or second month average daily membership reports as submitted by the LEAs to the **Division of School Business**.

After the second month of school, the School Allotment Section will select the higher of the first two months of school ADM to determine if a decrease in funding is required. Each LEA will be reviewed based on the criteria outlined below:

- The LEA's higher of first or second month Average Daily Membership (ADM) will be compared to the LEA's Allotted ADM.
- Overall decrease must be at least 2% or 100 students to require an adjustment.
- The reduced allotment shall be based on the higher of first or second month ADM plus one-half of the number of students overestimated in the anticipated average daily membership.

SUBSTITUTE PAY

PROGRAM REPORT CODE: XXX
 UNIFORM CHART OF ACCOUNTS CODE: XX00-XXX-16X
 STATUTORY REFERENCE:

With the ratification of the School Flexibility and Accountability Bill (House Bill 6) in July of 1995, Substitute Pay for classroom teachers and instructional support personnel is allotted with Non-Instructional Support Personnel (PRC 003). Substitute Pay from other State allotments such as Career Technical Education, Staff Development, and Children with Disabilities, as well as federal programs, may still be paid from their respective categorical allotments.

Substitutes who hold teacher certificates shall receive a minimum of 65% of the daily pay rate of an entry-level teacher with an "A" certificate (\$90) per day for FY 2008-09. Substitutes who are non-certified shall receive a minimum of 50% of the daily pay rate of an entry-level teacher with an "A" certificate (\$69) per day for FY 2008-09. The pay for non-certified substitutes shall not exceed the pay of certified substitutes. Deductions in salaries for teachers on leave who require a deduction in salary for substitute pay shall be at a standard rate of \$50.00 per day.

In accordance with **G.S. 115C-12(8) Section 18.4**, the \$50 deducted from a teachers pay will be reallocated to Non-instructional Support Personnel (PRC 003) on a monthly basis. The additional allocation is based on absence codes included on teacher payroll records.

For additional information regarding the payment of substitute pay please reference the Salary Manual at www.ncpublicschools.org/fbs/finance/salary/ or the Employee Benefits Manual at www.ncpublicschools.org/fbs/personnel/benefits/.

ANNUAL LEAVE

PROGRAM REPORT CODE: 009
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-009-188
 STATUTORY REFERENCE: G.S. 115C-316

No allotment is made for annual leave. Eligible expenditures will be covered as reported through UERS (Uniform Education Reporting System) for LEAs.

For additional information regarding the payment of annual leave please reference the Salary Manual at www.ncpublicschools.org/fbs/finance/salary/ or the Employee Benefits Manual at www.ncpublicschools.org/fbs/personnel/benefits/.

LONGEVITY

PROGRAM REPORT CODE: 009
 UNIFORM CHART OF ACCOUNTS CODE: XXX-009-184
 STATUTORY REFERENCE: G.S. 115C-316 and HB 1473, Section 7.1 (c)

No allotment is made for longevity. Eligible expenditures will be covered as reported through UERS for LEAs.

Longevity Schedule	
Years of Total State Service	Longevity Pay Rate
10 but less than 15 years	1.50 percent
15 but less than 20 years	2.25 percent
20 but less than 25 years	3.25 percent
25 or more years	4.50 percent

For additional information regarding the payment of longevity please reference the Salary Manual at www.ncpublicschools.org/fbs/finance/salary/ or the Employee Benefits Manual at www.ncpublicschools.org/fbs/personnel/benefits/.

SHORT-TERM DISABILITY

PROGRAM REPORT CODE: 009
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-009-1XX
 STATUTORY REFERENCE:

No allotment is made for short-term disability. Eligible expenditures will be covered as reported through UERS for LEAs.

Short-term disability payments are payable after the conclusion of a 60 day waiting period for a period not exceeding 365 calendar days provided the employee meets the following requirements:

1. The employee has at least one year of contributing membership service in the Retirement System earned within the 36 calendar months preceding employee’s disability.
2. Employee has been classified as being mentally or physically disabled for the further performance of his/her usual occupation.
3. Employee’s disability was incurred at the time of active employment.
4. Employee’s disability has been continuous.

For additional information regarding the payment of short-term disability please reference the Salary Manual at www.ncpublicschools.org/fbs/finance/salary/ or the Employee Benefits Manual at www.ncpublicschools.org/fbs/personnel/benefits/.

INJURIES DUE TO EPISODES OF VIOLENCE

PROGRAM REPORT CODE:	Varies
UNIFORM CHART OF ACCOUNTS CODE:	Varies
STATUTORY REFERENCE:	G.S. 115C-338

An employee who while engaged in the course of his employment or in any activities incidental thereto, suffers any injury or disability resulting from or arising out of any episode of violence by one or more persons shall be entitled to receive his full salary during the shortest of these periods: one year, the continuation of his disability, or the time during which he is unable to engage in his employment because of injury.

In accordance with **G.S.115c-338**, a LEA must notify the School Allotments Section in writing of how many months the employee was absent in the initial fiscal year due to the incidence of violence. The LEA must notify School Allotments Section in the 2nd fiscal year if needed. Allotments will **not** be made for prior fiscal years.

LEAs should include the following information when submitting claims for episodes of violence:

- A written description of the act.
- A copy of the physician's statement and the Board's designation of Act of Violence.
- The specific dates the additional allotment will cover.
- The name and phone number of the Key Risk case worker.

For additional information regarding injuries due to episodes of violence please reference the Salary Manual at www.ncpublicschools.org/fbs/finance/salary/ or the Employee Benefits Manual at www.ncpublicschools.org/fbs/personnel/benefits/.

ABCs TRANSFER OF FUNDS

STATUTORY REFERENCE:	G.S. 115C-105.25 Budget Flexibility
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The ABCs of public education enables LEAs to have flexibility in their funding. Transfers of funding and/or position allotments are to be submitted to the School Allotments Section of the **Division of School Business**. No financial State Board of Education waivers are required. All positions/months are transferred at the statewide average salary. If converting one of the following guaranteed certified positions:

- Instructional Support
- Career Technical Education Months of Employment

- School Building Administration

For the purpose of paying for a different certified position from that being transferred into PRC 010 (Certified Positions converted to dollars), the dollar amount converted will equal the average salary of the position including benefits. The salary of the position to be paid from PRC 010 will be a dollar allotment and not a guaranteed salary. Converting certified position allotments to dollars for the purpose of hiring the same type position is not allowable.

No transfers will be allowed to or from the following categories:

- ABC Incentive Award
- Children with Disabilities Special Funds (PRC 063)
- Driver Training
- Limited English Proficiency
- Low Wealth Supplemental Funding
- School Technology
- Small County Supplemental Funding
- Behavioral Support
- High School Learn and Earn
- Disadvantaged Student Supplemental Funding
- Federal Funds
- LEA Financed Purchase of School Buses

Limited transfers will be allowed for the following categories:

- At Risk Student Services/Alternative Schools: Funds cannot be transferred out of this category. Funds can be transferred into this category.
- Central Office Administration: Transfers from central office administration are acceptable. Transfers into this category are not allowed.
- Classroom Teachers: Transfers from teachers are restricted to classroom materials/instructional supplies/equipment, exceptional children teachers, at-risk teachers, and textbooks.
- Teacher Assistants: Transfers into teacher assistants are acceptable. If included in a school's plan, transfers from teacher assistants to dollars for classroom teachers for grades K-3 (PRC 008) are allowed. Teacher assistant positions must be vacant to transfer. **Grade placement may be waived if it is in a school's improvement plan to serve students primarily in grades K-3 when the personnel are assigned to an elementary school to serve the whole school.**
- Transportation funds may be transferred but will impact efficiency ratings.
- Career Technical Education: Funds may be transferred between Months of Employment (MOEs) and Program Support. Transfers out of Career Technical Education (MOEs) for other categories may not exceed the average state salary increase for the current fiscal year based on the promulgated rules established by the State Board of Education to

comply with federal regulations.

- Non-instructional Support: Funds may be transferred only for teacher positions (any grade) if in a school's improvement plan. Three percent (3%) of these funds may be transferred for staff development.
- Children with Disabilities: Funds cannot be transferred from this category.
- Academically & Intellectually Gifted: Funds may be transferred to other categories if in a school's improvement plan and if all academically & intellectually gifted students are appropriately served. Funds may be transferred into this category.
- Classroom Materials/Instructional Supplies/Equipment: Transfers are allowed only to textbooks if included in a school's improvement plan. Funds may be transferred into this category.
- Textbooks: Transfers only to Classroom Materials/ Instructional Supplies/Equipment allowed if included in a school's improvement plan. LEAs do not have to obtain a waiver to purchase off the state-adopted list.
- Improving Student Accountability: Funds may not be transferred from this category. Funds may be transferred into from any category.
- Transfers of more than 5% of an allotment category may require additional justification, including submission of a school improvement plan.
- **The final date for transfers is May 27, 2009.** Transfers received after this date cannot be approved.

SMALL SCHOOLS

STATUTORY REFERENCE:

Additional classroom teachers may be allotted within available funds based on the following provisions and upon request by the superintendent to the **Division of School Business**:

a. Qualifying Programs Schools

- Regional Programs: Special allotments may be made for assignment to regional programs serving public school students with disabilities. These programs must serve students from more than one LEA.
- Hospitals, Special Programs, and Institutions: Special allotments may be made for assignment to hospitals which offer an educational component to students under their treatment.
- Small Schools: Special allotments may be made for assignment to small schools of less than 110 ADM, when consolidation is not feasible due to geographic isolation.

b. Qualifying Conditions:

- Consideration will be given to small, geographically isolated schools over other qualifying programs and schools.

- The LEA must show a need for funding not already included in the regular ADM teacher allotment or children with disabilities programs.
 - The special small school allotment must not take the place of local efforts or result in a duplication of positions or funds allotted under the regular ADM teacher allotment or children with disabilities programs.
 - All regular positions earned on ADM at those sites must be used to directly serve the program for which the allotment is requested.
 - Proper assignment of regular ADM and children with disabilities funds must be documented before a special small school allotment can be approved.
- c. **Continuation of Allotments:** Special allotments are made on a year-by-year basis; however, special allotments will be continued for institutions and hospitals unless the following occurs:
- The program has been discontinued.
 - A reduction in the number of students has occurred in the program which makes the allotment unnecessary.
 - State funding is not appropriated for this purpose.
- d. **Allotment Appeals Process:** The approval/denial of a request for a special small school allotment will be made initially by the School Allotments Section, Division of School Business. LEAs wishing to appeal a denial of their request may do so in writing to the Office of the Deputy Superintendent of Public Instruction. A final appeal for consideration may be made to the State Board of Education.
- e. **Employment of Allotted Personnel:** Special small school allotments go to the requesting LEA, not to the institution or hospital where the teacher is assigned. Therefore, teachers allotted through a special small school allotment are employees of and should be supervised by the receiving LEA.
- f. **Assigned Duties of Allotted Personnel:** Teachers employed through the special small school allotment may not be assigned duties unrelated to the purpose for which their position was allotted or duties which enhance the profitability of the institution or hospital to which they are assigned.

State Formulas

ADDRESS QUESTIONS RELATED TO STATE POLICIES TO THE FOLLOWING:

Academic and Intellectually Gifted:	Innovation and School Transformation (919) 807-3987
Accountability Services:	Division of Accountability and Technology Services (919) 807-3769
Allotments:	Division of School Business School Allotments Section (919) 807-3739
Arts Education and Healthful Living:	Division of Elementary Education (919) 807-3864
Behavioral Support:	Division of Exceptional Children (919) 807-3298
Certification - Finance Officer:	Financial and Business Services Administration (919) 807-3603
Charter Schools:	Office of Charter Schools (919) 807-3491
Child & Family Support Team	NC of DHHS School based Child & Family Support Team (919) 707-5516
Child Nutrition Section:	Division of School Support (919) 807-3506
Children with Disabilities (CWD):	Division of Exceptional Children (919) 807-3969
Child Count (CWD):	Exceptional Children Delivery Team (919) 807-HELP or (919) 807-4359
Communication:	Division of Communication and Information (919) 807-3450

Driver's Training:	Division of Middle and Secondary Education K-12 Program Areas (919) 807-3857
Education Technology:	Division of Instructional Technology Educational Technology Implementation and Planning Services (919) 807-3269
Expenditures/ Salary:	Division of School Business Information Analysis and Reporting Section Expenditures: (919) 807-3725 Salary: (919) 807-3708
Focused Education Reform:	Technology Services (919)-807-3244
Foreign Exchange:	Division of Middle and Secondary Education K-12 Program Areas (919) 807-3865
Licensure Section:	Division of Licensure/Quality Professionals (919) 807-3310
Literacy Coaches	N.C. Professional Teaching Standards Commission (919) 807-3424
Middle and Secondary:	Division of Middle and Secondary Education (919) 807-3817
Organization of Schools:	Division of School Business Information Analysis and Reporting Section (919) 807-3715
Plant Operation:	Division of School Support (919) 807-3541
Recruitment:	NC Center for Recruitment, Retention, Recognition & Professional Advancement (919) 807-3375
School Connectivity:	DPI Connectivity Team Lead State Education E-Rate Coordinator (o) 919-807-3422 (c) 919-812-5666

School Insurance:	Division of School Support (919) 807-3521
School Technology Pilot:	Innovation and School Transformation 919-807-3244
Staff Development	Office of Professional Development (919) 807-3356
Textbook:	Division of School Support Services (919) 715-5375
Transportation:	Division of School Support (919) 807-3570

State Allotment Formulas

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020 - Foreign Exchange Teachers.....	33
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024 - Disadvantaged Students Supplemental Funding.....	26
027 - Teacher Assistants.....	63
028 - Staff Development.....	62
029 - Behavioral Support.....	7
031 - Low Wealth Counties Supplemental Funding.....	44
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033 - ABC Incentive Award.....	1
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042 - Child and Family Support Teams (CFST) – Nurses.....	13
043 - Child and Family Support Teams (CFST) – Social Work and Other.....	15
052 – Literacy Coaches.....	43
054 - Limited English Proficiency.....	42
055 - Learn and Earn.....	40
056 - Transportation of Pupils.....	66
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063 - Children with Disabilities – Special Funds.....	20
066 - Assistant Principal Interns – Principal Fellows.....	4
067 – Assistant Principal Interns- MSA Students.....	4
069 - At-Risk Student Services/Alternative Schools.....	5
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072 – Improving Student Accountability.....	34
073 – School Connectivity.....	56
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081 – School Technology Pilot.....	58
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ABC INCENTIVE AWARD

PROGRAM REPORT CODE: 033
UNIFORM CHART OF ACCOUNTS CODE: XXXX-033-XXX
STATUTORY REFERENCE: HB 1473, Section 7.18 (a) and (b)

TYPE: Dollars

TERM: July 1 through November 30

PURPOSE: Provides funding to LEAs that meet or exceed growth standards as established in ABC guidelines.

ELIGIBILITY: Based on growth standards, all schools will have an opportunity to receive awards.

FORMULA: Awards of up to \$1,500 plus benefits for certified personnel and up to \$500 plus benefits for teacher assistants are allocated to schools that attain high growth. Awards of up to \$750 plus benefits for certified personnel and up to \$375 plus benefits for teacher assistants are allocated to schools that attain expected growth. Allocation is based on the number of state and local personnel in grades Pre-K through 12 assigned to eligible schools.

SPECIAL PROVISIONS:

1. It is recommended that Incentive Awards be paid to eligible personnel by the first payroll period after the funds are allotted. Awards must be paid to eligible personnel by the second payroll after the funds are allotted.
2. The actual dollar payment for full-time employees must be at least the same level as appropriated by the State; but, cannot exceed the maximum payment allowed by legislation.
3. Payments exceeding the appropriated incentive award amount to an eligible individual, must be outlined in a school's improvement plan, or a local board's approved uniform regulation which had to be in effect during the school year for which the payments are associated. The total State amount paid for incentive award payments by a local education agency cannot exceed the total State appropriation for that purpose.
4. Funds can only be distributed to certified personnel (object codes 114, 116, 117 paid out of PRC 005, 121, 123, 124, 128, 131,132,133,134 and 135) and teacher assistants (object codes 142, and 5860-146). All current School Improvement Plans that designate other personnel to receive the award or allow the funds to be used for non-bonus items are now void.
5. If funding is available, schools/LEAs can request an adjusted allotment if documentation indicates that additional funding is appropriate. Each request will be carefully reviewed to determine why the records transmitted monthly did not correctly report the staffing levels of the school.
6. Certified personnel and teacher assistants in schools serving grades K-2 are eligible for ABC Incentive Bonuses based on the ABC status of the school that receives the largest percentage of the K-2 students.

7. Pre-kindergarten certified personnel and teacher assistants are eligible so long as they were assigned to a public school pre-kindergarten program that is located within a public elementary school and is designed to prepare students for kindergarten at that school.
8. Incentive awards for eligible federally paid personnel must be paid from federal funds.
9. The State Board of Education shall limit the amount expended for this program to the average expenditure over the last 11 years, which is \$ 94,325,612. (HB 2436, Section 7.3 (a))
10. The State Board of Education may use funds appropriated to the State Public School Fund to implement the consolidated assistance program as directed in Section 7.6 (b) of S.L. 2006.66. The Board shall report to the Joint Legislative Education Oversight Committee by January 15, 2009, on any restructuring of the program. (HB 2436, Section 7.3 (b))

ACADEMICALLY OR INTELLECTUALLY GIFTED

PROGRAM REPORT CODE: 034
UNIFORM CHART OF ACCOUNTS CODE: XXXX-034-XXX
STATUTORY REFERENCE: Article 9B. §§ 115C-150.5 to 115C-150.8

TYPE: Dollars

TERM: July 1 - June 30

PURPOSE: Funds allocated for academically or intellectually gifted students may be used only (i) for academically or intellectually gifted students, (ii) to implement the plan developed **under G.S. 115C-150.7**; (iii) for children with special needs; or (iv) in accordance with an accepted school improvement plan, for any purpose so long as that school demonstrates it is providing appropriate services to academically or intellectually gifted students assigned to that school in accordance with the local plan developed under **G.S. 115C-150.7**.

ELIGIBILITY: Each LEA is entitled to funding.

FORMULA: Each LEA receives dollars per child for four percent (4%) of their allotted ADM regardless of the number of children identified as academically or intellectually gifted in the LEA.

SPECIAL PROVISION:

Funds may be transferred to other categories by submitting ABC transfer forms if in a school's improvement plan and if all academically or intellectually gifted students are appropriately served. Funds may be transferred into this category by submitting an [ABC Transfer Form](#).

ASSISTANT PRINCIPAL INTERNS – PRINCIPAL FELLOWS

PROGRAM REPORT CODE: 066
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-066-XXX
 STATUTORY REFERENCE: HB 1473, Section 7.2 (h)

TYPE: Dollars

TERM: Varies July 1 - June 30

PURPOSE: Provides funding for stipends to full-time students working on a master's degree in school administration programs who are serving in an approved intern program.

ELIGIBILITY: Full-time Master of Student Administration (MSA) students serving in a ten month or less internship in the Principal Fellows Program.

FORMULAS: Stipends are allotted for the duration of the internship. The stipend for a Principal Fellow will be equivalent to 40% of the beginning salary of a certified assistant principal, plus social security as specified in the North Carolina Public School Personnel [State Salary Schedule](#). Please note that these individuals are not recognized as full-time employees of the school system and **are not eligible for hospitalization or retirement contributions.**

ASSISTANT PRINCIPAL INTERNS – MSA Students

PROGRAM REPORT CODE: 067
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-067-XXX
 STATUTORY REFERENCE: HB 1473, Section 7.2 (h)

TYPE: Dollars

TERM: Varies July 1 - June 30

PURPOSE: Provides funding for stipends to full-time students working on a master's degree in school administration programs who are serving in an approved intern program.

ELIGIBILITY: Full-time Master of Student Administration (MSA) students serving in a ten month or less internship in an Institutes of Higher Education offering the program.

FORMULAS: Stipends are allotted for the duration of the internship. The stipend for an MSA Student will be equivalent to the beginning salary of a certified assistant Principal, plus social security as specified in the North Carolina Public School Personnel [State Salary Schedule](#). Please note that these individuals are not recognized as full-time employees of the school system and **are not eligible for hospitalization or retirement contributions.**

AT-RISK STUDENT SERVICES/ALTERNATIVE SCHOOLS

PROGRAM REPORT CODE: 069
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-069-XXX
 STATUTORY REFERENCE:

TYPE: Dollars

TERM: July 1 - August 31 of subsequent fiscal year

PURPOSE: Provides funding to identify students likely to drop out and to provide special alternative instructional programs for these at-risk students. Also provides funding for summer school instruction and transportation, remediation, alcohol and drug prevention, early intervention, safe schools, and preschool screening. These funds may not be used to supplant dropout prevention programs funded from other state or federal sources.

It is the intent of the General Assembly that each LEA has a School Safety Officer at each high school.

ELIGIBILITY: Each LEA is entitled to funding. To remain eligible for funds appropriated for the At-Risk/Alternative Schools allotment, local school administrative units must submit a report to the State Board of Education by October 31, of each year, detailing the expenditure of the funds and the impact of these funds on student achievement. (SB 622, Section 7.61(b))

FORMULAS: Each LEA is allotted dollars for a School Safety Officer (SSO) based on the number of high schools in the LEA that receive a principal allotment. For the purpose of this allotment, a high school is defined as any school which contains Grades 9 and 10 or grade 12. Funds are then allotted for students in treatment programs in accordance with SB 1356. Fifty-percent of the remaining funds are distributed based on the number of poverty children per the Title I Low Income poverty data. The remaining funds are distributed based on allotted ADM. Each LEA receives a minimum of the dollar equivalent of two teachers and two instructional support personnel (including benefits). No funds will be allotted on a competitive grant basis.

SPECIAL PROVISIONS:

1. Effective July 1, 2000, each LEA shall establish at least one alternative learning program/school.
2. **Senate Bill 765, Modified Section 1, General Statute 115C-12(24)** requires that LEAs shall use
 - a. the teachers allocated for students assigned to alternative learning programs pursuant to the regular teacher allotment and
 - b. the teachers allocated for students assigned to alternative learning programs only to serve the needs of these students.

3. The priority uses of the funds appropriated in this category shall be to:
 - a. provide instructional positions or instructional support positions and/or professional development;
 - b. provide intensive in-school and/or after-school remediation; and
 - c. purchase diagnostic software and progress monitoring tools. (SB 622, Section 7.61(a))
4. Funds cannot be transferred out of this category for any purpose. Funds can be transferred in.
5. Unexpended funds as of June 30 will be reallocated under PRC 069 and will remain available for expenditure through August 31st. Balances from carryover funds remaining after August 31st will be reduced from the allotment. Reallocation of funds is contingent upon State Budget Office approval.
6. SECTION 4 (a) Article 25A of Chapter 115C of the General Statutes is amended by adding the following new section to read: General Statute 115C375.5 Education for pregnant and parenting students:
 - a) Pregnant and parenting students shall receive the same educational instruction or its equivalent as other students. A local school administrative unit may provide programs to meet the special scheduling and curriculum needs of pregnant and parenting students. However, student participation in these programs shall be voluntary, and the instruction and curriculum must be comparable to that provided other students.
 - b) Local boards of education shall adopt a policy to ensure that pregnant and parenting students are not discriminated against or excluded from school or any program, class, or extracurricular activity because they are pregnant or parenting students. The policy shall include, at a minimum, all of the following: (1) Local school administrative units shall use, as needed, supplemental funds from the At-Risk Student Services allotment to support programs for pregnant and parenting students.

BEHAVIORAL SUPPORT

PROGRAM REPORT CODE: 029
UNIFORM CHART OF ACCOUNTS CODE: XXX0-029-XXX
STATUTORY REFERENCE:

TYPE: Dollars

TERM: July 1 - June 30

PURPOSE: Provides funding for **Assaulting and Violent Children** programs which provide appropriate educational programs to students under the age of 18 who suffer from emotional, mental, or neurological disabilities accompanied by violent or assaulting behavior.

ELIGIBILITY: Each LEA is entitled to funding based on need.

FORMULA: Allotments are based on need. LEAs are to submit requests to the Behavioral Support & Special Program Section in the Exceptional Children Division by May 30. The requests should be for those class members who are projected to remain enrolled the following year and who require special services. Additional requests for funds may be submitted to the Behavior Support & Special Program Section throughout the year. These additional requests will be evaluated monthly.

SPECIAL PROVISIONS:

1. A Department of Public Instruction consultant will review utilization of the initial allotment with appropriate LEA staff and request revisions as needed.
2. Allotted funds that are not used as designated shall revert to the State and be re-allotted for other eligible students.

CENTRAL OFFICE ADMINISTRATION

PROGRAM REPORT CODE: 002
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-002-XXX
 STATUTORY REFERENCE: SB 1115, Budget Bill supplement #11

TYPE: Dollar

TERM: July 1 - June 30

PURPOSE:

Provides funding for salaries and benefits for central office administration. This category is used to pay for personnel including:

- Superintendent
- Directors/Supervisors/Coordinators
- Associate and Assistant Superintendents
- Finance Officers
- Child Nutrition Supervisors/Managers
- Community Schools Coordinators/Directors
- Athletic Trainers
- Health Education Coordinators
- Maintenance Supervisors
- Transportation Directors

Funds cannot be expended for any of the above personnel outside of this allotment category.

ELIGIBILITY: Each LEA is entitled to funding. The dollars allotted are based on the formula listed below.

FORMULA:

The formula for FY 2007-2008 is based on HB 1473, Joint Conference Committee Report on the Budget:

1. Freeze local school administration units (LEAs) allotments at the FY 2002-03 allotment level.
2. Adjust allotments by the net effect of other legislative changes (such as, legislative increases or benefit changes) and the reduction for charter school reserve. See chart below:

Fiscal Year (Base)	Percent Increase/ (Decrease)	Allotment Year
2002-03	(.76)	2003-04
2003-04	5.19	2004-05
2004-05	3.25	2005-06
2005-06	6.33	2006-07
2006-07	5.28	2007-08
2007-08	3.10	2008-09

The new formula for FY 2002-2003 developed by the State Board in accordance with SB 1005 was not implemented per SB 1115.

HISTORICAL REF: *The following is the Formula that was in effect from Fiscal Year 1996 through Fiscal Year 2001.*

Base Allotment Each LEA receives a base allotment which is graduated based on allotted ADM. To determine the base, select the ADM range from the charts below and add the base and the supplemental base together.

Cities

ADM Ranges	Base	ADM Over Range	\$ Per ADM Over Range
0 - 4,999	\$360,000	0	12.00
5,000 - 9,999	420,000	4,999	36.01
10,000 - 14,999	600,000	9,999	18.00
Each add'l 5,000	add 90,000	add 5,000	18.00

Counties

ADM Ranges	Base	ADM Over Range	\$ Per ADM Over Range
0 - 4,999	\$420,000	0	12.00
5,000 - 9,999	480,000	4,999	36.01
10,000 - 14,999	660,000	9,999	18.00
Each add'l 5,000	add 90,000	add 5,000	18.00

Add-On Per ADM

After the base is allotted, the remaining funds are allocated to LEAs with ADM greater than 1,500 based on dollars per ADM.

SPECIAL PROVISIONS:

1. For FY 2000-01, all LEAs are held harmless to their FY 1999-00 allotment, unless they are a LEA which is losing its hold harmless provision due to merger. The formula will be phased-in as merged LEAs are no longer required to be held harmless and as funds are added to the budget.
2. Funds cannot be transferred into this category. Funds can be transferred out of this category by submitting an [ABC Transfer Form](#).

3. Only expenditures for administrative personnel and matching benefits are allowed.
4. Refer to the General Information Section – Merged LEAs.
5. Federal law requires a match for Child Nutrition Supervisors. A State expenditure of \$7.33 million is necessary for North Carolina to be eligible for Section 4 federal funds. If expenditures are projected to be below this level, a small percentage of this allotment might have to be expended for Child Nutrition to assure the match is met.
6. In accordance with G.S. 115C-271, a local board may terminate a Superintendent's contract before the contract term of employment has expired if all of the following conditions are met:
 - a) No state funds are used for this purpose.
 - b) Local funds appropriated for teachers, textbooks, or classroom materials, supplies and equipment are not transferred or used for this purpose.
 - c) The local board makes public the funds that are to be transferred or used for this purpose.
 - d) The local Board notifies the State Board of the funds that are to be transferred or used for this purpose.
 - e) No funds acquired through donation or fund-raising are used for this purpose, except funds raised specifically for this purpose or for funds donated by private for-profit corporations.

CHARTER SCHOOLS

PROGRAM REPORT CODE: 036
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-036-XXX
 STATUTORY REFERENCE: G.S. 115C-238.29; SB 1005: Section 28.20;
 28.26; 28.27

TYPE: Dollars

TERM: July 1 - June 30

PURPOSE: Provides state funding to charter schools based on the students attending the school.

ELIGIBILITY: All charter schools approved by the State Board of Education.

FORMULAS:

Funding for an existing charter school is based on the dollars per ADM of the LEA in which the school is located. Funding for new charter schools is based on the dollars per ADM of the LEA in which the student is, or would be, currently enrolled. The total number of public school students attending a new charter school will reduce a LEA's initial allotment.

A LEA's initial allotment is also reduced for existing charter schools growing over their planning ADM. LEAs are held harmless and will not have their allotment reduced for the following categories: Central Office Administration, School Building Administration and Staff Development.

A charter school will have 34% of its Initial Allotment available to "draw down" after the General Assembly adjourns. After the 1st month ADM is submitted, allotments will be recalculated and an additional 34% of the allotment will be made available to the charter school. If the charter school's first month ADM is lower than the initially funded ADM, the charter school's state allotments will be decreased. If charter student population increases after the 1st month, an analysis will be performed to determine if the additional students will be reduced from the LEA or funded from the State Contingency Reserve (**up to the charter school's maximum ADM**). The final 32% of the allotment will be distributed prior to the end of March.

After 1st month ADM adjustments, a charter school can request additional funding if it has significant growth (10% or more) in months two through four. Requested ADM must be verified and be within the charter school's maximum. The state contingency reserve will be used to fund the prorated increases within funds available. Please refer to the [Charter School Financial Guide](#) for instructions for this process.

In addition to the state funding based on dollars per ADM, a charter school may receive Children with Disabilities and Limited English Proficiency funding **if they qualify**. These special funds plus the dollars per ADM funding are distributed under Program Report Code 036.

SPECIAL PROVISIONS:

1. During the first 60 school days, if a child with disabilities was included in the prior fiscal year April 1 child count and leaves or returns to a LEA from a charter school, the

prorated share of funds allocated for that child will be adjusted. In order to initiate the transfer of funds, the LEA or charter school receiving the students must complete the appropriate transfer request forms by the 10th of the month following the month the child transferred. Children with Disabilities' transfer requests are sent to the School Allotments Section. Please refer to www.ncpublicschools.org/fbs/allotments/forms/ for the Children with Disabilities transfer form. Notification must include the student's name, CECAS Unique ID, birth date, the LEA or charter school from which the child came, the date the student transferred, and the beginning date of the current school year.

2. A charter school operating in its third year or longer that has not received any financial warnings during the two previous years will receive the balance of its total allotment after the first month.
3. Funding for 1st Month ADM increases will be reduced from the LEA in which the charter school resides if the LEA is declining in ADM after second month. If the LEA is increasing in ADM after the second month, the funding will come from the Contingency Reserve.
4. Charter schools will receive an allocation of fines and forfeitures based on dollars per allotted ADM in PRC 036. Unspent funds will revert June 30.
5. The State Board of Education may spend up to \$50,000 from State Aid to Local School Administrative units for the 2008-09 fiscal year to evaluate charter schools. (HB 1473, Section 7.16(a))

CHILD AND FAMILY SUPPORT TEAMS (CFST) – NURSES

PROGRAM REPORT CODE: 042
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-042-XXX
 STATUTORY REFERENCE: Session Law 2005-276, Section 6.24.(a)
 School-Based Child and Family Team
 Initiative Established.

TYPE: Positions

TERM: 10 months: July 1 – June 30

PURPOSE:

Provides funding for salaries and fringe benefits for nationally certified school nurses to establish the School Based Child and Family Support Team Initiative at designated schools. The purpose of the Initiative is to identify and coordinate appropriate community services and supports for children at risk of school failure or out-of-home placement in order to address the physical, social, legal, emotional, and developmental factors that affect academic performance.

It is the intent of the General Assembly that the positions must be solely used for nationally certified school nurses to work full time as Child and Family Support Team Leaders, to carry out the legislatively mandated objectives of the Child and Family Support Team Initiative. The nurses shall not be used as administrators, coordinators, supervisors, directors, teachers, counselors, social workers, proctors, or for non-instructional support personnel (clerical assistants, custodians, staff available to provide duty free periods for teachers, or as substitutes).

ELIGIBILITY: Based on selection to participate in the CFST Initiative.

FORMULA: The positions are multiplied by the Statewide average salary plus benefits.

SPECIAL PROVISIONS:

1. If the LEA is unable to hire a certified nurse, a transfer can be requested to move the position from PRC 042 to PRC 043 to hire a non-certified nurse. The conversion rate is \$61,046. A written request for the transfer must be submitted to the School Allotments Section stating the number of positions to be transferred.
2. The LEAs receiving Child and Family Support Team funding must implement an annual implementation plan jointly developed by the LEA and its Child and Family Support Team Local Advisory Committee. At a minimum the plans must include their agreement that:
 - a. Funds are only used to support the CFST Initiative and will not supplant any other funding.
 - b. CFST nurses will be assigned only to responsibilities consistent with the legislative intent of the CFST Initiative.
 - c. The LEA will work with the state-identified external evaluator and participate in all state and contracted evaluation activities.
 - d. The LEA will follow all reporting requirements.

- e. The LEA will facilitate and attend all Local Advisory Committee meetings as required.
- f. All CFST lead nurses will attend all mandatory trainings as indicated by the state CFST Program Coordinator.
- g. The LEA will participate in all other required activities as determined.
- h. Local partners have been involved collaboratively in the planning process.
- i. Non-supplant Requirement: A local education agency receiving funds shall use the funds to supplement, not supplant, local and state current expense funds. The State Board of Education shall not allocate funds under this section to a county found to have used these funds to supplant local and state current expense funds.

CHILD AND FAMILY SUPPORT TEAMS (CFST) – Social Worker and Other

PROGRAM REPORT CODE: 043
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-043-XXX
 STATUTORY REFERENCE: Session Law 2005-276, Section 6.24.(a)
 School-Based Child and Family Team
 Initiative Established.

TYPE: Dollars

TERM: 10 months: July 1 – June 30

PURPOSE:

Provides funds to establish School-based child and family support teams that will support children at-risk of school failure by coordinating services among educational and human service agencies working with the children and their families. This funding must be used to support the salary of the school social worker associated with the program and funding for other expenses for the social worker and school nurse.

It is the intent of the General Assembly that these funds must be solely used for legislatively mandated objectives of the Child and Family Support Team Initiative.

ELIGIBILITY: Based on selection to participate in the CFST Initiative.

FORMULA: Dollars per site

SPECIAL PROVISIONS:

1. If the LEA is unable to hire a certified nurse, a transfer can be requested to move the position from PRC 042 to PRC 043 to hire a non-certified nurse. The conversion rate is \$61,046. A written request for the transfer must be submitted to the School Allotments Section stating the number of positions to be transferred.
2. The LEAs receiving Child and Family Support Team funding must implement an annual implementation plan jointly developed by the LEA and its Child and Family Support Team Local Advisory Committee. At a minimum the plans must include their agreement that:
 - a. Funds are only used to support the CFST Initiative and will not supplant any other funding.
 - b. CFST social workers will be assigned only to responsibilities consistent with the legislative intent of the CFST Initiative.
 - c. The LEA will work with the state-identified external evaluator and participate in all state and contracted evaluation activities.
 - d. The LEA will follow all reporting requirements.
 - e. The LEA will facilitate and attend all Local Advisory Committee meetings as required.
 - f. All CFST social workers will attend all mandatory trainings as indicated by the state CFST Program Coordinator.
 - g. The LEA will participate in all other required activities as determined.

- h. Local partners have been involved collaboratively in the planning process.
- i. Non-supplant Requirement: A local education agency receiving funds shall use the funds to supplement, not supplant, local and state current expense funds. The State Board of Education shall not allocate funds under this section to a county found to have used these funds to supplant local and state current expense funds.

CHILDREN WITH DISABILITIES

PROGRAM REPORT CODE: 032
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-032-XXX
 STATUTORY REFERENCE: HB 1473, Section 7.10

TYPE: Dollars

TERM: July 1 - June 30

PURPOSE:

Provides funding for the special educational needs and related services of Children with Disabilities. These funds are to be used for:

- Children with Disabilities
- Preschool Handicapped State Funding
- Group Homes, Foster Homes, or Similar Facilities

Funds for Community Residential Centers and Developmental Day Centers are allotted in PRC 063, Children with Disabilities Special Funds.

ELIGIBILITY: All LEAs are entitled to funding based on the formulas listed below.

FORMULAS:

Children with Disabilities and Preschool Disabled State funded allotments are calculated separately and then combined into one allotment. Matching benefits are included. The individual formulas are listed below.

CHILDREN WITH DISABILITIES:

Child count is based on Children with Disabilities child count, ages 5-21.

Multiply the lesser of the April 1 child count or an overall 12.5% cap of the Allotted ADM by the dollar per child count funding factor.

PRESCHOOL HANDICAPPED:

Each LEA receives a base amount equal to the average salary of a classroom teacher plus benefits. All remaining are distributed based on the April 1 child count of children ages 3, 4, and pre-K5.

GROUP HOMES, FOSTER HOMES, OR SIMILAR FACILITIES:

The Exceptional Children Division reimburses Local Boards of Education the per child allocations for average daily membership (ADM), state aid (April 1) and IDEA 611 (December 1) of children with disabilities assigned to group homes, foster homes, or similar facilities.

Applications may be found at the web address below:

www.ncpublicschools.org/ec/funding/

SPECIAL PROVISIONS:

1. Funds cannot be transferred out of this category. Funds may be transferred into this category by submitting an [ABC Transfer Form](#).
2. Funds can be used to transport preschool handicapped students only. Transportation for school age children must be paid from transportation funds (PRC 056).
3. Refer to **Policies Governing Services for Children with Disabilities** produced by the Exceptional Children Division and to the [School Attendance and Student Accounting Manual](#) produced by the Division of School Business.
4. LEAs are encouraged to use teacher positions generated by that portion of their total ADM that is made up of children with disabilities to fund children with disabilities teachers.
5. Funds for Children with Disabilities will be reduced based on on-site audits and the determination that students are found to be in non-compliance with State/Federal regulations.
6. If two or more LEAs are consolidated into one LEA, the Preschool Handicapped allotment shall not be less than the same allotment to the separate LEAs for the first and second full fiscal years of the consolidation and shall be used for the continuation of the positions and programs, except as specifically authorized by the State Board of Education.
7. During the first 60 school days, if a child with disabilities who was included in the prior fiscal year April 1 child count leaves or returns to a LEA from a charter school, the pro-rata share of funds allocated for that child will be adjusted. In order to initiate the transfer of funds, the LEA or charter school receiving the students must notify the School Allotments Section in writing by the 10th of the month following the month the child transferred. Notification must include the child's name, CECAS unique ID, birth date, the LEA or charter school from which the child came, the date the student transferred, and the beginning date of the current school year.
8. SECTION 4 (a) Article 25A of Chapter 115C of the General Statutes is amended by adding the following new section to read: General Statute 115C 375.5
 - a. Pregnant and parenting students shall receive the same educational instruction or its equivalent as other students. A local school administrative unit may provide programs to meet the special scheduling and curriculum needs of pregnant and parenting students. However, student participation in these programs shall be voluntary, and the instruction and curriculum must be comparable to that provided other students.
 - b. Local boards of education shall adopt a policy to ensure that pregnant and parenting students are not discriminated against or excluded from school or any program, class, or extracurricular activity because they are pregnant or parenting students. The policy shall include, at a minimum, all of the following:
 - i. Local school administrative units shall, as needed, use supplemental funds from the At-Risk Student Services allotment to support programs for pregnant and parenting students.
 - ii. Notwithstanding Part 1 of Article 26 of this Chapter, pregnant and parenting students shall be given excused absences from school for pregnancy and related conditions for the length of time the student's physician finds medically necessary. This includes absences due to the illness or medical appointment

during school hours of a child of whom the student is the custodial parent.

- iii. Homework and make-up work shall be made available to pregnant and parenting students to ensure that they have the opportunity to keep current with assignments and avoid losing course credit because of their absence from school and, to the extent necessary, a homebound teacher shall be assigned. (2006-69, s. 4(a).)
- c. Meet the special scheduling and curriculum needs of pregnant and parenting students. However, student participation in these programs shall be voluntary, and the instruction and curriculum must be comparable to that provided other students.
- d. Local boards of education shall adopt a policy to ensure that pregnant and parenting students are not discriminated against or excluded from school or any program, class, or extracurricular activity because they are pregnant or parenting students. The policy shall include, at a minimum, all of the following: (1) Local school administrative units shall use, as needed, supplemental funds from the At-Risk Student Services allotment to support programs for pregnant and parenting students.

CHILDREN WITH DISABILITIES - SPECIAL FUNDS

PROGRAM REPORT CODE: 063
UNIFORM CHART OF ACCOUNTS CODE: XXXX-063-XXX
STATUTORY REFERENCE:

TYPE: Dollars

TERM: July 1 - June 30

PURPOSE:

Provides funding for the special educational needs and related services of Children with Disabilities. These funds are to be used for:

- Community Residential Centers (3-21)
- Developmental Day Care (3-21)
- Special State Reserve (3-21)

ELIGIBILITY: All Local Education Agencies (LEAs) and charter schools may submit applications.

FORMULAS:**DEVELOPMENTAL DAY CARE:**

Requests for funding are made on individual applications submitted by the LEA and approved by the Department of Public Instruction, **Division of Exceptional Children** for children receiving their special education and related services in a developmental day center. Allotments for identified children are based on an established monthly rate for a maximum of ten months.

COMMUNITY RESIDENTIAL CENTER:

Requests for funding are made on individual applications submitted by the LEA and approved by the Department of Public Instruction, Exceptional Children's Division for children receiving their special education and related services in a community residential center. Allotments for identified children are based on an established monthly rate for a maximum of ten months.

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SPECIAL STATE RESERVE:

A reserve has been established to be used when all available state, federal, and local resources have been exhausted. The funds are to be used for emergency situations, such as high cost children or severely disabled children. Requests for funds must be sent to the Exceptional Children Division. Allocation is based on approved requests submitted. All allocations will be made within funds available. All withdrawals must be reported within 60 days.

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SPECIAL PROVISIONS:

1. Funds cannot be transferred into or out of this category.
2. Refer to **Procedures Governing Programs and Services for Children with Disabilities** produced by the Exceptional Children Division and to [School Attendance and Student Accounting Manual](#) produced by the Division of School Business.
3. Developmental Day Care and Community Residential funds should be expended according to the LEA's approved plan to serve children ages 3-21.

CLASSROOM MATERIALS/INSTRUCTIONAL SUPPLIES/EQUIPMENT

PROGRAM REPORT CODE: 061
UNIFORM CHART OF ACCOUNTS CODE: XXXX-061-XXX
STATUTORY REFERENCE:

TYPE: Dollars

TERM: July 1 - June 30

PURPOSE: Provides funds for Instructional Materials and Supplies, Instructional Equipment, and Testing Support.

ELIGIBILITY: Each LEA is entitled to funding.

FORMULA: Funds for Instructional Materials, Supplies, and Equipment are distributed based on allotted ADM.

Funds for each student to take the Preliminary Scholastic Aptitude Test (PSAT) are allotted based on ADM in grades 8 and 9.

SPECIAL PROVISIONS:

1. If included in your school's improvement plan, funds may be transferred only to textbooks by submitting an [ABC Transfer Form](#).
2. In accordance with G.S. 115C-174.18, every student in grades 8 - 10 who has completed or is in the last month of Algebra I shall be given an opportunity to take a version of the PSAT one time at State expense. These expenses shall be paid from PRC 061.

CLASSROOM TEACHERS

PROGRAM REPORT CODE: 001
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-001-XXX
 STATUTORY REFERENCE: G.S. 115C-301; HB1414 Sections 7.18, 7.21, 7.28; HB 397 Section 7.10

TYPE: Positions

TERM: 10 months, July 1 - June 30

PURPOSE: Provides guaranteed funding for salaries for classroom teachers. To qualify as a classroom teacher and to be charged against this allotment, an individual must spend a major portion of the school day providing classroom instruction and shall not be assigned to administrative duties in either the central or school offices. If an LEA decides to employ a Math/Science/Computer teacher, this individual does not have to spend a portion of the school day providing classroom instruction.

ELIGIBILITY: Each LEA is entitled to positions. The number of positions allotted is based on the formulas listed below.

FORMULAS:

The following positions are calculated separately and then consolidated into PRC 001. The total positions are then multiplied by the LEA's average monthly salary (based on prior year 6th pay period plus legislated salary increases) plus benefits. The individual formulas are listed below.

After the first month of school, a LEA can request additional resources due to extraordinary student population growth. Allotments will be adjusted within available funds. (See General Information Section, Allotment Adjustment for ADM growth.)

For city LEAs with less than 3,000 ADM, fractions are rounded up to the nearest whole position.

CLASSROOM TEACHERS:

Teachers are allotted based on one per the following number of students (based on allotted ADM) and rounded to the nearest one-half position.

<u>Grades</u>	<u>Number of Students</u>
K-3	18
4-6	22
7-8	21
9	24.5
10-12	26.64

NOTE: Preschool student ADM is not used in the teacher allotment formula. For allotment purposes, TMH and self-contained children are included in their appropriate grades as determined by school administrators.

CLASS SIZE REQUIREMENTS:

<u>Grade</u>	<u>All Classes Within LEA Maximum Average</u>	<u>Individual Class Maximum</u>
K - 3	21	24
4 - 9	26	29
10 - 12	29	32

MATH / SCIENCE / COMPUTER TEACHERS:

Each county is entitled to one position. Positions will be divided between county and city LEAs based on previously approved plans. The percent split will remain in effect until the plan is revised and DPI is notified. Plans do not have to be submitted to DPI.

SPECIAL PROVISIONS:

1. Refer to the [School Attendance and Student Accounting Manual](#) produced by the Division of School Business.
2. Additional classroom teachers may be allotted within available funds based on the provisions outlined in Section 01 and upon request by the superintendent to the Division of School Business. (See General Information Section, Small Schools)
3. It is recommended that the teachers generated by self-contained/separate classrooms serving children with disabilities be used for children with disabilities. This includes self-contained/separate classes for autism, deaf-blind, behaviorally-emotionally disabled, developmental delayed, educable mentally disabled, hearing impaired, learning disabled, multi-handicapped, orthopedically impaired, other health impaired, speech-language impaired, severe profound, trainable mentally disabled, traumatic brain injured and visually impaired.
4. **Senate Bill 765, Modified Section 1, General Statutes 115C-12(24)** states that LEAs shall use (i) the teachers allocated for students assigned to alternative learning programs pursuant to the regular teacher allotment and (ii) the teachers allocated to students assigned to alternative learning programs only to serve the needs of these students.
5. The State regulations permit LEAs to identify a state allotted teaching position (no additional positions are provided and no Career Technical education funds can be used) and use that position to pay a Junior ROTC instructor, provided the local school does employ another teacher using local funds to replace the use of the State allotted position. For each Junior ROTC position paid from State funds, a locally paid position must be provided.
6. Teachers on Loan Additional positions may be allotted to LEAs which have teachers from their LEA selected to serve with the Department of Public Instruction. The teachers selected will work at designated LEAs throughout the State in support of major State Board of Education initiatives such as school assistance, teacher inductions, technology assessment/training, and student improvement. These additional teacher positions are allotted to the LEAs from which the teachers are employed since the reassigned teachers will remain on the LEA's payroll. The allotment will be in PRC 096 Special Position Allotment or in PRC 095 Special Dollar Allotment. For additional information, please contact the **Division of School Improvement** or the [Recruitment Section](#).
7. Teacher of the Year - An additional position (12 months) is allotted to the LEA from which the Teacher of the Year is selected. This allotment will also be allotted in PRC 096, Special

Position Allotment.

8. All newly certified teachers (local & state) who have never taught before, or taught less than 6 months, are eligible for up to three extra days of employment for orientation and classroom preparation. This includes lateral entry teachers that are newly certified and have never taught before. If the teacher does not have zero years on his or her license due to non-teaching experience being credited, then the Salary Administration Section must approve the new teacher orientation funding. The teacher is to be paid appropriately for the teacher's certification level and years of service. Eligible Teachers must be paid from pay code object 125 for the additional days of employment. The state will pay up to 3 days of training per eligible teacher based on the teacher's daily rate of pay. The School Allotments Section will allot funds beginning in March based on the number of eligible teachers paid, not to exceed 3 days per teacher. Once the number of participants and additional days of service are identified, your regular classroom teacher position allotment will be increased. The three additional new teacher orientation days must be completed by April 30th. Any new teachers hired after this date should go through orientation with the new teachers for the next fiscal year.
9. If a LEA plans to convert a position to contract for a Visiting International Faculty (VIF) member, that unit may request the conversion of positions to dollar equivalents. This applies only to VIF programs.
 - To request the conversion, the LEA must submit the form entitled "Program Expansion Teacher Positions Request for Conversion to a Dollar Allotment" to the Division of Pre-Kindergarten and Elementary Education. If approved, funds must be used in accordance with the plan submitted and approved.
 - Positions converted used to cover costs associated with bringing a Visiting International Faculty (VIF) to the LEA through the International Exchange Program will be converted at the classroom teacher statewide average salary, including benefits.
 - These positions must be used for teachers only.
10. Funds can only be transferred for Classroom Materials / Instructional Supplies / Equipment, exceptional children teachers, at-risk teachers, or textbooks. Submit an [ABC Transfer Form](#) to transfer funds. Converting certified position allotments to dollars for the purpose of hiring the same type position is not allowable.
11. Teachers for geographically isolated schools: An additional teacher allotment will be made for small schools when consolidation is not feasible due to the geographic isolation of the school. This will be provided for such schools with ADM of 110 or less and whose LEA ADM is less than 1.5 per square mile. Qualifying schools will receive one classroom teacher per grade. Teachers will be allotted to the remainder of the LEA under the regular teacher allotment formula.
12. If a Local School administrative unit employees more classroom teachers than they allotted to it due to a projected increase in student population resulting from BRAC activity, the State Board shall allot additional teachers to the unit based on the greater of (i) the local school administrative unit's first month ADM or (ii) 50% of the projected increase in ADM resulting from BRAC activity that is in excess of the increased anticipated in the allotted ADM. (HB 2436, Section 7.23 (a))

DISADVANTAGED STUDENTS SUPPLEMENTAL FUNDING

PROGRAM REPORT CODE: 024
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-024-XXX
 STATUTORY REFERENCE: HB 1473, Section 7.8 (a)

TYPE: Dollars

TERM: July 1 through June 30

PURPOSE: To address the capacity needs of local school administrative units to meet the needs of disadvantage students.

ELIGIBILITY: All LEAs are eligible for funding that have an approved plan.

FORMULAS:

Distribute resources based on a prescribed delivery option reduction of class size.

Step 1: Use the average statewide (K-12) teacher-to-student classroom teacher allotment for the **Fundable Disadvantaged Population** which is 1:21.

Step 2: The targeted allotment ratios for the **Fundable Disadvantaged Population** are:

- If low wealth % (per low wealth supplemental funding formula) is > 90%, one teacher per 19.9 students
- If low wealth % is > = 80% but < = 90%, one teacher per 19.4 students.
- If low wealth % is < 80%, one teacher per 19.1 students.
- If an LEA received DSSF funds in FY 2005-06, one teacher per 16 students.
 These 16 LEAs will not receive less funding than they received in FY 2006-07.

Step 3: Convert the teaching positions to dollars by using the state average teacher salary (including benefits).

SPECIAL PROVISIONS:

1. The LEAs receiving Disadvantaged Student Supplemental funding must implement a plan jointly developed by the local administrative unit and the Local Education Agency Assistance Program (LEAAP) team.

The plan shall:

- a. be based on the needs of the students in the unit that are not achieving grade-level proficiency.
- b. detail how these funds shall be used in conjunction with all other supplemental funding allotments such as Low Wealth, Small County, At-Risk Student Services / Alternative Schools, and Improving Student Accountability to provide instructional and other services that meet the educational needs of these students.
- c. be approved by the appointed agent of the State Board of Education. Funding will be pulled back from LEAs if plan is not approved by the date set by the appointed agent.

2. Funds received for Disadvantaged Student Supplemental funding shall be used, consistent with the policies and procedures adopted by the State Board of Education only to:
 - a. Provide instructional positions or instructional support positions and/or professional development;
 - b. Provide intensive in-school and/or after school remediation;
 - c. Purchase diagnostic software and progress-monitoring tools; and
 - d. Provide funds for teacher bonuses and supplements. The State Board of Education has established that a maximum of 35% of the funds may be used for this purpose.
3. Non-supplant Requirement:

A local education agency receiving funds shall use the funds to supplement, not supplant, local current expense funds. The State Board of Education shall not allocate funds under this section to a county found to have used these funds to supplant local, per-student current expense funds. The SBE shall make a finding that a county has used these funds to supplant local current expense funds in the prior year, or the year for which the most recent data are available, if:

 - a. The current expense appropriation per student of the county for the current year is less than ninety-five percent (95%) of the average of the local current expense appropriations per student for the three prior fiscal years; and
 - b. The county cannot show: (i) that it has remedied the deficiency in funding or (ii) that extraordinary circumstances caused the county to supplant local current expense funds with funds allocated under this section.
4. Pooling of Additional State Funding:

LEAs receiving funding should use, at a minimum, increases in their categorical allotments (such as low wealth supplemental funding, small county supplemental funding, at-risk, and improving student accountability funding) to pool resources to supplement the Disadvantaged Student Supplemental Funding to address teacher retention and student performance improvements.
5. If a local school administrative unit's wealth increases to a level that adversely affects the unit's DSSF allotment ratio, the DSSF allotment for that unit shall be maintained at the prior year level for one additional fiscal year. (HB 1473, Section 7.1(d))

DOLLARS FOR CERTIFIED PERSONNEL

PROGRAM REPORT CODE: 010
UNIFORM CHART OF ACCOUNTS CODE: XXXX-010-XXX
STATUTORY REFERENCE:

TYPE: Dollars

TERM: July 1 through June 30

PURPOSE: Provides a separate account into which LEA's may transfer funds to pay for certified personnel. Converting certified position allotments to dollars for the purpose of hiring the same type position is not allowable.

ELIGIBILITY: Each LEA is entitled to transfer funds to this account.

FORMULAS: None.

SPECIAL PROVISION: Some transfers require approval in a School Improvement Plan.

DOLLARS FOR K-3 TEACHERS

PROGRAM REPORT CODE: 008
UNIFORM CHART OF ACCOUNTS CODE: XXXX-008-XXX
STATUTORY REFERENCE:

TYPE: Dollars

TERM: July 1 through June 30

PURPOSE: Provides a separate account into which LEAs may transfer funds from Teacher Assistants to hire teachers to serve K-3.

ELIGIBILITY: Each LEA is entitled to transfer funds to this account with approval in the school improvement plan.

FORMULAS: None.

SPECIAL PROVISION:

ABC transfers are allowed from Teacher Assistants for teachers in grades K-3 only. Positions must be vacant to transfer.

DRIVER TRAINING

PROGRAM REPORT CODE: 012
 UNIFORM CHART OF ACCOUNTS CODE: 5XX0-012-XXX
 STATUTORY REFERENCE: G.S. 115C-215; 216 and SB 1115
 Section 7.31

TYPE: Dollars

TERM: July 1 - June 30

PURPOSE: Provides funding for the expenses necessary to install and maintain a course of training and instructing eligible persons in the operation of motor vehicles. Each LEA must serve all students enrolled in a public or private high school (including charter schools) within the LEA boundaries who have not previously enrolled in the program.

ELIGIBILITY: Each LEA is entitled to funding based on ninth grade ADM including private and federal schools.

FORMULA: All available funds are distributed to LEAs based on dollars per total ninth grade allotted ADM; this includes private, federal and charter schools.

SPECIAL PROVISIONS:

1. No ABC transfers will be allowed to or from driver training.
2. Disposition of cars purchased with Driver Training funds:
 All transactions are handled by the Department of Administration, Division of Auxiliary Services, State Surplus Property.
 - a. Car Models Prior to 1992: Funds from the sale of all car models prior to 1992 (models through 1991) are refunded to the Department of Public Instruction.
 - b. Car Models beginning with 1992: Funds received from State Surplus Property for the sale of all car models after 1991 remain with the selling LEA. All proceeds should be used to support the LEA's driver education program.
3. No more than 10% of driver education funds may be used for computer expenditures.
4. Released Driver Training funds may be allotted to a local education agency based on the following provisions and upon request of the LEA's superintendent.

General

Some LEAs have excess funds in their Driver Training allotment due to contracting services. To prevent these funds from reverting June 30 when other LEAs have shortages in their allotments, the Department of Public Instruction requests that LEAs with excess funds release the funds early to be reallocated to LEAs requiring additional funds. LEA requests for additional funds will be granted based on the criteria in this policy as long as funds are available.

Qualifying Conditions

The LEA is expected to plan and budget for driver training expenses within their Driver Training allotment. In accordance with SB 1115, Section 7.31 of the 2001 Session of the General Assembly, the State Board of Education shall consider the needs of small and low wealth LEAs when allocating these funds. These funds will be available to LEAs who make a written request because their allotment is not sufficient to operate their Driver Training program, and this insufficiency is beyond their control. The LEA must explain why more cost effective methods of operating the program have not been explored. If other options have been explored, the LEA must state why the alternative method will not be appropriate for their Driver Training program.

Request for Funds

2. The LEA must send a written request to the Department of Public Instruction, School Allotments Section, stating:
 - a. Why the additional funds are needed. (Provide details of the qualifying condition with supporting documentation.)
 - b. The amount needed. (Provide details of how this amount was determined.)
 - c. Why alternative methods of operating the program are not being used.
3. Request must be made by April 1st to allow time for processing and ensure expenditures are paid prior to June 30th.

Request Processing

1. The State will review each request to ensure it is within the guidelines of this policy. LEAs who have not used any current funds to purchase cars will be given priority consideration.
2. The funds will be allotted if the State determines the reason for the request is within the guidelines of the qualifying conditions included in this policy, and the amount requested is within funds available. Additional funds allotted may not be used to purchase cars.
3. The allotment, if approved, will be made by April 15th.
4. If not approved, then a written letter of denial will be sent to the LEA indicating the reason for denial. Any reason for denial, other than insufficient funds, can be appealed to the Deputy Superintendent. A final appeal for consideration may be made to the State Board of Education.

FOCUSED EDUCATION REFORM PILOT PROGRAM

PROGRAM REPORT CODE: 071
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-071-XXX
 STATUTORY REFERENCE: HB 1473 Conference Committee Report on the Continuation, Capital and Expansion Budget.

TYPE: Dollars

TERM: July 1, 2008 - June 30, 2009

PURPOSE: Supports professional development stipends, recruitment signing bonuses, relocation stipends, and annual incentive awards for local education agency professionals who qualify for such funding through policies and criteria established by the Public School Forum of North Carolina. The program is referred to as The Collaborative Project and has three primary goals:

- A positive impact on student performance
- A positive impact on recruitment and retention
- Access by participating school systems to quality professional development resources

ELIGIBILITY: Five LEAs currently participate in the Collaborative Project. They are as follows: Caswell, Greene, Mitchell, Warren and Washington. Teachers and administrators receive stipends and/or incentive awards based on the validation of professional development participation and/or meeting specific criteria established and tracked by The Collaborative Project. Specific eligibility exists with the following:

Annual Incentive Awards:

elementary and middle school teachers, instructional support staff who are also eligible for ABC bonuses, assistant principals, and central office contacts

Deferred Compensation:

principals and superintendents

Professional Development Stipends:

elementary and middle school teachers and high school teachers seeking National Board Certification

Recruitment Signing Bonuses:

math and science teachers at the middle grades level and in the event that all math and science positions are filled, teachers at the elementary level.

Relocation Stipends:

Teachers relocating outside of a 50 mile radius of the school site to accept a position within the participating school system.

FORMULA:

The actual allotment of funds made to each LEA is based on budget projections shared by the executive director of the Public School Forum of NC. Allotments may be made in quarterly increments based on the tracking of expenditures, pre-registrations occurring at each LEA and expected distribution of annual incentive awards.

SPECIAL PROVISIONS: A special provision which mandates that funds appropriated for Focused Education Reform Pilot Program that are unexpended and unencumbered at the end of each fiscal year shall not revert but shall remain available for the expenditure for the duration of the pilot program.

FOREIGN EXCHANGE TEACHERS

PROGRAM REPORT CODE: 020
UNIFORM CHART OF ACCOUNTS CODE: XXXX-020-XXX
STATUTORY REFERENCE:

TYPE: Dollars

TERM: July 1 through June 30

PURPOSE: Provides a separate account into which LEAs may transfer teacher positions to cover a contract for a visiting international faculty member.

ELIGIBILITY: Each LEA is entitled to request a conversion of positions to dollar equivalents.

FORMULAS: None.

SPECIAL PROVISIONS:

To request a conversion the LEA must submit the form entitled "**Program Expansion Teacher Positions Request for Conversion to a Dollar Allotment**" to the Division of Pre-Kindergarten and Elementary Education. If approved, funds must be used in accordance with the plan submitted and approved.

Position conversion used to cover costs associated with bringing an international visiting faculty member to the LEA through the International Exchange Program will be converted at the statewide average salary (including benefits) for classroom teachers.

IMPROVING STUDENT ACCOUNTABILITY

PROGRAM REPORT CODE: 072
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-072-XXX
 STATUTORY REFERENCE: HB 1473, Section 7.12(a)

TYPE: Dollars

TERM: July 1 through August 31 of the subsequent fiscal year.

PURPOSE: To improve the academic performance of students who are performing at Level I or II (below grade level) on either reading or mathematics end-of-grade tests in grades 3-8, students who are performing at Level I or II on the writing tests in grades 4 and 7, and students who are performing at Level I or II on the high school end-of-course tests.

ELIGIBILITY: Each LEA is entitled to funding. To remain eligible for funds appropriated for Improving Student Accountability, local school administrative units must submit a report to the State Board of Education by October 31, of each year detailing the expenditure of the funds and the impact of these funds on student achievement. (SB 622, Section 7.61(b))

FORMULAS: Each LEA will receive funding based on the number of students who score at Level I or Level II on either reading or mathematics end-of-grade tests in grades 3-8.

CONTINGENCY PLAN:

If the required test data (see above) is not available at the time initial allotments are calculated, the LEA's preliminary allotment will be based on one-half of a LEA's prior year allotment. Once final test data has been received, the initial allotment will be recalculated based on legislated criteria and an adjustment will be made. There will be a one-year hold-harmless to 50% of prior year's funding if a LEA no longer qualifies for funding or has reduced funding in the current year.

SPECIAL PROVISIONS:

1. Per legislation, the principal of a school receiving these funds, in consultation with the faculty and the site-based management team, shall implement plans for expending these funds to improve the performance of students. The funds can only be used for students in grades 3-8 who are performing at Level I or II (below grade level) on either reading or mathematics end-of-grade tests in grades 3-8, students who are performing at Level I or II on the writing tests in grades 4 and 7, and students who are performing at Level I or II on the high school end-of-course tests.
2. The priority uses of the funds appropriated in this category shall be to....
 - (i) provide instructional positions or instructional support positions and/or professional development;
 - (ii) provide intensive in-school and/or after-school remediation; and

- (iii) purchase diagnostic software and progress monitoring tools. (SB 622, Section 7.61(a))
- 3. It is recommended that the local boards of education utilize federal funds such as Title I Comprehensive School Reform Development Funds, as well as analyze the use of state funds to ensure that every student is performing at, or above grade-level in reading and mathematics.
- 4. These funds shall not be transferred to other allocation categories or otherwise used for other purposes. Funds can be transferred into this allocation category.
- 5. Unexpended funds as of June 30 will be reallocated under PRC 072 and will remain available for expenditure through August 31st. Balances from carryover funds remaining after August 31st will be reduced from the allotment. Reallocation of funds is contingent upon State Budget Office approval.

INSTRUCTIONAL SUPPORT PERSONNEL – CERTIFIED

PROGRAM REPORT CODE: 007
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-007-1XX
 STATUTORY REFERENCE:

TYPE: Positions

TERM: 10 months: July 1 – June 30

PURPOSE: Provides funding for salaries for certified instructional support personnel to implement locally designed initiatives that provide services to students who are at risk of school failure as well as the students' families. It is the intent of the General Assembly that the positions must be used first for counselors, then for social workers and other instructional support personnel that have a direct instructional relationship to students or teachers to help reduce violence in the public schools. They shall not be used as administrators, coordinators, supervisors, or directors.

ELIGIBILITY: Each LEA is entitled to positions. The number of positions allotted is based on the formula listed below.

FORMULAS:

These positions are allotted on the basis of one per 200.10 allotted ADM. For city LEAs with an ADM of less than 3,000, all fractions will be rounded up to the next whole position. The positions are then multiplied by the LEA's average salary plus benefits.

After the first month of school, a LEA can request additional resources due to extraordinary student population growth. Allotments will be adjusted within available funds.

All partial positions .25 and over are rounded up to the nearest whole position.

SPECIAL PROVISIONS:

1. Local boards of education may transfer any portion of a position allotment to dollar allotments only for contracted services, which are directly related to school nursing and school psychology. Transfers for this purpose should be requested in writing to the Division of School Business. Converting certified position allotments to dollars for the purpose of hiring the same type position is not allowable. The following limitations apply to the conversion:

- a. **School Nurse:**

- One nurse for every 3,000 ADM or at least one per county.

- b. **School Psychologist:**

- One for every 2,000 ADM or at least one per county.
 - Certification that the local board was unable to employ certified school psychologists.
 - Contracted services are limited to initial evaluations, revaluations for exceptional children, assessments, consultations, and counseling.

c. Both Nurse and Psychologist:

- Prior approval from the Division of School Business.
 - Position (whole or half) will be converted at the statewide average salary level of an Instructional Support-Certified position, including benefits.
 - The dollar amount utilized for contracted services may not exceed the amount allocated to the local school system. The dollar allotment (salary, plus benefits) will be transferred to Instructional Support Personnel – Non-certified.
2. Each LEA is responsible for the identification of Instructional Support Personnel – Certified as teachers, librarians, school counselors, school psychologists, school nurses, and school social workers.
 3. Upon written notification to the Division of School Business, certified support personnel positions may be transferred to dollars for non-certified personnel. All transfer requests must be received within the fiscal year that payment to the individual is made. There will be no prior year adjustments approved. If this option is selected, the resulting positions and dollars will be transferred to a separate allotment category entitled "Instructional Support Personnel – Non-certified" which is a dollar allotment.
 4. The positions can be used for teachers to reduce class size in all grades without a State Board of Education (SBE) Waiver or a transfer of funds. Funds can be transferred for any purpose by submitting an [ABC Transfer Form](#).
 5. Any school which is eligible for a principal and contains grades K-12, with a total student population not sufficient to generate one instructional support position (ADM less than 150) will be allotted one instructional support position, within funds available for Instructional Support. This does not include schools identified as alternative or special schools. The LEA must allocate additional positions provided under this provision to the school that generated the additional positions.
 6. Small Restructured High Schools – Schools restructuring into smaller learning communities with agreements with the New Schools Project, will receive an additional instructional support position for a Guidance Counselor. (SB 622, Section 7.52)

INSTRUCTIONAL SUPPORT PERSONNEL – NON-CERTIFIED

PROGRAM REPORT CODE: 004
UNIFORM CHART OF ACCOUNTS CODE: XXXX-004-XXX
STATUTORY REFERENCE:

TYPE: Dollars

TERM: Varied, July 1 - June 30

PURPOSE: Upon written request from the local board of education, positions may be transferred from the Instructional Support Personnel – Certified allotment category to dollars in the Instructional Support Personnel – Non-certified allotment category for support personnel assistants and contracted services for school nursing or school psychology.

ELIGIBILITY: Each LEA is entitled to transfer positions based on approval of a written request to the Division of School Business.

FORMULA:

Requests for transferring positions and dollars of the categories below must be submitted to the Division of School Business. All transfer requests must be received within the fiscal year that payment to the individual is made. **There will be no prior year adjustments approved.**

1. Dollars for two Instructional Support Personnel - Non-certified Assistants will be allotted in lieu of one Instructional Support Personnel – Certified position. (Dollars based on the average salary plus benefits of 2 teacher assistants.)
2. Position allotments may be converted to dollars from the Instructional Support Personnel - Certified allotment to be used to contract for services directly related to school nursing or school psychology. (Dollars based on converting one certified position plus benefits.) (See Instructional Support Personnel – Certified: Special Provision 3)

LEA FINANCED PURCHASE OF SCHOOL BUSES

PROGRAM REPORT CODE: 120
UNIFORM CHART OF ACCOUNTS CODE: 6XXX-120-551
STATUTORY REFERENCE: HB 1473, Section 7.14(a)

TYPE: Dollars

TERM: July 1 - June 30

PURPOSE: Provides funding for the state school bus replacement program.

ELIGIBILITY: Each LEA is entitled to funding.

FORMULA:

1. Funds are allotted to replace vehicles based on age and mileage of the vehicles.
2. The initial allotment shall consist of one third of the total allotment. The remaining allotment shall be made in intervals of one third of the total allotment in subsequent years not to exceed three years.
3. DPI will issue checks in the amount of the allotted funds on the LEA's behalf for the installment payment.

SPECIAL PROVISIONS:

1. LEAs must purchase the buses only from vendors selected by the State Board of Education and on terms approved by the State Board of Education.
2. LEAs must use the funds only to make payments on a financing contract entered into pursuant to G.S. 115C-528 (see Formula #3 above). Funds cannot be transferred in or out of this PRC.

LEARN AND EARN

PROGRAM REPORT CODE: 055
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-055-XXX
 STATUTORY REFERENCE: G.S. 115C-238.50, HB 1473, Section 7.19

TYPE: Dollars

TERM: July 1 through June 30

PURPOSE: The purpose of the program is to create rigorous and relevant high school options that provide students with the opportunity and assistance to earn an associate degree or two year of college credit by the conclusion of the year after their senior year in high school.

These funds shall be used to establish new high schools in which a local school administrative unit, two and four-year colleges and universities, and local employers work together to ensure that high school and postsecondary college curricula operate seamlessly and meet the needs of participating employers.

ELIGIBILITY: Learn and Earn schools and planning sites that have been approved by the State Board of Education. (HB 320, Section 7.32(b))

FORMULAS: N/A

SPECIAL PROVISIONS:

1. During the first year of the Learn and Earn High School's operation, a principal shall be allotted regardless of the number of State-paid teachers assigned to the school or the number of students enrolled in the school. The budget flexibility authorized by G.S. 115C-105.25 does not apply to these positions.
2. The State Board of Education shall conduct an annual evaluation of this program. The evaluation shall include:
 - An accounting of how funds and personnel resources were utilized and their impact on student achievement, retention, and employability;
 - Recommended statutory and policy changes;
 - Recommendations improvement of the program.

The State Board of Education shall report the results of this evaluation to the Office of State Budget and Management, the Joint Legislative Education Oversight Committee, and the Fiscal Research Division, by January 15 of each year.

3. The LEA will utilize this funding to hire two positions:
 - Program Coordinator (School Administrator-level position).
 - Community Coordinator to provide counseling and to coordinate the workforce development activities of students (Student Services-level position).

Additionally, the higher education partner will receive funds from the grant to support a position to serve as a liaison for the program. The funding for this position is included in the allotment to the LEA. The LEA is responsible for negotiating with the higher education partner for the amount of funding necessary to support an instructor-level position.

Any remaining dollars will be available to the partnership to support start-up costs (\$10,000 first year only), professional development, related travel, materials, supplies, textbooks and other costs related to the student workforce experience.

4. This funding is not intended for capital expenses, faculty stipends or bonuses.
5. Funding is not to supplant any existing local, state or federal funds already used to operate the program.
6. The Department of Public Instruction may use up to \$430,000 in funds appropriated for Learn and Earn Online for 14 planning grants for Learn and Earn sites in the 2008-09 fiscal year. (HB 2438, Section 3.4)

LIMITED ENGLISH PROFICIENCY

PROGRAM REPORT CODE: 054
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-054-XXX
 STATUTORY REFERENCE: HB 1473, Section 7.9 (a)

TYPE: Dollars

TERM: July 1 through June 30

PURPOSE: To provide additional funding to LEAs/charter schools with students who have limited proficiency in English.

ELIGIBILITY: Eligible LEAs/charter schools must have at least 20 students with limited English proficiency (based on a 3-year weighted average headcount), or at least 2 1/2% of the ADM of the LEA/charter school. Funding is provided for up to 10.6% of ADM.

FORMULA:Calculate 3-Year Average Headcount

- Most current years available weighted twice (50%)
- Two previous years weighted once (25%)

Base Allocation

Each eligible LEA/charter school receives the minimum of 1 teacher assistant position.

1. 50% of the funds (after calculating the base) will be distributed based on the concentration of limited English proficient students within the LEA.
2. 50% of the funds (after calculating the base) will be distributed based on the weighted 3-year average headcount.

SPECIAL PROVISIONS:

1. The funds shall be used to supplement local current expense funds and shall **not** supplant local current expense funds.
2. Funds allotted for Limited English Proficiency must be expended only for classroom teachers, teacher assistants, tutors, textbooks, classroom materials / instructional supplies / equipment, transportation costs, and staff development needed to serve limited English proficient students.

LITERACY COACHES

PROGRAM REPORT CODE: 052
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-052-XXX
 STATUTORY REFERENCE: SB 1741, Section 7.13

TYPE: Position

TERM: 10 month, July 1 through June 30

PURPOSE: Provides funding for 200 literacy coaches.

ELIGIBILITY: Schools with the lowest average scores on the eighth grade end-of-grade reading test over the most recent three years for which data is available.

FORMULA: Each school is allotted one position at the statewide average instructional support salary including benefits.

SPECIAL PROVISIONS:

1. LEAs cannot exceed their allotted position(s).
2. Funding can only be used to pay salaries of literacy coaches at the eligible schools designated by the State Board of Education.
3. Any teaching area except restricted workforce development and JROTC. Reading K-12 (license 190) is preferred.
4. National Board for Professional Teaching Standards (NBPTS) certified teachers serving in these positions shall be exempt from requirement in G.S. 115C- 296.2(b)(2)d, and shall remain on the NBPTS teacher salary schedule.
5. If a qualified school is not able to employ a qualified literacy coach by October 31 of the current school year, the allotment for that school shall be removed and reallocated to the next school with the lowest average scores on the eighth grade end-of-grade reading test over the most recent three years to which a position had not previously been allotted.

LOW WEALTH COUNTIES SUPPLEMENTAL FUNDING

PROGRAM REPORT CODE: 031
 UNIFORM CHART OF ACCOUNTS CODE: XXX0-031-XXX
 STATUTORY REFERENCE: SB 622, Section 7.6

TYPE: Dollars

TERM: July 1 - June 30

PURPOSE: To provide supplemental funds in counties that do not have the ability to generate revenue to support public schools (per a legislated formula) at the state average level. The funding is to allow those counties to enhance the instructional program and student achievement.

ELIGIBILITY: Eligible LEAs are those located in counties in which the calculated county wealth (per the legislated formula) is less than 100% of the state average wealth.

FORMULA:

All data used for this formula will be the most recent data as of May 15, of the preceding fiscal year of the allotment.

1. Compare a county's ability to generate revenue (using three criteria) with the average ability to generate revenue of the other 99 counties in the state.

Purpose:

The overall wealth of a county is considered critical in determining if a county can generate the necessary revenue to support the LEA(s) located within the county. The formula attempts to project the county's ability to generate revenue as compared to other counties in North Carolina.

Criteria:

- a. **Anticipated Total County Revenue** - This anticipated revenue is generated from the property value in the county plus the revenue a county actually receives from sources such as sales taxes and fines and forfeitures. The property value has been adjusted by a formula to take into consideration the year the property was last valued.
This calculation is projected to be almost 95% of all the revenue available to a county for current expense.
- b. **Tax Base per Square Mile (Density)** - This part of the formula compares the value of a county's property per square mile with the state average property value per square mile. This calculation attempts to recognize the "swamp land" (low to no value property) across the state and to compare the quantity of this property with all other counties.
- c. **Per Capita Income** – A three-year average of a county's per capita income is compared to the same average for the total state. The per capita income is a basic indicator of a county's residents' ability to pay taxes.

2. Determine if a county is eligible to receive funding.

Purpose:

The factors outlined in Step 1 are weighted to determine how a county compares to all other counties in the state.

Criteria:

40% Anticipated Total County Revenue as a percentage of the State Average Anticipated State Revenue (see Step 1 (a)).

10% Tax Base per Square Mile as a percentage of the State Average Tax Base per Square Mile (see Step 1 (b)).

Combine the formula weights revenue/property as 50% of the calculation.

50% A county's Average per Capita Income as a percentage of the State Average per Capita Income (see Step 1 (c)).

When the three percentages are totaled, the sum indicates the county's wealth as a percentage of the state's average wealth. If the total is less than 100%, a county is eligible to receive funding.

3. Determine if a county is meeting a minimum effort to generate revenue or fund public schools as compared to a state average effort.

Purpose:

Minimum effort is established to determine if a county is committing a comparable effort compared to other counties within the State. To receive total funding, a county must either tax their local property at the State average or contribute more local dollars to public schools than the State average local contribution.

Criteria:

A county is fundable at 100% of the calculated funding if at least one of the following conditions is met:

- a. The county's actual tax rate is adjusted by a formula to take into consideration the year the property was last valued. The revised tax rate is classified as the Effective Tax Rate. If the county's Effective Tax Rate is higher than the state Average Effective Tax Rate, the county is fundable at 100% of the funding as calculated in the formula.

Counties that had effective tax rates in the prior fiscal year allotment that were above the State average effective tax rate but that had effective rates below the State average in the current fiscal year allotment shall receive reduced funding. This reduction shall be determined by subtracting the amount that the county would have received from the amount that the county would have received if qualified for full funding and multiplying the difference by ten percent. This method of calculating reduced funding shall apply one time only. This method shall not apply in cases in which the effective tax rate fell below the statewide average tax rate as a result of a reduction in the actual property tax rate. If the county documents that it has increased the per student appropriation to the school current expense fund in the current fiscal year, the State Board of Education shall include this additional per pupil appropriation when calculating minimum effort.

- b. If the county's appropriation per student is higher than what the county could provide given the county's ability to generate wealth and an average effort to fund public schools, then a county is eligible for 100% funding. To determine what a county could provide, the State average contribution is multiplied by the county's wealth percentage (total percentage in Step 2 above).

A county will be funded at a percentage of the calculated funding in the formula if the county is eligible for funding and does not meet the two criteria outlined above. A county's funding will be based on the percentage a county is contributing to public schools as compared to what the county could contribute based on the county's wealth and an average state effort.

4. Calculation of what a County (LEA) will be funded.

Purpose:

Once a county is determined to be Low Wealth, the next step is to calculate the amount of supplemental funds the county will receive.

Criteria:

The difference between the county's Appropriation per student and State Average Local Appropriation (including supplemental taxes) per student is multiplied by the county's ADM for a total allotment per formula.

If the county does not meet the minimum effort as described in Step 3, their allotment is prorated based on the effort they are maintaining.

The total for all counties is prorated to the appropriated funds.

SPECIAL PROVISIONS:

1. Funds allotted for a Low Wealth Supplement must be expended only for:

- Instructional positions
- Substitutes
- Instructional support positions
- Teacher assistant positions
- Clerical positions
- Overtime pay
- Instructional equipment
- Instructional supplies and materials
- Staff development
- Fringe benefits
- Textbooks
- Supplements for instructional personnel and instructional support personnel

as defined in the UNIFORM CHART OF ACCOUNTS.

2. These funds are to supplement, not supplant, local funds. Funds will not be allocated to any LEA found to have used these funds to supplant local per student current expense funds. A county is considered to have used these funds to supplant if the current expense appropriation per student of the county for the current year is less than 95% of the average of the local current expense appropriations per student for the three prior fiscal years.
3. The State Board of Education will consider requests from LEAs to waive the non-supplant requirement as outlined in the legislated low wealth and small county supplemental funding formula only under the following conditions:

The county can show:

- that it has remedied the deficiency in funding, or that extraordinary circumstances caused the county to supplant local expense funds with funds allocated under this section.

Examples include:

- Closing/merging schools within an LEA.
 - Loss of federal Impact Aid funds.
 - Significant change in the demand for county resources due to state/federal unfunded mandates, natural disaster, or other emergency.
4. **For FY 2008-09 only, funding was maintained at the FY 07-08 Levels plus Legislative salary increase and the restoration of 40% of the loss for LEAs losing funding from 06-07 to 07-08. (HB 2436, Section 7.10(a))**

MATH AND SCIENCE SUPPLEMENT PILOT

PROGRAM REPORT CODE: 080
UNIFORM CHART OF ACCOUNTS CODE: 5XXX-00800-XXX
STATUTORY REFERENCE: SB 1741, Section 7.21

TYPE: Dollars

TERM: July 1 - June 30

PURPOSE: Provides funding for a pilot program providing for a salary supplement for newly hired teachers (as defined by the State Board of Education) of mathematics or science at the middle or high school level.

ELIGIBILITY: The State Board of Education shall select three local school administrative units to participate in the pilot program. In selecting the units, the Board shall target low-performing local school administrative units and take geographical diversity into account.

FORMULA: Each of the pilot units shall receive \$15,000 to provide a salary supplement to up to 10 newly hired teachers at the middle or high school level. Funds will not be allotted. The LEA will report valid expenditures.

SPECIAL PROVISIONS:

1. The newly hired teacher must have a non-provisional certification in and be teaching in one or more of the following areas of teacher certification:
 - a. Middle grades mathematics
 - b. Middle grades science
 - c. Mathematics (9-12)
 - d. Science (9-12)
 - e. Earth science (9-12)
 - f. Biology (9-12)
 - g. Physics (9-12)
 - h. Chemistry (9-12)
2. The salary supplement will be discontinued if..
 - the teacher is reassigned to school where there is no such salary supplement.
 - the teacher is no longer teaching in one of the above certification areas.
 - the supplement is no longer funded in the budget.
3. Funds not needed to pay for salary supplements shall revert to the General Fund.

MENTOR POSITIONS

PROGRAM REPORT CODE: 022
 UNIFORM CHART OF ACCOUNTS CODE: 5XXX-022-XXX
 STATUTORY REFERENCE: SB 622, Section 7.21

TYPE: Dollars

TERM: Varied, July 1 - June 30

PURPOSE: Provides funding for teacher mentors.

ELIGIBILITY: All LEAs are eligible.

FORMULA: The State Board of Education shall allot funds for mentoring services Mentor Pay to local school administrative units based on the highest number of employees in the preceding three school years who (i) are paid with State, federal, or local funds and (ii) are either teachers paid on the first or second steps of the teacher salary schedule or instructional support personnel paid on the first step of the instructional support personnel salary schedule. The allocation is dollars per eligible personnel.

SPECIAL PROVISIONS:

1. LEAs cannot exceed their allotment.
2. Funding can only be used to provide mentor services to either teachers paid on the first or second steps of the teacher salary schedule or instructional support personnel paid on the first step of the instructional support personnel salary schedule.
3. Local school administrative units shall use these funds to provide mentoring support to eligible employees in accordance with a plan approved by the State Board of Education. The plan shall include information on how all mentors in the local school administrative unit will be adequately trained to provide mentoring support.
4. A local board of education may assign teachers with NBPTS certification to serve as full-time mentors as follows: (G.S. 115C-296.2(e1), Section 1)
 - (1) The maximum number of teachers with NBPTS certification that a local board of education may assign to serve as full-time mentors is the greater of (i) five or (ii) five percent (5%) of the number of teachers with NBPTS certification it has employed during the school year immediately preceding the assignment of teachers as full-time mentors.
 - (2) A teacher must teach in a classroom for at least two years after receiving NBPTS certification to be eligible for assignment as a full-time mentor.
 - (3) A teacher must have completed the mentor training required by the teacher's local school administrative unit to be eligible for assignment as a full-time mentor.
 - (4) A teacher may serve as a full-time mentor for up to three consecutive years.

- (5) After service as a full-time mentor, a teacher must teach in a classroom for at least three years to be eligible for reassignment as a full-time mentor.
 - (6) A teacher serving as a full-time mentor shall be school-based, work at one or more schools, and mentor each year at least 15 newly hired teachers who are in their first through third year of teaching."
5. Teachers with NBPTS certification serving as full-time mentors under G.S. 115C 296.2(e1), Section 2. shall remain on the NBPTS teacher salary schedule.

NONINSTRUCTIONAL SUPPORT PERSONNEL

PROGRAM REPORT CODE: 003
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-003-XXX
 STATUTORY REFERENCE:

TYPE: Dollars

TERM: Varied, July 1 - June 30

PURPOSE: Provides funding for non-instructional support personnel and associated benefits. These funds may be used at the central office or at individual schools. The funds may be used for:

- Clerical Assistants
- Custodians
- Duty Free Period
- Liability Insurance
- Substitutes
- Textbook Commission Clerical Assistant

ELIGIBILITY: Each LEA is entitled to funding. The dollars allotted are based on the formula listed below.

FORMULAS: Funds are allotted on the basis of dollars per allotted ADM. \$6,000 for clerical assistants is allotted to each LEA with a member in the textbook commission.

SPECIAL PROVISIONS

1. The LEA shall determine the assignment, the length of employment, and the pay level in accordance with the North Carolina Public School Personnel [State Salary Schedule](#). Substitutes must be paid in accordance with General Information Section of the Allotment Policy Manual.
2. There is no limit on the number of individuals that can be employed as long as all employees are placed on the North Carolina Public School Personnel State Salary Schedule and the LEA does not exceed the total dollars.
3. By the end of the third payroll period, the average salary paid at the LEA must be at least 98% of the State-allotted amount for clerical assistants and custodians.
4. If included in a school's improvement plan, funds may be transferred for teachers. Up to 3% of allocated funds may be transferred for staff development. Submit an [ABC Transfer Form](#) to transfer funds. (G.S. 115C-105.25.2A).
5. Employment of Textbook Commission Clerical Assistants is at the discretion of the Textbook Commission member; however the employment of clerical assistants must follow the guidelines that govern the employment of other personnel within the LEA. Members are strongly advised **not** to hire members of their immediate family. The LEA that employs the

clerical assistant codes their salary as an expense.

6. An increase in each LEA's allotment will be made on a monthly basis based upon the LEAs extended sick leave, personal leave, and other leave requiring deductions from the teacher's pay (\$50).
7. A reserve of \$250,000 has been established for LEAs who are in need of economic relief due to prolonged teacher absences resulting in an unpredictably large increase in substitute expenses. LEA requests for funds will be granted based on the criteria in this policy as long as funds are available.
 - a. The LEA must send a written request to the Public Schools of North Carolina, School Allotments Section, stating:
 - i. Why are additional funds needed? (Provide details of the qualifying condition with supporting documentation.)
 - ii. The amount needed. (Provide details of how this amount was determined.)
 - iii. Why other sources of funds are not available.
 - b. The LEA must have not transferred any funds out of non-instructional support during the fiscal year for which additional funds are requested.
 - c. Request must be made by April 1 to allow time for processing and ensure expenditures are paid prior to June 30.
8. If the Governor, State Board Chairman, or State Superintendent of Public Instruction schedules an event (meeting) to invite teachers, funds will be allotted to cover the cost of substitutes while the teachers are out of the classroom.
9. Small Restructured High Schools – Schools restructuring into smaller learning communities with agreements with the New Schools Project, will receive funding equivalent to two additional clerical positions. (SB 622, Section 7.52)
10. **For 2008-09 only**, the General Assembly appropriated \$5 million dollars for one day of Personal Leave substitute reimbursement for eligible employees. The allocation is made based on dollars per ADM.

SCHOOL BUILDING ADMINISTRATION

PROGRAM REPORT CODE: 005
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-005-XXX
 STATUTORY REFERENCE: G. S. 115C-284

TYPE: Months of Employment (MOE's)

TERM: 10, 11, or 12 months, July 1 - June 30

PURPOSE: Provides funding for salaries including benefits for principals and assistant principals.

ELIGIBILITY: Each LEA is entitled to months of employment. The months of employment allotted are based on the formulas listed below.

FORMULAS:

Principal and Assistant Principal allotments have been consolidated to allow more flexibility at the local level. The allotments are calculated separately and then combined into one allotment.

PRINCIPALS:

Each school with 100 or more pupils in final ADM and/or seven or more full-time equivalent state allotted/paid teachers and instructional support personnel, unrounded, (based on prior year 6th pay period) is entitled to twelve months of employment for a principal. Year-round schools, multi-track organizational patterns, and other sub-urbanization patterns developed within the existing framework of an established campus are not automatically entitled to additional months of employment. The total months are then multiplied by the LEA's average monthly salary (based on prior year 6th pay period salary adjusted for legislated increases and benefits).

If a school opens on or after November 1, a principal allotment will be prorated based on the date of the school opening, within available funds. New school openings will be verified based on current year 2nd month ADM and/or FTE data as of current-year 5th pay period. If the schools are not open, or if they do not qualify for a principal, their principal allotment will be reduced. If this ineligible school was allotted 4 additional principal months in the previous fiscal year for school planning, the 4 months will also be reduced from the current year's allotment.

ADM is based on the final ADM for the prior year as reported on the Principal Monthly Reports (PMR).

FTE information obtained from the 5th and 6th pay periods will be as reported. 202 adjustments will not be considered.

ASSISTANT PRINCIPALS:

One month of employment per 80 allotted ADM rounded to the nearest whole month. The total months are then multiplied by the LEA's average monthly salary (based on prior year 6th pay period plus LI) plus benefits.

Fractions of months of employment are rounded up to the nearest month for small city LEAs with ADM less than 3,000 students.

SPECIAL PROVISIONS:**PRINCIPALS:**

1. If a school does not meet the conditions specified above, then a teaching position must be used as principal of that school. Teachers employed as principals of schools with less than seven but more than two teachers should be paid for ten months on the appropriate pay level for principals within the "0-10" teacher classification as specified in the North Carolina Public School Personnel [State Salary Schedule](#).
2. In the event the closing or reorganization of schools in an LEA causes a reduction in the principal allotment, the allotment will be held harmless the first and second fiscal years following the reduction of the principal allotment, provided that the reduction in the principal allotment continues in the second year.
3. A teacher may be reassigned to fill in for a principal after the principal has been on sick leave for at least ten (10) days. The reassignment shall be allowed until the principal returns to work or exhausts sick leave.
4. Funds can be transferred for any purpose by submitting an [ABC Transfer Form](#). The salary transferred will be based on the statewide average. Converting certified position allotments to dollars for the purpose of hiring the same type position is not allowable.
5. The State Board of Education must approve waivers for placement of Principals on the salary schedule for Low-Performing schools.
6. Upon the identification of a school as low-performing, the State Board shall proceed under [General Statutes](#) 115C-325(q) for the dismissal of the principal assigned to that school.
7. A LEA can submit in writing to the School Allotments Section a request for up to four additional principal months of employment for new schools (which will qualify for a principal allotment). This request needs to include the following information: school opening date, number of months requested, estimated ADM, estimated state allotted/paid teacher and instructional support FTEs, and the effective date that the principal begins the performance of administrative duties for the new school. This policy only applies to additional regular education schools opening within the district. It does not apply to alternative schools or schools that are replacing existing schools within the LEA. The allotment could be prorated based on the date that the request is received. The allotment of additional principal months will depend on the availability of funds.
8. Small Restructured High Schools – Schools restructuring into smaller learning communities with agreements with the New Schools Project, will receive a principal position per new site. This position is to be paid at least a Principal level III.

ASSISTANT PRINCIPALS:

1. These positions must be used only for non-teaching activities.
2. LEAs may employ assistant principals for 10, 11, or 12 months. LEAs cannot exceed allotted months of employment.
3. Assistant principal months may be allotted within funds available to a LEA if the sub-

- organizational structure of a school within that LEA creates a distinct separate operating entity within an existing school such as an exceptional children wing of a school with integrated/shared programs. The allotments must be requested and appropriated on an annual basis. The LEA must show a need for funding not already included in the regular ADM assistant principal allotment or exceptional children programs. The identification of separate grade spans within a school does not qualify for additional funding under this provision.
4. Funds can be transferred for any purpose by submitting an approved [ABC Transfer Form](#). The salary transferred will be based on the statewide average. Converting certified position allotments to dollars for the purpose of hiring the same type position is not allowable.
 5. Small Restructured High Schools – New schools within an existing school that are a part of the eight pilot site schools restructuring into smaller learning communities with agreements with the New Schools Project, will be reduced for Assistant Principal Months of Employment. (SB 622, Section 7.52)

SCHOOL CONNECTIVITY

PROGRAM REPORT CODE: 073
 UNIFORM CHART OF ACCOUNTS CODE: XXX0-0073-XXX
 STATUTORY REFERENCE: HB 1473, Section 7.28 (2007);
 HB 2436, Section 7.6 (2008)

TYPE: Dollars

TERM: July 1 - June 30, 2010

PURPOSE: Funds are appropriated in this act to support the enhancement of the technology infrastructure for public schools.

ELIGIBILITY: Each LEA or Charter that applies for E-rate refunds and has an Approved School Technology Plan is entitled to funding.

FORMULA: Each LEA receives a percentage of the actual LEA wide area network and Internet costs after E-Rate discounts. Because funding and E-rate requests may change each year, this percentage may vary.

SPECIAL PROVISIONS:

1. These funds shall be used for broadband access, equipment, and support services that create, improve and sustain equity of access for instruction opportunities for public school students and educators.
2. The funds appropriated shall be used to implement a plan approved by the State Board of Education to enhance the technology infrastructure for public schools that supports teaching and learning in the classrooms.
3. Funds currently used for the services covered by these new funds shall not be supplanted by this additional funding and shall be used to support instructional technologies and local infrastructure in schools in support of the acquisition and delivery of instructional technology resources to the classroom.
4. Any refunds received for services paid with these technology funds shall return to the originating technology fund.
5. Up to \$600,000 may be transferred annually through June 30, 2013, to the Friday Institute of North Carolina State University to evaluate the effectiveness of using technology and its impact on 21st Century Teaching and Learning outcomes approved by the State Board of Education. (HB 2436, Section 7.6 (a))
6. Of the funds allocated, the sum of \$250,000 may be used to sustain the Education E-learning Portal. (HB 2436, Section 7.6(b))
7. Up to \$300,000 may be transferred to the Office of the Governor to establish NC Virtual within the Education Cabinet. These funds may be used for services to coordinate E-learning activities across the State educational agencies. (HB 2436, Section 7.28 (f))
8. The State Board of Education may use up to \$1 million to establish up to eight regional positions or contract services regionally to assist local school administrative units in implementing the Initiative. (HB 2436, Section 7.28 (h))

SCHOOL TECHNOLOGY FUND

PROGRAM REPORT CODE: 015
UNIFORM CHART OF ACCOUNTS CODE: XXXX-015-XXX
STATUTORY REFERENCE: G.S. 115C-102.6

TYPE: Dollars

TERM: July 1: carries over until spent

PURPOSE: Provides funding to LEAs for the development and implementation of a local school technology plan.

ELIGIBILITY: Each LEA is entitled to funding.

FORMULA: Funds for School Technology are distributed based on allotted ADM in grades K-12.

SPECIAL PROVISIONS:

1. Two or more LEAs may jointly expend funds to develop their individual local school technology plans for staff development or to implement their individual local school technology plans.
2. Funds must be expended in accordance with the LEA's School Technology Plan.
3. Monthly allotment adjustments will be made to each LEA based on interest received on their account from the Department of State Treasurer. These monthly adjustments are based on each LEA's average daily balance for the month in their School Technology Fund.
4. One time annual adjustment for fines and penalties will be made to each LEA and Charter School with an approved technology plan. Funds are allotted based on allotted ADM.
5. Monthly allotment adjustments will be made to each LEA based on transfers from the School Building Fund once received from the Division of School Support, School Planning Section.
6. No transfers are allowed.

SCHOOL TECHNOLOGY PILOT

PROGRAM REPORT CODE: 081
UNIFORM CHART OF ACCOUNTS CODE: XXXX-081-XXX
STATUTORY REFERENCE: HB 1473, Section 7.39

TYPE: Dollars

TERM: July 1, 2008 - June 30, 2009

PURPOSE: The NC 1:1 Laptop Pilot initiative is a public-private partnership between the Golden Leaf Foundation, SAS Institute, and the NC General Assembly that will provide 21st century resources to teachers and students at eight North Carolina high schools. The program goal is to increase student engagement by introducing technology in support of the educational approach selected by each school, to graduate students who are prepared for life in the 21st century, and to increase student achievement. The project will assess effectiveness through formal program evaluation that considers a variety of potential program effects including increased student achievement, student attendance, teacher retention, and improved instructional methods.

ELIGIBILITY: Eight Pilot High Schools that will incorporate technology in the classroom by providing computer for all teachers and students in the pilot school.

FORMULA: Classroom tools allocations are based upon a base of \$100,000 per school plus \$100 per student.

SPECIAL PROVISIONS:

1. Pilot Sites can use up to \$130,000 per school for instructional and technical personnel.
2. Funds do not revert until June 30, 2009.
3. Up to \$100,000 may be used to contract with an independent research organization to study the effectiveness of the pilot program on student achievement, to complete a cost-benefit analysis, to make recommendation for improvements in the program, and to make recommendations regarding the possible continuance of expansion of the program. (HB 1473, Section 7.39 (a))

SMALL COUNTY SUPPLEMENTAL FUNDING

PROGRAM REPORT CODE: 019
 UNIFORM CHART OF ACCOUNTS CODE: XXX0-019-XXX
 STATUTORY REFERENCE: HB 1473, Section 7.7

TYPE: Dollars

TERM: July 1 - June 30

PURPOSE: To provide additional funds to special small school systems.

ELIGIBILITY: County LEAs with less than 3,239 ADM are entitled to funding. Also entitled are county LEAs with ADM between 3,239 and 4,080 whose adjusted property tax base per student is below the state average adjusted property tax base per student.

See Special Provision #1 for the hold harmless policy regarding ineligibility because of an increase in ADM or an increase in the county adjusted property tax base.

FORMULA:

The sum of:

1. The dollar equivalent of rounding up all fractions of position allotments to the next whole position (Example: 1.1 = 2).
2. The dollar equivalent of five and one-half additional regular classroom teachers for LEAs in which the ADM per square mile is greater than four. For LEAs in which the ADM per square mile is equal to or less than four, the dollar equivalent of seven additional regular classroom teachers is allotted.
3. The dollar equivalent of additional program enhancement teachers adequate to offer the standard course of study.

<u>ADM Range</u>	<u>Additional Program Enhancement Teachers</u>
0 - 1,100	5
1,101 - 1,500	4
1,501 - 1,900	3
1,901 - 2,300	2
2,301 - 2,999	1

Effective July 1, 1999, if a LEA is eligible for Small County Supplemental Funding, they will receive at least the dollar equivalent of one Program Enhancement Teacher.

4. The dollar equivalent of one teacher assistant per 400 ADM less the initial duty-free period allocation (\$2 per ADM).
5. The dollar difference between the allotment for Classroom Materials/ Instructional Supplies/Equipment and \$788,789.
6. The dollar equivalent of Career Technical Education months of employment and program

support generated based on sixth grade ADM.

SPECIAL PROVISIONS:

1. If a local school administrative unit becomes ineligible for funding under this formula because of (1) an increase in the population of the county in which the local school administrative unit is located or (2) an increase in the county-adjusted property tax base per student of the county in which the local school administrative unit is located, funding for that unit shall be continued for seven years after the unit becomes ineligible. (HB 1473, Section 7.7 (c))
2. Uses of Funds:
 - a. Funds allotted for small school systems may be used for any type expenditure eligible from State fund allotments (excluding central office administration); however, based on N.C. G.S. 115C-408(b), these funds are not available for capital construction projects.
 - b. An amount not to exceed ten thousand dollars (\$10,000) may be used to pay towards the plant operation contract cost charged by the Department of Public Instruction for services.
 - c. Local Boards of Education are encouraged to use 20% of the small county funds they receive to improve the academic performance of children who are performing at Level I or II on either reading or mathematics end-of grade tests in grades 3-8 and children who are performing at Level I or II on the writing tests in grades 4 and 7. Local boards of education shall report to the State Board of Education on an annual basis on funds used for this purpose, and the State Board shall report this information to the Joint Legislative Education Oversight Committee. (SB 1473, Section 7.7(f))

Each LEA will need to develop its own chart of accounts using PRC 019 with any valid purpose and object code in the Chart of Accounts.

3. These funds are to supplement, not supplant, local funds. Funds will not be allocated to any LEA found to have used these funds to supplant local per student current expense funds.

A county is considered to have used these funds to supplant if the current expense appropriation per student of the county for the current year is less than 95% of the average of the local current expense appropriations per student for the three prior fiscal years.

4. The State Board of Education will consider requests from LEAs to waive the non-supplant requirement as outlined in the legislated low wealth and small county supplemental funding formula only under the following conditions:

The county can show:

- That it has remedied the deficiency in funding, or
- That extraordinary circumstance caused the county to supplant local current expense funds with funds allocated under this section.

Examples include:

- Closing/merging schools within a LEA.
- Loss of federal Impact Aid funds.
- Significant change in the demand for county resources due to state/federal unfunded mandates, natural disaster, or other emergency.

5. The expansion funds appropriated for small school system supplemental funding in this act, the sum of seven hundred and five thousand one hundred and seventy-two dollars (\$705,172) shall be distributed to county school administrative units that have less than 1,300 students and have experienced a decline in average daily membership since the 2001-2002 school year. These funds shall be used to reduce the ratio of students to teachers in grades K-5 by one, in grades 6-8 by two, and in grades 9-12 by three.

STAFF DEVELOPMENT

PROGRAM REPORT CODE: 028
UNIFORM CHART OF ACCOUNTS CODE: XXXX-028-XXX
STATUTORY REFERENCE: G.S.115C-105.30, G.S. 115C-417, HB 397,
Section 7.34

TYPE: Dollars

TERM: July 1 – December 31 of the subsequent fiscal year.

PURPOSE: Provides funding for staff development. At least 75% of these funds must be allotted to schools.

ELIGIBILITY: Each LEA is entitled to funding based on the formula listed below.

FORMULAS:

This allotment is based on the following calculation:

1. Base amount of \$750 per LEA.
2. Twenty-five percent (25%) of the total certified budget (less base amount) is divided evenly among all LEAs.
3. Seventy-five percent (75%) of the total certified budget (less base amount) is divided equitably based on ADM.

SPECIAL PROVISIONS:

1. Unexpended funds as of June 30 will be reallocated under PRC 028 and will remain available for expenditure through December 31st. Balances from carryover funds remaining after December 31st will be reduced from the allotment. Reallocation of funds is contingent upon State Budget Office approval. (G. S. 115C – 417)
2. Funds can be transferred for any purpose by submitting an [ABC Transfer Form](#).
3. Local boards of education may use up to ten percent (10%) of State funds allocated for staff development to contract with Regional Education Services Alliances without such funds being subject to the provisions of (G.S. 115C-105.30).

TEACHER ASSISTANTS

PROGRAM REPORT CODE: 027
 UNIFORM CHART OF ACCOUNTS CODE: 5XXX-027-XXX
 STATUTORY REFERENCE:

TYPE: Dollars

TERM: Varied, July 1 - June 30

PURPOSE: Provides funding for salaries and benefits for regular and self-contained teacher assistants.

ELIGIBILITY: Each LEA is entitled to funding based on ADM.

FORMULA: Funds are allotted based on allotted ADM in grades K-3. Benefits are included.

SPECIAL PROVISIONS:

1. The LEA shall determine the length of employment and the pay level for teacher assistants in accordance with the North Carolina Public School Personnel [State Salary Schedule](#).
2. No limit exists on the number of individuals that can be employed as long as all employees are placed at a minimum pay level of 56 in accordance with the **North Carolina Public School Personnel State Salary Schedule**, and the LEA does not exceed the total dollar allotment.
3. If included in a school's improvement plan, ABC transfers will be allowed from teacher assistants for teachers in Grades K-3. Positions must be vacant to transfer.
4. Teacher assistants are to serve students only in grades K-3. Grade placement may be waived if in a school's improvement plan to serve students in a school that contains grades K-3 when the teacher assistant is assigned to serve all students in the school. **(G.S. 115C-105.25.1)**
5. By the end of the third payroll period, the average salary paid at the LEA must be at least 98% of the State-allotted amount for teacher assistants.

TEXTBOOKS

PROGRAM REPORT CODE: N/A
 UNIFORM CHART OF ACCOUNTS CODE: N/A
 STATUTORY REFERENCE:

TYPE: Dollars

TERM: July 1 - June 30, plus limited carryover

PURPOSE: Provides funding for state-adopted textbooks. LEAs should use their state textbook funds to provide, from the state-adopted list, textbooks for handicapped students.

ELIGIBILITY: Each LEA is entitled to funding.

FORMULA: Funds for Textbooks are distributed based on allotted ADM in grades K-12.

SPECIAL PROVISIONS:

1. Requests for reimbursement of local fund expenditures for textbooks for handicapped students should be submitted to the Division of Financial Services, Textbooks Section.

2. Textbook funds that are eligible to carry over are calculated as follows:

	Prior Year June 30 textbook balance
Plus:	Current year allotment
Less:	<u>Current year textbook expenses</u>
Equals:	Funds eligible to carry over

3. If included in a school's improvement plan, funds may be transferred only to Classroom Materials / Instructional Supplies / Equipment (PRC 061) by submitting an [ABC Transfer Form](#).

4. Textbooks are defined under G.S. 115C-85 as "systematically organized material comprehensive enough to cover the primary objectives outlined in the standard course of study for a grade or course." Formats for textbooks may be print or non-print, including hardbound books, softbound books, activity oriented programs, classroom kits, and technology-based programs that require the use of electronic equipment in order to be used in the learning process.

5. Local boards of education may:

- Select, procure, and use textbooks that have not been adopted by the State Board of Education for use throughout the local school administrative unit for selected grade levels and courses; in addition to
- An approved school improvement plans developed under G.S. 115C-105.22 that include provisions for using textbooks that have not been adopted by the State Board of education for selected grade levels and courses.

6. House Bill 1908, Section 7, states that the State Board of Education shall ensure that the Allotment Policy Manual includes, in fiscal year 2006-2007 and thereafter, the following language related to the local education agencies' use of funds allotted for textbooks: "Local Education Agencies (LEAs) shall use their State textbook funds to provide to the same extent as is provided to non-disabled students, textbooks for students with disabilities. LEAs also shall, at a minimum, provide teachers of children with disabilities with the same teachers' editions provided to teachers of non-disabled students."

All textbook contracts made under this subsection shall include a clause granting to the local board of education the license to produce Braille, large print, and audiocassette tape copies of the textbooks for use in the local school administrative unit.

TRANSPORTATION OF PUPILS

PROGRAM REPORT CODE: 056
 UNIFORM CHART OF ACCOUNTS CODE: 6XXX-056-XXX
 STATUTORY REFERENCE:

TYPE: Dollars

TERM: July 1 - June 30

PURPOSE: Provides funding for all transportation related expenses for “yellow bus” use for eligible school age (K-12) students for travel to and from school and between schools. Examples of these expenses are contract transportation, transportation personnel (other than Director, Supervisor, and Coordinator), bus drivers' salaries, benefits, fuel, and other costs as defined in the Uniform Chart of Accounts. This includes expenses for contract transportation when a local school district finds it impracticable to furnish transportation by yellow bus for eligible school age (K-12) students for travel to and from school and between schools.

ELIGIBILITY: Each LEA is entitled to funding.

FORMULA:

1. Allotted based on a "budget rating" funding formula using the following factors: pupils transported; total eligible operating expenditures (local and state funds); number of buses operated.
2. The initial allotment shall consist of a portion of the projected final allotment.
3. The initial allotment will be adjusted within available funds by December 1. This adjustment is derived from establishing a final budget rating calculated annually from the three key factors outlined in #1 above.

SPECIAL PROVISIONS:

1. Funds can be transferred into or out of this category by submitting an [ABC Transfer Form](#). Transfers will impact efficiency ratings.
2. These funds may not supplant other state, federal and local programs use of the “yellow bus” that serve the instructional purpose of the school, such as Pre-K, Smart Start, Head Start, Remediation Programs, Summer School, NC State Fair, Special Olympics, NC Symphony and other instructional field trips. When allotted state transportation funds are used to provide transportation services for these programs, the responsible program must reimburse this fund.
3. Allotted funds cannot be used for the maintenance of local vehicles and machinery such as - activity buses, staff vehicles, school maintenance trucks and vehicles, etc. When state funds are used to pay for this kind of activity, those state funds must be reimbursed from local (or other non-state) sources.
4. State Board of Education Policy states, "In order to be eligible to receive any mid-year transportation allotment resulting from increased fuel prices, an LEA must have a reduced

idling policy in place at the beginning of the school year. For the 2005-2006 school year, the policy must be in place no later than January 10, 2006. The local policy must, at a minimum, prohibit all unnecessary school bus idling on school grounds and prohibit the warming up of buses longer than 5 minutes. As always, any increase in allotments will be subject to the availability of funds."

WAIVERS FOR UNAVAILABLE CATEGORIES

PROGRAM REPORT CODE: 006
UNIFORM CHART OF ACCOUNTS CODE: XXXX-006-XXX
STATUTORY REFERENCE:

TYPE: Dollars

TERM: July 1 through June 30

PURPOSE: Provides a separate account into which LEAs may transfer funds to cover the purchases of items (not personnel) not found in the Chart of Accounts for other categories.

ELIGIBILITY: Each LEA is entitled to transfer funds to this account.

FORMULAS: N/A

SPECIAL PROVISION:

If included in a school's Improvement Plan, funds may be transferred to this category by submitting an [ABC Transfer Form](#) to the School Allotments Section.

Career Technical Education

ADDRESS QUESTIONS RELATED TO THIS CATEGORY TO THE FOLLOWING:

- Allotments: Division of School Business
School Allotments Section
(919) 807-3739
- Expenditures/Salary: Division of School Business
Information Analysis and Reporting Section
Expenditures: (919) 807-3725
Salary: (919) 807-3708
- Program/Budgeting: Division of Career and Technical Education
(919) 218-2623
PRC – 013 – State Months of Employment
PRC – 014 – State Program Support
PRC – 017 – Federal Program Improvement
- Program/Budgeting: Division of Career and Technical Education
CTE Support Services
(919) 807-3656
PRC – 23 – Tech Prep

Career Technical Education Allotment Formulas

Career Technical Education - State: Months Of Employment (PRC 013)1
Career Technical Education - State: Program Support Funds (PRC 014)2
Career Technical Education - Federal: Program Improvement (PRC 017)..... 3
Career Technical Education - Federal: Tech Prep Education (PRC 023).....4

Formulas listed by PRC

013 – Career Technical Education – State - Months Of Employment1
014 – Career Technical Education – State - Program Support Funds2
017 – Career Technical Education – Federal - Program Improvement3
023 – Career Technical Education – Federal - Tech Prep Education4

CAREER TECHNICAL EDUCATION - STATE: MONTHS OF EMPLOYMENT

PROGRAM REPORT CODE: 013
UNIFORM CHART OF ACCOUNTS CODE: XXX0-013-XXX
STATUTORY REFERENCE: HB1414, Section 7.37

TYPE: Months of Employment

TERM: 10, 11, & 12 months, July 1 - June 30

PURPOSE: To develop more fully the academic, career and technical skills of secondary students who elect to enroll in Career Technical Education programs.

ELIGIBILITY: Each LEA is entitled to months of employment.

FORMULA:

Each LEA will receive a base of 50 months. Remaining months will be allotted based on allotted ADM in grades 8-12. (HB 1414 – Section 7.37)

Months of employment are rounded to the nearest whole month. For city LEAs with less than 3,000 ADM, all fractions of a month are rounded up to the nearest whole month.

SPECIAL PROVISIONS:

1. Refer to Merged LEAs Section 01, Subsection E.
2. Months can be transferred to Career Technical Education Program Support (PRC 014). Submit an [ABC Transfer Form](#) to transfer funds. The dollar amount transferred will equal the average salary including benefits for Career Technical Education MOEs. Converting certified position allotments to dollars for the purpose of hiring the same type position is not allowable.
3. Career Technical Education MOEs up to the maximum state salary increase can be transferred to other programs.

CAREER TECHNICAL EDUCATION - STATE: PROGRAM SUPPORT FUNDS

PROGRAM REPORT CODE: 014
UNIFORM CHART OF ACCOUNTS CODE: XXX0-014-XXX
STATUTORY REFERENCE: HB 1414, Section 7.37

TYPE: Dollars

TERM: July 1 - June 30

PURPOSE: Provides funding to assist LEAs in expanding, improving, modernizing, and developing quality Career Technical education programs.

ELIGIBILITY: Each LEA is entitled to funding based on ADM in grades 8-12. (HB 1414 – Section 7.37)

FORMULA: Each LEA is eligible for a base amount of \$10,000. Remaining funds are allotted based on allotted ADM in grades 8-12.

SPECIAL PROVISION:

Career Technical Education Program Support dollars up to the maximum annual state salary increase can be transferred to other programs. Submit an [ABC Transfer Form](#) to transfer funds.

CAREER TECHNICAL EDUCATION - FEDERAL: PROGRAM IMPROVEMENT

PROGRAM REPORT CODE: 017
 UNIFORM CHART OF ACCOUNTS CODE: XXX0-017-XXX
 CFDA #: 84.048A

TYPE: Dollars

TERM: Up to 12 months

PURPOSE: Provides funding to assist in developing the academic, career and technical skills of secondary and postsecondary students who elect to enroll in career and technical education programs.

ELIGIBILITY: Each LEA/charter school is entitled to funding based on applications approved by the Division of Career and Technical Education.
Application must be approved prior to the LEA/charter school receiving the allotment.

FORMULA:

Of the total funds available for State Aid, allocation to LEAs/charter schools will be based on the following:

1. Thirty percent (30%) will be allotted based on individuals ages 5-17 who resided in the school district served by the LEA.
2. Seventy percent (70%) will be allotted based on individuals ages 5-17 who reside in the school district served by the LEA from families with incomes below the poverty line.

SPECIAL PROVISIONS:

1. Any LEA or charter school earning less than \$15,000 based on the formula must enter a consortium with other LEAs or be granted a waiver by the State Board of Education from the minimum grant requirement to be eligible for a grant. Once a waiver has been approved, it will remain in effect throughout the duration covered by the state plan.
2. The allocation for LEAs and charter schools will be reduced according to the formula listed above for new charter schools. A charter school must submit an application by the application deadline date and serve grades 7-12 in order to receive funding.
3. Grant funds will be available for up to 12 months. All projects must end June 30, with no liquidation period allowed beyond that date.
4. Each eligible LEA/charter school receiving funds must limit administrative cost to five percent (5%).
5. Reallocation of current year funds: Funding for the current year that is not allocated will be distributed to eligible LEAs/charter schools based on the current formula by February.
6. Reallocation of reverted funds: Funding reverted will be redistributed to eligible LEAs/charter schools in the following fiscal year in combination with that fiscal year's initial allotment based on the formula or program needs.

CAREER TECHNICAL EDUCATION - FEDERAL: TECH PREP EDUCATION

PROGRAM REPORT CODE: 023
 UNIFORM CHART OF ACCOUNTS CODE: XXX0-023-XXX
 CFDA #: 84.243A

TYPE: Dollars

TERM: Up to 12 months

PURPOSE: Provides funding to consortia of LEAs and postsecondary education institutions for planning, developing, and operating 4-year tech-prep education programs leading to, at a minimum, an associate’s degree or a 2-year certificate.

ELIGIBILITY: Each LEA/charter school is entitled to funding based on application approved by the Department of Public Instruction, Division of Career and Technical Education. **State Board of Education approval is required before allocation of funds to selected LEAs/charter schools.**

FORMULA: Total amount of funds to LEAs/charter schools is negotiated based on available federal funds.

SPECIAL PROVISIONS:

1. Each project will be awarded funds for up to 12 months. All projects must end June 30, with no liquidation period allowed beyond that date.
2. Any unallotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year’s funds have been used.

Federal Formulas

Financial

Division of School Business, School Allotments Section, (919) 807-3739
- Allotments

Division of School Business, Information Analysis and Reporting Section
- Expenditures: (919) 807-3725
- Salary: (919) 807-3708

Program/Budgeting

Division of Middle and Secondary Education, Healthy Schools Section - (919) 807-3860
- PRC 057 – Abstinence Education

Division of Student Support Services, Compensatory Education Section - (919) 807-3964
- PRC 040 – CSRD
- PRC 050 – ESEA – Title I
- PRC 051 - Migrant Education
- PRC 105 - School Improvement
- PRC 117 - School Improvement – 1003G
- PRC 026 – McKinney Vento Homeless
- PRC 109 - Small Rural Low Income Schools
- PRC 091 - Small Rural Schools (REAP)
- PRC 059 - Title V – Innovative Programs

Division of Instructional Technology, Educational Technology Implementation and Planning Services - (919) 807-3270/ 3293
- PRC 107 – Educational Technology
- PRC 108 – Educational Technology - Competitive

Office of School Readiness, Even Start – (919) 981-5298
- PRC 065 – Even Start

Office of School Readiness – (919) 807-3994
- PRC 049 – IDEA IV-B - Preschool

Exceptional Children Division, Special Programs Section - (919) 807-3996

- PRC 044 – Capacity Building and Improvement
- PRC 060 – IDEA VI-B – Handicapped
- PRC 070 – IDEA VI-B – Special Needs Targeted Assistance
- PRC 082 - IDEA VI-B - Special Education State Improvement Grant
- PRC 114 – IDEA VI-B – Children with Disabilities – Risk Pool

Division of Student Support Services – Coordinator of Special Projects - (910) 807-3919

- PRC 064 - Learn and Service

Division of K-12 Curriculum, Instruction and Technology Mathematics - (919) 807-3838

- PRC 112 – Math and Science Partnership

Division Elementary Education, Reading First Section - (919) 807-3830

- PRC 106 - Reading First

Division of Talent Management and Development - (919) 807-3375

- PRC 103 - Improving Teacher Quality

Division of Middle and Secondary Education, K-12 Program Areas - (919) 807-3866/3861

- PRC 104/111 - Language Acquisition

Division of Student Support Services, School Safety and Climate - (919) 807-3955/3943

- PRC 048 - Title IV Safe and Drug Free
- PRC 110 - 21st Century Schools
- PRC 113 - 21st Century Summer Mini Grants

Federal Allotment Formulas

Abstinence until Marriage (PRC 057)1

Comprehensive School Reform Demonstration (PRC 040)2

Educational Technology - Formula (PRC 107)3

Educational Technology - Competitive Grant (PRC 108)4

ESEA Title I - Basic (PRC 050)5

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ESEA Title I - Neglected & Delinquent (State Agency Programs) (PRC 050).....14

ESEA Title I - Even Start (PRC 065)15

ESEA Title I - Migrant Education (PRC 051)16

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110 - Title IV - 21st Century Community Learning Centers.....51

111 - Title III - Language Acquisition (Significant Increase)47

112 - Math and Science Partnership36

113 –Title IV-21st CCLC – Summer Program Mini Grants52

114 - IDEA – Children with Disabilities – Risk Pool27

117 – ESEA Title I- School Improvement - 1003G Funds.....20

ABSTINENCE UNTIL MARRIAGE

PROGRAM REPORT CODE: 057
UNIFORM CHART OF ACCOUNTS CODE: XXXX-057-XXX

TYPE: Dollars

TERM: Up to 12 Months

PURPOSE: To provide abstinence until marriage education and, where appropriate, mentoring, counseling, and adult supervision to promote abstinence from sexual activity.

ELIGIBILITY:

To be eligible for funding, a LEA/charter school must serve grade(s) 7-12.

Application must be approved prior to the LEA receiving the allotment.

Planning allotment is scheduled to release by October. Applications need to be submitted to the program administrator by December 31st.

FORMULA:**Base Funding**

Each LEA/charter school will receive \$333 (rounded) for each grade served from grades 7-12.

Remaining Funds

Allocated based on ADM in grades 7-12.

SPECIAL PROVISION:

1. The grant period is from January 1st to December 31st. Any funds unexpended on December 31st will revert to the State with no liquidation period beyond that date.
2. Any un-allotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.
3. Reallocation of current year funds: Funding for the current year that is not accepted by LEA/charter school or is not approved by February will be distributed to eligible LEAs/charter schools based on program needs.

COMPREHENSIVE SCHOOL REFORM DEMONSTRATION (CSR)

PROGRAM REPORT CODE: 040
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-040-XXX
 CFDA #: 84.332

TYPE: Dollars

TERM: Up to 27 months

PURPOSE: Funds are used to implement a comprehensive school reform design founded on reform models using scientifically based research and effective practices.

ELIGIBILITY:

All regular schools (including charter schools) with an enrollment of at least 150 students, that fall within the cut-off score on the ABCs performance composite scores set by the CSR program. The cut-off score varies every year depending on overall performance in the state. Preference is given to schools with the lowest scores.

Grants usually range from a minimum of \$50,000 to a maximum of \$104,000 per school per year for up to three years. In some cases, schools may be awarded more than \$104,000. The State Board of Education reserves the right to negotiate final awards should the proposal be approved.

State Board of Education approval is required before allocation of funds to selected LEAs/charter schools.

FORMULA: N/A

SPECIAL PROVISIONS:

1. Each grant is awarded for a period of time beginning July 1st and ending September 30th of the following year. The Tydings Amendment extends the grant period to 27 months by allowing unexpended funds as of September 30th to carry over an additional 12 months. Funds will be available to LEAs/Charters for 27 months, provided a budget is submitted each year.
2. Program Administrators will notify the School Allotments Section of any LEA / charter school that does not have an approved budget by October 31st. After this notification, funds carried forward will be reduced from the LEA's / charter school's budget.
3. Any un-allotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.
4. At least 30 percent of the funds must be used for professional development.
5. Project approvals are subject to a review process by the State Board of Education.
6. Funds will be awarded to the LEA but must be used at the school level.

EDUCATIONAL TECHNOLOGY FORMULA

PROGRAM REPORT CODE: 107
 UNIFORM CHART OF ACCOUNTS: XXXX-107-XXX
 CFDA#: 84.318X

TYPE: Dollars

TERM: Up to 27 months

PURPOSE: Provides funding to improve student academic achievement through the use of technology in schools; and to assist EVERY student from all walks of life in becoming technologically literate by the end of eighth grade.

ELIGIBILITY:

Each LEA/charter school that receives funding under Part A of Title I for the current year is eligible for Education Technology funding.

Application must be approved prior to the LEA/charter school receiving the allotment.

FORMULA: Allocations to LEAs will be based on the LEAs' proportionate share of funds allotted under Part A of Title I for that year.

SPECIAL PROVISIONS:

1. Each project can be awarded funds for a period of time beginning July 1st and ending September 30th of the following year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over for an additional 12 months. Funds are potentially available to LEAs/charter schools for 27 months, provided a timely project application is submitted each year.
2. An eligible LEA may apply for Education Technology formula grant funds as part of a consortium that includes other LEAs, institutions of higher education, educational service agencies, libraries, or other educational entities.
3. Program Administrators will notify the School Allotments Section of any LEA/charter school that does not have an approved budget by October 31st. After this notification, funds carried forward will be reduced from the LEA's/charter school's budget.
4. Reallocation of current year funds: Funding for the current year that is not allocated will be distributed to eligible LEAs/charter schools based on the current formula or program needs by February.
5. Any unallotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.

EDUCATIONAL TECHNOLOGY – COMPETITIVE GRANTS

PROGRAM REPORT CODE: 108
UNIFORM CHART OF ACCOUNTS: XXXX-108-XXX
CFDA#: 84.318X

TYPE: Dollars

TERM: Up to 27 Months

PURPOSE: Provides funding to improve student academic achievement through the use of technology in schools; and to assist EVERY student from all walks of life in becoming technologically literate by the end of eighth grade.

ELIGIBILITY: Grants will be made to LEAs and charter schools based on a competitive process. Each LEA/charter school is entitled to submit a Request for Proposal (RFP). The actual LEAs/charter schools to be funded will be selected on the basis of criteria specified in the Federal regulations governing the program. **State Board of Education approval is required before allocation of funds to selected LEAs/charter schools.**

FORMULA: N/A

SPECIAL PROVISION:

Any un-allotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.

ESEA TITLE I - BASIC

PROGRAM REPORT CODE: 050
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-050-XXX
 CFDA #: 84.010A

TYPE: Dollars

TERM: Up to 27 months

PURPOSE: Provides funding to supplement and provide special help to educationally deprived children from low-income families.

ELIGIBILITY:

Each LEA/charter school is entitled to funding based on the sum of the children (formula children) in the following populations:

1. Number of children in poverty, ages five through seventeen, as identified in the most current census data.
2. Number of neglected children.
3. Number of children in foster homes.

Since there is no census poverty data available for charter schools, the following method for converting the charter school free lunch count to a poverty count has been authorized by the U.S. Department of Education.

1. A ratio is developed which defines the proportion of the total state free lunch count to the state total census poverty count.
2. The above ratio is then applied to the individual charter school free lunch count to establish a census poverty count for that charter school.

A LEA/charter school is eligible for "Basic" funding, if the number of formula children counted for allocation purposes is at least 10 and greater than two percent of the LEA's/charter school's total population, ages 5 to 17 years.

In addition to the allocation based on the formula children identified above, a LEA/charter school is entitled to funding based on the number of delinquent children.

Application must be approved prior to the LEA receiving the allotment.

FORMULA:

The U.S. Department of Education determines the allotment of funds to each LEA. Funds for Title I Basic grant-eligible charter schools are distributed as follows:

1. A ratio is developed which defines the proportion of formula children in all eligible charter schools to all formula children in the state.
2. The total state allocation is then reduced by the percentage determined in step 1.
3. The amount of the reduction is distributed to eligible charter schools on the basis of their individual proportion of formula children enrolled.

4. The allocation to each LEA/charter school is then reduced by the reserve for school improvement and state administration.

HOLD HARMLESS:

1. A LEA/charter school shall receive not less than 95% of the amount of funds received last year if the number of formula children is not less than 30% of the total number of children ages 5 to 17.
2. A LEA/charter school shall receive not less than 90% of the amount of funds received last year if the number of formula children is between 15% and 30% of the total number of children ages 5 to 17.
3. A LEA/charter school shall receive not less than 85% of the amount of funds received last year if the number of formula children is below 15% of the total number of children ages 5 to 17.

An LEA/charter school must meet the eligibility requirements in order for the hold harmless provisions to apply.

SPECIAL PROVISIONS:

1. Each grant can be awarded for a period of time beginning July 1st and ending September 30th of the following year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over for an additional 12 months. Funds are potentially available to LEAs/charter schools for 27 months, provided a timely budget is submitted each year. (Carryover funds are subject to applicable carryover percentage limitations.)
2. Program Administrators will notify the School Allotments Section of any LEA / charter school that does not have an approved budget by October 31st. After this notification, funds carried forward will be reduced from the LEA's / charter school's budget.
3. If a LEA/charter school receives an allocation greater than \$50,000 (total of Title 1 Basic, Concentration, Education Finance Incentive and Targeted funds), the amount of funds available for carryover into the following year (after September 30th) is limited to 15% of the current year's allocation. Funds in excess of percentage limitations will revert and be reallocated to eligible LEAs/charter schools unless a waiver is received and approved.
4. A LEA may request in writing to the Section Chief for Compensatory Education, School Improvement Division, for a waiver of the carryover percentage be granted. A waiver may be granted once every three years if it is determined that the request is reasonable and necessary or if supplemental appropriations become available.
5. In fiscal year 2004-05, the administration cap was reduced to 10% of the allotment. If the allowable administrative expenditures for the current fiscal year are less than the 10% administration cap, the difference can be carried over, on June 30, to the following fiscal year. Any administration carryover will be added to the administration cap in the following fiscal year.
6. A LEA/charter school may request in writing to the Section Chief of Compensatory Education, that a waiver of the administrative and support percentage be granted. A waiver may be granted if it is determined that the request is reasonable and necessary due to extraordinary circumstances or fluctuations in funding.

7. Funds available due to no application, reversions and excess carryover, will be reallocated to those LEA/Charter schools determined to be eligible on the basis of low income families and greatest unmet educational needs. This allocation will be made as follows:

Eligibility Guidelines:

1. The LEA/Charter must be eligible for and approved to receive Title I funds.
2. Current year Title I poverty data as a percentage of the 5-17 population is 20% or more; and/or
3. Unmet educational needs as defined by the combined following three percentages in the prior year's Best 1 of 2 Actual ADM of the Title I school in a LEA/charter school is 45% or more:
 - a. The percentage of students in grades 3-8 with a parent without a high school diploma or equivalent as indicated on the ABC Testing demographic survey.
 - b. The percentage of students in grades 3-8 placing in levels I and II for reading.
 - c. The percentage of students in grades 3-8 placing in levels I and II for math.

Allocation:

1. Forty percent (40%) of the available funds will be allocated for the LEAs/charter schools that qualify for funding based on poverty based on dollars per eligible poverty count.
 2. Sixty percent (60%) of the available funds will be allocated for the LEAs/charter schools that qualify for funding based on unmet educational needs based on dollars per weighted Title I school ADM (Best 1 of 2 Actual ADM in prior year times the combined percentage).
8. Any un-allotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.

ESEA TITLE I CONCENTRATION

PROGRAM REPORT CODE: 050
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-050-XXX
 CFDA #: 84.010A

TYPE: Dollars

TERM: Up to 27 months

PURPOSE: Provides funding to supplement LEAs with especially high concentrations of children from low-income families.

ELIGIBILITY:

To be eligible for “Concentration” funding, the number of formula children in a LEA/charter school must exceed six thousand, five hundred (6,500) **OR** exceed fifteen percent of the LEA’s/charter school’s total population, ages 5 to 17 years.

Application must be approved prior to the LEA/charter school receiving the allotment.

See ESEA Title 1 – Basic Eligibility for discussion on “formula” children.

FORMULA: See Title I Basic.

HOLD HARMLESS:

1. A LEA/charter school shall receive not less than 95% of the amount of funds received last year if the number of formula children is not less than 30% of the total number of children ages 5 to 17.
2. A LEA/charter school shall receive not less than 90% of the amount of funds received last year if the number of formula children is between 15% and 30% of the total number of children ages 5 to 17.
3. A LEA/charter school shall receive not less than 85% of the amount of funds received last year if the number of formula children is below 15% of the total number of children ages 5 to 17.

If sufficient funds are appropriated, the amounts shall be paid to all LEAs that receive Concentration grant funds for the preceding fiscal year, regardless of whether the LEA meets minimum eligibility criteria for that fiscal year. **LEA not meeting eligibility requirements for Title I – Concentration shall be paid its hold harmless amount for (4) four consecutive years.**

SPECIAL PROVISIONS:

1. Each grant can be awarded for a period of time beginning July 1st and ending September 30th of the following year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over for an additional 12 months. Funds are potentially available to LEAs/charter schools for 27 months, provided a timely budget is submitted each year. (Carryover funds are subject to applicable carryover percentage limitations).

2. Program Administrators will notify the School Allotments Section of any LEA/charter school that does not have an approved budget by October 31st. After this notification, funds carried forward will be reduced from the LEA's/charter school's budget.
3. If a LEA/charter school receives an allocation greater than \$50,000 (total of Title 1 Basic, Concentration, Education Finance Incentive and Targeted funds), the amount of funds available for carryover into the following year (after September 30th) is limited to 15% of the current year's allocation. Funds in excess of percentage limitations will revert and be reallocated to eligible LEA/charter school unless a waiver is received and approved. A LEA/charter school reverting carryover funds is ineligible for reallocated funds.
4. Reallocation of current year funds: see special provision 7 of Title I Basic.
5. Any un-allotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.

ESEA TITLE I - EDUCATION FINANCE INCENTIVE GRANT

PROGRAM REPORT CODE: 050
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-050-XXX
 CFDA #: 84.010A

TYPE: Dollars

TERM: Up to 27 months

PURPOSE: Provides funding to supplement LEAs/charter schools with an especially high concentration of children from low-income families.

ELIGIBILITY:

A LEA/charter school is eligible for "Education Finance Incentive" funding if the number of formula children is at least 10 and at least 5% of the LEA's/charter school's total population ages 5 to 17 years.

Application must be approved prior to the LEA/charter school receiving the allotment.

FORMULA: Funds will be distributed to LEAs/charter schools based on the weighted child count. The U.S. Department of Education determines each LEA's allotment. Funds are allotted as part of the Title I Basic Grant.

HOLD HARMLESS:

1. A LEA/charter school shall receive not less than 95% of the amount of funds received last year if the number of formula children is not less than 30% of the total number of children ages 5 to 17.
2. A LEA/charter school shall receive not less than 90% of the amount of funds received last year if the number of formula children is between 15% and 30% of the total number of children ages 5 to 17.
3. A LEA/charter school shall receive not less than 85% of the amount of funds received last year if the number of formula children is below 15% of the total number of children ages 5 to 17.

An LEA/charter school must meet the eligibility requirements in order for the hold harmless provisions to apply.

SPECIAL PROVISIONS:

1. Each grant can be awarded for a period of time beginning July 1st and ending September 30th of the following year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carryover for an additional 12 months. Funds are potentially available to LEAs/charter schools for 27 months, provided a timely budget is submitted each year. (Carryover funds are subject to applicable carryover percentage limitations.)
2. Program Administrators will notify the School Allotments Section of any LEA / charter school that does not have an approved budget by October 31st. After this notification, funds carried forward will be reduced from the LEA's / charter school's budget.

3. If a LEA/charter school receives an allocation greater than \$50,000 (total of Title 1 Basic, Concentration, Education Finance Incentive and Targeted funds), the amount of funds available for carryover into the following year (after September 30th) is limited to 15% of the current year's allocation. Funds in excess of percentage limitations will revert and be reallocated to eligible LEAs/charter schools unless a waiver is received and approved. A LEA/charter school reverting carryover funds is ineligible for reallocated funds.
4. A LEA may request in writing to the Section Chief for Compensatory Education, School Improvement Division, that a waiver of the carryover percentage be granted. A waiver may be granted once every three years if it is determined that the request is reasonable and necessary or if supplemental appropriations become available.
5. In fiscal year 2004-05, the administration cap was reduced to 10% of the allotment. If the allowable administrative expenditures for the current fiscal year are less than the 10% administration cap, the difference can be carried over, on June 30, to the following fiscal year. Any administration carryover will be added to the administration cap in the following fiscal year.
6. A LEA/charter school may request in writing to the Section Chief of Compensatory Education, that a waiver of the administrative and support percentage be granted. A waiver may be granted if it is determined that the request is reasonable and necessary due to extraordinary circumstances or fluctuations in funding.
7. Reallocation of current year funds: see special provision 7 of Title I Basic.
8. Any un-allotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.

ESEA TITLE I - TARGETED GRANTS

PROGRAM REPORT CODE: 050
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-050-XXX
 CFDA #: 84.010A

TYPE: Dollars
 TERM: Up to 27 months

PURPOSE: Provides funding to supplement LEAs with an especially high concentration of children from low-income families.

ELIGIBILITY:

A LEA/charter school is eligible for “Targeted funding”, if the number of formula children is at least 10 and at least 5% of the LEA’s/charter school’s total population ages 5 to 17 years.

Application must be approved prior to the LEA/charter school receiving the allotment.

FORMULA: Funds will be distributed to LEAs/charter schools based on the weighted child count. The U.S. Department of Education determines the allotment of funds to each LEA. Funds are allotted as part of the Title I Basic Grant.

HOLD HARMLESS:

1. A LEA/charter school shall receive not less than 95% of the amount of funds received last year if the number of formula children is not less than 30% of the total number of children ages 5 to 17.
2. A LEA/charter school shall receive not less than 90% of the amount of funds received last year if the number of formula children is between 15% and 30% of the total number of children ages 5 to 17.
3. A LEA/charter school shall receive not less than 85% of the amount of funds received last year if the number of formula children is below 15% of the total number of children ages 5 to 17.

An LEA/charter school must meet the eligibility requirements in order for the hold harmless provisions to apply.

SPECIAL PROVISIONS:

1. Each grant can be awarded for a period of time beginning July 1st and ending September 30th of the following year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carryover for an additional 12 months. Funds are potentially available to LEAs/charter schools for 27 months, provided a timely budget is submitted each year. (Carryover funds are subject to applicable carryover percentage limitations.)
2. Program Administrators will notify the School Allotments Section of any LEA / charter school that does not have an approved budget by October 31st. After this notification, funds carried forward will be reduced from the LEA’s / charter school’s budget.

3. If a LEA/charter school receives an allocation greater than \$50,000 (total of Title 1 Basic, Concentration, Education Finance Incentive and Targeted funds), the amount of funds available for carryover into the following year (after September 30th) is limited to 15% of the current year's allocation. Funds in excess of percentage limitations will revert and be reallocated to eligible LEAs/charter schools unless a waiver is received and approved. A LEA/charter school reverting carryover funds is ineligible for reallocated funds.
4. A LEA may request in writing to the Section Chief for Compensatory Education that a waiver of the carryover percentage be granted. A waiver may be granted once every three years if it is determined that the request is reasonable and necessary, or if supplemental appropriations become available.
5. In fiscal year 2004-05, the administration cap was reduced to 10% of the allotment. If the allowable administrative expenditures for the current fiscal year are less than the 10% administration cap, the difference can be carried over, on June 30, to the following fiscal year. Any administration carryover will be added to the administration cap in the following fiscal year.
6. A LEA/charter school may request in writing to the Section Chief of Compensatory Education that a waiver of the administrative and support percentage be granted. A waiver may be granted if it is determined that the request is reasonable and necessary due to extraordinary circumstances or fluctuations in funding.
7. Reallocation of current year funds: see special provision 7 of Title I Basic.
8. Any un-allotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.

ESEA TITLE I NEGLECTED & DELINQUENT (State Agency Programs)

PROGRAM REPORT CODE: 050
UNIFORM CHART OF ACCOUNTS CODE: XXXX-050-XXX
CFDA #: 80.013A

TYPE: Dollars

TERM: Up to 27 months

PURPOSE: Provides funding to State agencies for the educational needs of children in institutions for neglected or delinquent, in adult correctional institutions, or attending community day programs.

ELIGIBILITY: Eligible State agencies are agencies that are responsible for providing free public education to children in neglected and delinquent institutions, in adult correctional institutions, and enrolled in community day programs.

FORMULA: Funding for eligible State agencies is determined by the U.S. Department of Education and is based on the federal formula which uses the child count of neglected and delinquent children and the State's average per pupil expenditures.

SPECIAL PROVISION:

Each grant is awarded for a period of time beginning July 1st and ending September 30th of the following year. The Tydings Amendment extends the grant period to 27 months by allowing unexpended funds as of September 30th to carry over an additional 12 months. Funds are potentially available to LEAs/charter schools for 27 months provided a budget is submitted each year.

ESEA TITLE I - PART B – EVEN START

PROGRAM REPORT CODE: 065
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-065-XXX
 CFDA #: 84.213C

TYPE: Dollars

TERM: Up to 15 Months

PURPOSE: To provide supplemental funding to LEAs/charter schools to improve the education of children and adults by integrating early childhood education, adult education, and parenting education into a unified program.

ELIGIBILITY:

Each LEA/charter school is entitled to submit a Request for Funding Proposal (RFP). The actual LEAs/charter schools to be funded will be selected on the basis of criteria specified in the federal regulations governing the program.

State Board of Education approval is required before the allocation of funds to selected LEAs/charter schools.

FORMULA: N/A

SPECIAL PROVISIONS:

1. Each project may be awarded for up to four years. Funds are allocated annually and may continue for four years if program requirements are met and funds are available. First year grants and continuing grant funds are allocated after July 1 and must be spent by September 30th of the following year with no liquidation period allowed beyond that date.
2. Of the total funds available, up to 6% may be used by the Department of Public Instruction for administering the program and providing technical assistance.
3. Funding is discretionary and State Board of Education approval is required prior to allocation of new funds to selected LEAs/charter schools. No prior approval is required for LEAs/charter schools receiving continuation grants.
4. Any un-allotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.

ESEA TITLE I - MIGRANT EDUCATION

PROGRAM REPORT CODE: 051
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-051-XXX
 CFDA #: 84.011A

TYPE: Dollars

TERM: 15 months

PURPOSE: Provides funding for programs meeting the special educational needs of migratory children of migrant agricultural workers and fishermen.

ELIGIBILITY:

Each LEA with an eligible population of students is entitled to funding.

Application must be approved prior to the LEA receiving the allotment.

FORMULA:

Every LEA that reports any Migratory Children for the purpose of establishing a Migrant Education Program will receive a minimum of the dollar equivalent to ½ of a teacher position converted at the statewide average salary for classroom teachers. A minimum of approximately 50 students is recommended for establishing a new program. Minimum funding for ongoing programs will also be the dollar equivalent to ½ of a teacher position converted at the statewide average salary for classroom teachers.

Funding is weighted based on the table below:

Variable Factors	Weight
Headcount (A.1)	5.0
Summer Headcount (A.2)	2.0
Participants in Program (B.1)	1.5
Priority for Service (Moved in Between 9/1 & 8/31 <i>and are also failing to meet state standards</i>)	1.0
Out-of-School Youth Served (Served OS)	1.0
Number of LEP who are also Migrant	2.0

Supplemental to this portion of the funding formula, an amount of \$100,000 will be reserved for an additional allotment to LEAs based on a percent to total of the LEAs' total dollars per average daily membership (state, federal and local) based on the most recent data available. This formula will be reversed in that the LEA with the lowest total dollars per ADM will receive the largest allotment.

Up to six percent (6.0%) of the grant may be reserved for special projects.

SPECIAL PROVISIONS:

1. Each project is awarded funds for a period of time beginning July 1st and ending September 30th of the following fiscal year with a 90-day liquidation period. Any funds unexpended or unencumbered on September 30th will revert to the State. Encumbrances must be liquidated by December 31st.
2. Reallocation of current year funds: Funding for the current year that is not allocated will be distributed to eligible LEAs / charter schools based on the current formula or program needs by February.
3. Any un-allotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.
4. The administrative cap on Migrant funds is 20%.

ESEA TITLE I - SCHOOL IMPROVEMENT

PROGRAM REPORT CODE: 105
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-105-XXX
 CFDA #: 84.010A

TYPE: Dollars
 TERM: Up to 27 months

PURPOSE: To provide assistance for schools, which have been identified for School Improvement, Corrective Action, and Restructuring.

ELIGIBILITY: To be eligible to receive these funds, a LEA/charter school must have one or more schools identified for school improvement under Title I.

Applications must be approved prior to the LEA/charter school receiving the allotment.

FORMULA: Funds will be allotted based on approval of an application for School Improvement (PRC105) funds submitted by the LEA or charter school on behalf of schools participating in Title I School Improvement. Each LEA or charter school will receive a base amount calculated as follows:

<u>Schools in Title I – School Improvement</u>	<u>Base Allotment</u>
1	\$30,000
2 – 5	\$45,000
6 – 10	\$60,000
10+	\$75,000

Each LEA or charter school will receive a per child amount calculated as follows:

<u>Title I Identification</u>	<u>Per Child Amount</u>
Schools in Sanction Year 5	2.0 x ADM
Schools in Sanction Year 4	1.5 x ADM
Schools in Sanction Year 3	1.0 x ADM

The per pupil amount will be calculated using the best of 1 of 2 Actual ADM of Title I schools eligible to participate.

SPECIAL PROVISIONS:

1. Each grant is awarded for a period of time beginning July 1st and ending September 30th of the following year. The Tydings amendment extends the grant period to 27 months by allowing unexpended funds as of September 30th to carry over an additional 12 months. Funds are potentially available to

LEAs/charter schools for 27 months provided a budget is submitted each year.

2. Program Administrators will notify the School Allotment Section of any LEA/charter school that does not have an approved budget by October 31st. After this notification, funds carried forward will be reduced from the LEAs/charter school's budget.
3. Any un-allotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.

ESEA TITLE I - SCHOOL IMPROVEMENT – 1003G FUNDS

PROGRAM REPORT CODE: 117
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-117-XXX
 CFDA #: 84.010A

TYPE: Dollars

TERM: Up to 27 months

PURPOSE: To provide assistance for schools, which have been identified for Corrective Action or Restructuring and have shown progress in improving student performance.

ELIGIBILITY: To be eligible to receive these funds, a LEA/charter school must have one or more schools identified for corrective action or restructuring.

FORMULA: Funds will be allotted based on approval of an addendum to the application for School Improvement (PRC105) funds submitted by the LEA or charter school on behalf of schools participating in Title I School Improvement. Schools eligible for funding are selected by the SEA based on Title I school improvement status and progress made in improving student performance. Schools must have a minimum of 100 students and at least five performance targets for determining adequate yearly progress. Each school will receive a base amount of \$30,000 plus a per pupil amount calculated as follows:

<u>Average Poverty Percentage</u>	<u>Per Pupil Amount</u>
100% - 90%	\$300
89% - 80%	\$250
79% - 70%	\$200
Below 70%	\$150

The per pupil amount will be calculated using the Best of 1 of 2 Actual ADM of Title I schools eligible to participate.

SPECIAL PROVISIONS:

1. Each grant is awarded for a period of time beginning July 1st and ending September 30th of the following year. The Tydings amendment extends the grant period to 27 months by allowing unexpended funds as of September 30th to carry over an additional 12 months. Funds are potentially available to LEAs/charter schools for 27 months provided a budget is submitted each year.
2. Program Administrators will notify the School Allotment Section of any LEA/charter school that does not have an approved budget by October 31st. After this notification, funds carried forward will be reduced from the LEAs/charter school's budget.

3. Any un-allotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.

IDEA TITLE VI-B (2004)

PROGRAM REPORT CODE: 060
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-060-XXX
 CFDA: 84.027A

TYPE: Dollars

TERM: Up to 27 months

PURPOSE: Provides funding to initiate, expand, and continue special education to handicapped children ages 3 through 21.

ELIGIBILITY:

Each LEA/charter school/state operated program is entitled to funding based on the child count of children ages 3 through 21 meeting the federal definition of handicapped that were served on December 1st of the previous year.

Application must be approved prior to the LEA receiving the allotment.

FORMULA:

Base payments: Each LEA shall receive a base amount equal to a proportional share of 75% of the FY 1999-2000 IDEA Title VI-B grant. The LEA's portion is calculated based on the December 1998 child count.

Base payment adjustments for any fiscal year after the base year fiscal year are adjusted:

1. If a new LEA or charter school is created, the LEA's base allocation shall be divided among the new LEA or charter school and affected LEA based on the relative numbers of children with disabilities ages 3 through 21 contained in the most current December 1st child count.
2. If one or more LEAs are combined into a single new LEA, the base allocations of the merged LEAs shall be combined.
3. If the LEA's geographic boundaries or administrative responsibility for providing services to children with disabilities ages 3 through 21 are changed, the base allocations of affected LEAs shall be redistributed among affected LEAs. The base will then be based on the relative number of children with disabilities from ages 3 through 21 contained in the most current December 1st child count.

Funds remaining after distribution of the base shall be allocated as follows:

1. Eighty-five percent (85%) of remaining funds shall be allotted to those agencies on the basis of ADM of children enrolled in public and private elementary and secondary schools within each agency's jurisdiction.
2. Fifteen percent (15%) of remaining funds shall be allotted to those agencies in accordance with their relative numbers of children living in poverty, as determined by the December 1st free lunch count.

Set Aside and Risk Pool. If the actual amount set aside for State administration is over \$850,000 and the State will use funds from its award to support a high risk pool, the maximum amount the

state may set aside is 10% of the grant award. North Carolina supports a Risk Pool and Out-of-District Placement Program. These funds may also be used for state level activities in the description of the Use of Funds under Part B of the Individuals with Disabilities Education Act – 20 U. S C. 1411(e)(5); 34CFR, Section 300.171.

SPECIAL PROVISIONS:

1. Each project can be awarded funds for a period of time beginning July 1st and ending September 30th of the following fiscal year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over for an additional 12 months. Funds are potentially available to LEAs/charter schools/state operated programs for 27 months, provided a timely project application is submitted each year.
2. Each LEA/charter school/state operated program must maintain documentation that it has spent the same minimum amount (on the average) for the education of its children with disabilities as the LEA/charter school/state operated program has spent for all the children in the LEA/charter school/state operated program taken as a whole before any IDEA VI-B funds are used for the education of children with disabilities.
3. Program Administrators will notify the School Allotments Section of any LEA/charter school that does not have an approved budget by October 31st. After this notification, funds carried forward will be reduced from the LEA's/charter school's budget based on the results of a Hearing.
4. Any unallotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.
5. The Individuals with Disabilities Education Improvement Act (2004) IDEA requires LEAs /Charters determined to have significant disproportionality as described under 300.646(b)(2) to reserve the maximum amount of funds (15%) under section 613(f) of the Act to provide comprehensive coordinated early intervening services to serve child in the LEA/Charter, particularly, but not exclusively, children in those groups that are significantly disproportionate under 300.646(a) of the IDEA regulation. The IDEA also requires the LEA to review and, if appropriate, revise policies, practices, and procedures as describe under 300.646(b)(1) of the IDEA regulations.
6. Funds are transferred under two conditions: (1) LEAs with significant disproportionality will have 15% of their current year allocation transferred to Program Report Code 070 – Early Intervening Services – IDEA 2004. This funds transfer directive come from the Exceptional Children Division annually of all LEAs with significant disproportionality. (2) LEAs may requests permission for “permissive use” of up to 15% of their IDEA 2004 allocation for Early Intervening Services from the Exceptional Children Division. The amounts approved by the Exceptional Children Division are subtracted from the LEAs current allotment by the School Business Services – School Allotments Section before funds are allocated.
7. Provide transfer funds to PRC 82 Special Education State Improvement Grant for literacy and math centers and sites.
8. During the first 60 school days, if a child with disabilities who was included in the prior fiscal year December 1 child counts leaves or returns to a LEA from a charter school, the share of funds allocated for that child will be adjusted. In order to initiate the transfer of funds, the LEA or charter school receiving the students must notify the School Allotments

Section in writing by the 10th of the month after the child transferred. Notification must include the child's name, CECAS Unique ID, birth date, the LEA or charter school from which the child came, the date the student transferred and the beginning date of the current school year.

IDEA VI-B (2004)– CAPACITY BUILDING AND IMPROVEMENT

PROGRAM REPORT CODE: 044
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-044-XXX
 CFDA #: 84.027A

TYPE: Dollars

TERM: 27 Months

PURPOSE:

To improve results for children with disabilities through:

- Direct services to children who are expelled or in correctional facilities, state operated programs, or charter schools.
- Improvement strategies under the State's Improvement Plan, such as co-teaching, inclusion, early intervention, safe schools, and mentoring.
- Adoption of promising practices, materials, and technology.
- Implementation of interagency agreements.
- Problem solving between parents and school personnel.

Five North Carolina Department of Public Instruction Directives

1. IDEA Performance Goals and Indicators
2. No Child Left Behind
3. Raising Achievement and Closing Gaps
4. North Carolina Improvement Plan
5. State Improvement Grant

ELIGIBILITY: All LEAs, charter schools and state operated programs are eligible.
Application must be approved prior to the LEA receiving the allotment.

FORMULA:

The amount of funding is determined by the LEA's/charter school's/state operated program's December 1, IDEA VI-B headcount. A funding factor is developed by dividing the total funds available by the total head count. The per-child factor is then multiplied by each LEA's/charter school's/state operated program's head count. The individual distribution is then adjusted to equal a minimum allocation of \$1,000.

The allocation is based on \$1.00 per identified child with a disability with \$1,000 minimum for LEAs with three or more schools with a maximum not to exceed \$10,000. LEAs with one or two schools have a \$500 minimum allocation. Funding will be based on the December 1 child count for LEAs being monitored.

SPECIAL PROVISIONS:

1. Each project is awarded funds for a period of time beginning July 1st and ending September 30th of the following fiscal year. The Tydings Amendment extends the grant period for an additional 12 months; therefore, each grant covers a period of 27 months. Funds will be

available to LEAs/charter schools/state operated programs for 27 months provided a project is submitted each year.

2. Program Administrators will notify the School Allotments Section of any LEA/charter school that does not have an approved budget by October 31st. After this notification, funds carried forward will be reduced from the LEA's/charter school's budget.
3. Any unallotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.

IDEA VI B - CHILDREN WITH DISABILITIES – RISK POOL

PROGRAM REPORT CODE: 114
 UNIFORM CHART OF ACCOUNTS: XXXX-114-XXX
 CFDA #: 84.027A

TYPE: Dollars

TERM: July 1 – June 30

PURPOSE: Provides IDEA, Title VI, Part B funds to “high need” students with disabilities served in local education agencies (LEAs). These funds are to be used for the student’s special education and related service needs.

ELIGIBILITY:

A reserve has been established for LEAs with “high needs” students with disabilities. The costs of the educational services must impact the resources of the LEA serving the student. The allocation is based on an approved budget submitted by the LEA. The LEA must address the following information before eligibility can be determined for funding:

1. Address the financial impact on the LEA.
2. Address ranking on Poverty Index, number of children over the 12.5% cap, and receipt of local funding.
3. Number of “high needs” students with disabilities currently being served.
4. Ensure that the cost of the student is three times the average per pupil expenditure.
5. State Exceptional Children, IDEA, Title VI, Part B, and Average Daily Membership (ADM) allocation must be documented on the Risk Pool application for the student submitted for funding.
6. Address the additional special education and related services needed for each student.
7. **There is no “carry-over” provision. Funds must be spent in the school year allocated.**

FORMULAS:

All per child allotments (average daily membership (ADM), state aid and federal IDEA 611) are subtracted from the costs of special education and related services on an approved application. The remaining funds are allocated to the LEA/charter school.

SPECIAL PROVISIONS:

1. Funds cannot be transferred into or out of this category.
2. Funds may only be expended according to the approved budget.
3. An application must be submitted annually for each student with disabilities to determine eligibility.
4. The prior per pupil expenditure for Title I calculations will be used to determine eligibility. (NCLB§9101(2); 20 U.S.C. §7801(2)).
5. Special consideration will be given to those LEAs serving a large population of “high needs” students, over 12.5% cap, high percentage ranking on the poverty index and receive no local funding.

6. Funds are child specific and all unused funds must be returned.
7. All withdrawals must be reported within ten days.
8. Indirect cost cannot be charged to this program

IDEA VI-B (2004) – EARLY INTERVENING SERVICES (EIS)

PROGRAM REPORT CODE: 070

UNIFORM CHART OF ACCOUNTS: XXXX-070-XXX
CFDA #: 84.027A

TYPE: Dollars

TERM: Up to 27 months

PURPOSE:

EIS is used to develop and implement coordinated, early intervening services, which may include interagency financing structures, for students in kindergarten through grade 12 (with a particular emphasis on students in kindergarten through grade 3) who have not been identified as needing special education or related services but who need additional academic and behavioral support to succeed in a general education environment. IDEA federal regulations mandates 15% of IDEA 611 funds are set-aside for any local education agency with significant disproportionality based on race and ethnicity with respect of identification of children with disabilities including particular impairment; the placement in particular educational settings of children; and the incidence, duration and types of disciplinary actions, including suspension and expulsions. These funds cannot be used for the special education and/or related services for children with disabilities. These funds are for students in kindergarten through grade twelve at risk of placement in special education. **This new legislation also requires states to monitor the expenditure of EIS funds. Services provided for non-disabled students for EIS should be purchased through the newly established Program Report Code 070 (PRC 070).**

ELIGIBILITY: All local education agencies (LEAs) mandated to use 15% of their PRC 60 funds and LEAs electing to use up to 15% of their PRC 60 permissively for EIS are eligible

FORMULAS: The formula for mandated EIS is 15% of the LEAs current year initial PRC 060 allotment is transferred to PRC 070. The formula for permissive EIS is up to 15% of the LEAs current year initial PRC 060 funds is transferred to PRC 070.

SPECIAL PROVISIONS:

1. Funds can be transferred between PRC 070 and PRC 060. Funds may only be expended according to the approved budget.
2. Expenditures for Early Intervening Services should be from PRC 070.
3. Early Intervening Service expenditures must be based on scientifically research based programs selected by the LEA for students in general education at risk of placement in special education. The 15% provided for these programs is federally mandated for any LEA with significant disproportionality by disability, or race-ethnicity, but this does not prohibit other LEAs from using up to 15% of their initial IDEA 611 funds for Early Intervening Services.
4. Funds are specific and all unused funds not encumbered by June 30th are carried over to next

year. The 15% for Early Intervening Services is taken from the initial PRC 060 allocation, thus reducing the initial allocation annually. The 15% for Early Intervening Services cannot be based on carry-over funds from prior year initial allotments. Each project can be awarded funds for a period of time beginning July 1st and ending September 30th of each year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over for an additional 12 months. Funds are potentially available to LEAs for 27 months, provided a timely project application is submitted each year. The 15% of IDEA 611 funds for Early Intervening Services follow the same liquidation period. Federal regulations require 15% be set-aside annually for all mandated EIS.

5. Expenditures under this PRC will be used to determine the percentage of funds expended on students in general education to prevent placement in special education.
6. Exceptional Children Division will, after review of necessary data, assign technical assistance to LEAs not following state and federal guidelines regarding the use of these federal funds.

IDEA VI-B (2004) - PRESCHOOL

PROGRAM REPORT CODE: 049
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-049-XXX
 CFDA #: 84.173A

TYPE: Dollars

TERM: Up to 27 months

PURPOSE: Provides funds to initiate and expand preschool special education programs for children with disabilities ages 3-5.

ELIGIBILITY:

Each LEA/charter school/state operated program is entitled to funding based on the Child Count of children ages 3 through 5 meeting the federal definition of disable that were served on December 1st of the previous year.

Application must be approved prior to the LEA/charter school/state operated program receiving the allotment.

FORMULA:

Base payments: Each LEA shall receive a base amount equal to a proportional share of 75% of the FY 1997-98 IDEA Title VI-B Preschool grant. The LEA's portion is calculated based on the December 1996 child count.

Base payment adjustments: For any fiscal year after the base year fiscal year:

1. If a new LEA or charter school is created, the LEA's base allocation shall be divided among the new LEA or charter school and the affected LEA based on the relative numbers of children with disabilities ages 3 through 5 contained in the most current December 1 child count.
2. If one or more LEAs are combined into a single new LEA, the base allocations of the merged LEAs shall be combined.
3. If two or more LEAs with geographical boundaries or administrative responsibilities for providing services to children ages 3 through 5 changes, the base allocations of the affected LEAs shall be redistributed among affected LEAs. This redistribution is based on the relative numbers of children with disabilities ages 3 through 5 contained in the most current December 1st Child Count.

Funds remaining after distribution of the base shall be allocated as follows:

1. Eighty-five percent (85%) of remaining funds shall be allotted on the basis of ADM of children enrolled in public and private elementary and secondary schools within each agency's jurisdiction.
2. Fifteen percent (15%) of the remaining funds shall be allotted in accordance with the relative numbers of children living in poverty, as determined by the December 1st free lunch count.

SPECIAL PROVISIONS:

1. Each project can be awarded funds for a period of time beginning July 1st and ending September 30th of the following fiscal year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over for an additional 12 months. Funds are potentially available to LEAs/charter schools/state operated programs for 27 months provided a project is submitted each year.
2. Each LEA/charter school/state operated program must maintain documentation that it has spent the same minimum amount (on the average) for the education of its children with disabilities as the LEA/charter school/state operated program has spent for all the children in the LEA/charter school/state operated program taken as a whole before any IDEA VI-B funds are used for the education of children with disabilities.
3. Program Administrators will notify the School Allotments Section of any LEA/charter school that does not have an approved budget by October 31st. After this notification, funds carried forward will be reduced from the LEA's/charter school's budget.
4. Any un-allotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.

IDEA VI-B – SPECIAL EDUCATION STATE IMPROVEMENT GRANT

PROGRAM REPORT CODE: 082
 UNIFORM CHART OF ACCOUNTS: XXXX-082-XXX
 CFDA #: 84.323A

TYPE: Discretionary Funds

TERM: Up to 27 months

PURPOSE:

The Special Education State Improvement Grant provides personnel development and program support services to significantly improve the performance and success of students with disabilities in local education agencies (LEA), charter schools and state-operated programs in North Carolina. Funds support the implementation of researched-based practices in reading/writing and/or mathematics using sites and centers established by grant recipients.

The four major goals established to accomplish the purpose of Special Education State Improvement Grant are to:

1. Improve basic skills performance for students with disabilities.
2. Increase the percentage of qualified teachers of students with disabilities.
3. Increase graduation rates and decrease drop-out rates of students with disabilities.
4. Improve parent satisfaction with, and support of, school services.

ELIGIBILITY: Each LEA, charter school, and state-operated program is provided an opportunity to submit an application to be a State Improvement Project (SIP) Best Practice Reading/Writing Center/Site and/or Mathematics Center/Site.

FORMULAS: Funds are allocated annually to LEAs, charter schools and state-operated programs with approved applications. The amount of funds allocated is based state level assessment of local needs, and approved centers and sites. The applicant's service area may include one or more LEAs. (site or center)

SPECIAL PROVISIONS:

1. Funds may not be transferred out of this PRC.
2. Funds are allocated beginning July 1 of each year.
3. Each LEA, charter school and state-operated program with an approved application is part of the State Improvement Project must maintain documentation of funds expended annually to support the implementation of their SIP application plan.
4. Funds are specific and all unused funds not encumbered by June 30th are carried over to next year.
5. Each project can be awarded funds for a period of time beginning July 1 and ending September 30th of the following year. The Tydings Amendment can extend the grant period

to 27 months by allowing unexpended funds as of September 30th to carry-over for an additional 12 months.

6. Positions paid with these funds are subject to time and effort requirements.

LEARN AND SERVE AMERICA

PROGRAM REPORT CODE: 064
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-064-XXX
 CFDA #: 94.004

TYPE: Dollars

TERM: Up to 12 months

PURPOSE: Provides funding for developing and implementing service-learning programs designed to increase achievement; youth involvement, and other positive student outcomes by participating in experiential, inquiry-based learning from community issues and activities. Additionally, it is for training teachers and assisting them in developing service-learning curricula to be integrated into academic programs; and for encouraging and increasing volunteer support services.

ELIGIBILITY: LEAs/charter schools implementing system-wide Service Learning projects are eligible for funding on a competitive basis. Funding is dependent upon recommendation to and approval by the State Board of Education, and the actual receipt of funding from the national funding agency, The Corporation for National and Community Service.

FORMULA: N/A

SPECIAL PROVISIONS:

1. LEAs/Charter Schools funds must be expended from the award date through June 30th each year of the program. No liquidation period is allowed beyond June 30th.
2. Projects may be funded for up to three years, but are dependent upon compliance with the requirements of the grant, and recommendation to and approval by the State Board of Education. All sub-grantees are subject to an annual review of programmatic, financial, and any other fiduciary aspect of each program.
3. A local match of in-kind and/or cash contribution is required on a dollar for dollar basis.
4. LEAs/Charters schools must acquire approval for the purchase of equipment having an acquisition cost of \$1,000 or more.
5. At the SEA level, any unallotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.

MATH AND SCIENCE PARTNERSHIP

PROGRAM REPORT CODE:	112
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-112-XXX
CFDA #:	84.366B

TYPE: Dollars

TERM: Up to 27 months

PURPOSE:

The Mathematics and Science Partnership (MSP) program is intended to increase the academic achievement of students in mathematics and science by enhancing the content knowledge and teaching skills of classroom teachers. Partnerships between high-need school districts and the science, technology, engineering, and mathematics (STEM) faculty in institutions of higher education are at the core of these improvement efforts. Other partners may include state education agencies, public charter schools or other public schools, businesses, and nonprofit or for-profit organizations concerned with mathematics and science education.

ELIGIBILITY:

LEAs, Charter and Private Schools, or any public or private organization are eligible to apply for funding. Grants will be made based on a competitive process. The actual recipient to be funded will be selected on the basis of criteria specified in the Request for Proposal (RFP) and Federal regulations.

State Board of Education approval is required before the allocation of funds to selected LEAs/charter schools.

FORMULA: N/A

SPECIAL PROVISION:

Any un-allotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.

McKINNEY – VENTO HOMELESS ASSISTANCE ACT

PROGRAM REPORT CODE: 026
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-026-XXX
 CFDA #: 84.196A

TYPE: Dollars

TERM: Up to 27 months

PURPOSE: To provide funds to develop services to meet the educational and related needs of homeless students (e.g. tutoring, counseling, enrollment, attendance, staff development, parent training, etc.).

ELIGIBILITY:

LEAs/charter schools that reported serving one or more homeless students during the last report period are eligible to apply for funds.

State Board of Education approval is required before allocation of funds to selected LEAs/charter schools.

FORMULA: Total funding to LEAs/charter schools is subject to receipt of approved allocations from the U.S. Department of Education.

SPECIAL PROVISIONS:

1. Priority must be given to homeless students. After the educational and related needs of homeless students are met, other at-risk children are eligible to receive services.
2. Each project is awarded funds for a period of time beginning July 1st and ending September 30th of the following fiscal year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carryover for an additional 12 months. Funds are potentially available to LEAs/charter schools for 27 months, provided a timely budget is submitted each year.
3. Program Administrators will notify the School Allotments Section of any LEA/charter school that does not have an approved budget by October 31st. After this notification, funds carried forward will be reduced from the LEA's/charter school's budget.
4. Any unallotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.

READING FIRST STATE GRANT

PROGRAM REPORT CODE: 106
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-106-XXX
 CFDA #: 84.357A

TYPE: Dollars

TERM: 27 Months

PURPOSE: To provide assistance to LEAs/charter schools to prepare teachers, including special education teachers, through grade three, to use methods and materials that are based on scientifically based reading research, to ensure that every student can read at grade level or above no later than the end of grade three.

ELIGIBILITY:

An LEA/charter school and individual schools must meet the following requirements to be eligible to apply for funding:

1. Be among LEAs/charter schools in the State with the highest percentage of K-3 students reading below grade level;
2. Must have one or more schools identified for school improvement;
3. Have a high percentage of children who are counted under Title I, Part A; and
4. Be located in an Enterprise Community or Empowerment zone.

To be eligible for grant funds a school must:

1. Be in a LEA/charter school with a poverty percentage of 12% or more, have 43% or more of the 3rd graders scoring at level I or II on the 3rd grade Reading EOG, and
2. Have at least 10 students who qualify for service.

State Board of Education approval is required before allocation of funds to selected LEAs and charter schools.

FORMULA: Grants will be made to LEAs and charter schools based on a competitive process. LEAs and charter schools to be funded will be selected from eligible LEAs and charter schools on the basis of established criteria.

SPECIAL PROVISIONS:

1. Funding is discretionary and approval is required by the State Board of Education before allocation of funds to selected LEAs/charter schools.
2. Each grant can be awarded for a period of time beginning July 1st and ending September 30th of the following year. The Tydings Amendment can extend the grant period to 27 months by allowing the unexpended funds as of September 30th to carry over for an additional 12 months.
3. Program Administrators will notify the School Allotments Section of any LEA/charter school that does not have an approved budget by October 31st. After this notification, funds carried

forward will be reduced from the LEA's/charter school's budget.

4. Any unallotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.
5. LEAs/charter schools may not use more than three and one half percent (3.5%) of these funds for administrative costs.

RURAL AND LOW INCOME SCHOOLS (RLIS)

PROGRAM REPORT CODE: 109
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-109-XXX
 CFDA# 84.358

TYPE: Dollars
 TERM: Up to 27 months

PURPOSE: To provide additional resources for rural and low income schools that might otherwise receive formula allocations in amounts too small to be effective in meeting their intended purpose.

ELIGIBILITY:

All of the following conditions must be true for a LEA/charter school to receive funding:

1. 20% or more of the children ages 5 to 17 served by the LEA/charter school are from families with incomes below the poverty line.
2. All schools in the LEA/charter school must be designated as school locale code 6, 7, or 8 (school locale codes 6, 7, and 8 have less than 25,000 people); and
3. The LEA/charter school is ineligible to receive a grant from the Small, Rural Schools Act (SRSA).
4. Eligibility is subject to a final determination by the U.S. Department of Education.

Application must be approved prior to the LEA/charter school receiving the allotment.

FORMULA: Allocations to eligible LEAs/charter schools are made on the basis of ADM.

SPECIAL PROVISIONS:

1. Each project can be awarded funds for a period of time beginning July 1st and ending September 30th the following year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over. Funds are potentially available to LEAs/charter schools for 27 months, provided a timely project application is submitted each year.
2. Program Administrators will notify the School Allotments Section of any LEA/charter school that does not have an approved budget by October 31st. After this notification, funds carried forward will be reduced from the LEA's/charter school's budget.
3. Reallocation of current year funds: Funding for the current year that is not allocated will be distributed to eligible LEAs/charter schools based on the current formula or program needs by February.
4. Any un-allotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.

SMALL, RURAL SCHOOLS ACHIEVEMENT PROGRAM (SRSA)

PROGRAM REPORT CODE: 091
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-091-XXX
 CFDA #: 84.358

TYPE: Dollars
 TERM: Up to 27 months

PURPOSE: To give small, rural school districts more flexibility so that allocations under certain programs can be combined to more effectively raise students' academic achievement.

ELIGIBILITY:

To be eligible, a LEA/charter school must:

1. Have less than 600 students served by the LEA/charter school or have a total population density of fewer than 10 persons per square mile in each county in which a school served by LEA/Charter is located and;
2. Be designated as a School Locale Code 7 or 8. (School Locales 7 and 8 have less than 2,500 people).
3. Eligibility is subject to a final determination by the U.S. Department of Education.

Application must be approved prior to the LEA or charter school receiving the allotment.

FORMULA:

Under the SRSA program, eligible LEAs/charter schools may combine funds allocated under Education Technology (PRC 107), Teacher Quality (PRC 103), and Safe/Drug-Free Schools (PRC 048), and Innovative Programs (PRC 059) and use them for any of the following activities authorized under:

- Title I Basic (PRC 050)
- Improving Teacher Quality (PRC 103)
- Educational Technology (PRC 107)
- English Language Acquisition (PRC 104)
- Safe/Drug Free Schools (PRC 048)
- 21st Century Community Learning Centers (PRC 110)
- Innovative Programs (PRC 059) – *No current year funding.*

SPECIAL PROVISIONS:

1. Each project can be awarded funds for a period of time beginning July 1st and ending September 30th the following year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over. Funds are potentially available to LEAs/charter schools for 27 months, provided a timely project application is submitted each year.

2. Program Administrators will notify the School Allotments Section of any LEA/charter school that does not have an approved budget by October 31st. After this notification, funds carried forward will be reduced from the LEA's/charter school's budget.
3. If an LEA or Charter is eligible for the Small Rural Achievement Program in one fiscal year and not the next, carryover funds will be unallotted under PRC 091 and allotted back into the original PRC using a pro-rata distribution. The LEA or Charter must receive approval of their carryover budget in the original PRC to be able to spend the carryover funds.

TITLE II IMPROVING TEACHER QUALITY

PROGRAM REPORT CODE: 103
 UNIFORM CHART OF ACCOUNTS: XXXX-103-XXX
 CFDA#: 84.367A

TYPE: Dollars

TERM: Up to 27 Months

PURPOSE: Provides funding to help increase the academic achievement of all students by ensuring that all teachers are highly qualified to teach.

ELIGIBILITY: LEAs, charter schools, and Private Schools are eligible to apply for funding. Private Schools will be required to collaborate with LEAs when applying. **Application must be approved prior to the LEA/charter school receiving the allotment.**

FORMULAS:

Allocations to LEAs are based on the following:

1. A “hold-harmless” amount will be allotted based on the amount each LEA/charter school received in FY 2001-2002 under the former Eisenhower Professional Development and Class-Size Reduction grants.
2. If a new LEA or charter school is created, a hold harmless amount is calculated for the new unit by using the FY 2001 – 02 funding factors.
3. The remaining will be allotted based on the following formula:
 1. Twenty percent (20%) will be distributed based on relative population of children ages 5-17.
 2. Eighty percent (80%) will be distributed based on the relative population of children ages 5-17 from families with incomes below the poverty line.

SPECIAL PROVISIONS:

1. Each project can be awarded funds for a period of time beginning July 1st and ending September 30th of the following year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over for an additional 12 months. Funds are potentially available to LEAs/charter schools for 27 month, provided a timely project application is submitted each year.
2. There is no limit on the amount that a LEA/charter school may spend for administrative costs. However, all costs are subject to requirements in OMB Circular A-87 to include the requirement that all costs must be necessary, reasonable, and allocable to the program.
3. Program Administrators will notify the School Allotments Section of any LEA/charter school that does not have an approved budget by October 31st. After this notification, funds carried forward will be reduced from the LEA’s/charter school’s budget.

4. Reallocation of current year funds: Funding for the current year that is not allocated will be distributed to eligible LEAs/charter schools based on the current formula or program needs by February.
5. Any un-allotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.

TITLE III - LANGUAGE ACQUISITION

PROGRAM REPORT CODE: 104
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-104-XXX
 CFDA# 84.365A

TYPE: Dollars

TERM: Up to 27 months

PURPOSE: To help ensure that children who are Limited English Proficient (LEP), including immigrant children and youth, attain English proficiency, develop high levels of academic attainment in English and meet the same State academic content and student achievement standards of non-LEP children, and to assist LEAs/charter schools in building their capacity to establish, implement, and sustain language instructional educational programs and programs of English language development for LEP children.

ELIGIBILITY:

LEAs/charter schools having a sufficient number of Limited English Proficient students are eligible for funding.

Application must be approved prior to the LEA/charter school receiving the allotment. Applications are due in May of each year.

FORMULA: Funds are allocated on the basis of an annual headcount of the LEA's/charter school's LEP students, including immigrant students and youth.

SPECIAL PROVISIONS:

1. Any LEA/charter school earning less than \$10,000 based on the formula must enter a consortium with other LEAs/charter schools.
For any new consortia created as of 2006: No more than five LEAs are allowed in one consortium. Contact program administrators for the state consortium guidelines, as well as consortium guidance from the Office of English Language Acquisition at the US Dept. of Education.
2. If an eligible LEA/charter school is a member of a consortium receiving an award under PRC 104, the LEA/charter school's PRC 104 award shall be allotted to the fiscal agent for the consortium and the sum total of awarded funds shall be used to benefit all of the members of the consortium.
3. Each project can be awarded funds for a period of time beginning July 1st and ending September 30th the following year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over. Funds are potentially available to LEAs/charter schools for 27 months, provided a timely project application is submitted each year.
4. Program Administrators will notify the School Allotments Section of any LEA/charter school that does not have an approved budget by October 31st. After this notification, funds carried

forward will be reduced from the LEA's / charter school's budget.

5. Funds must be used to supplement and not supplant existing resources.
6. Administration expenditures are limited to 2% of total expenditures.
7. One-day or short-term workshops and conferences are not permitted unless the activity is a part of an established comprehensive professional development program for an individual teacher.
8. Reallocation of funds: Funding for current year projects not allocated will be redistributed to eligible units based on the current formula or program needs as determined by grant requirements by February.
9. Any un-allotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous years' funds have been used.

TITLE III - LANGUAGE ACQUISITION (SIGNIFICANT INCREASE)

PROGRAM REPORT CODE: 111
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-111-XXX
 CFDA# 84.365A

TYPE: Dollars

TERM: Up to 27 months

PURPOSE: To provide funds for enhanced instructional opportunities for immigrant children and youth designed to assist them in achieving in elementary and secondary schools, including activities designed to assist parents in becoming active participants in the education of their children and activities designed to support personnel to provide services to immigrant children and youth. Also provides funds for additional basic instructional services that are directly attributable to the presence of immigrant children and youth.

ELIGIBILITY:

LEAs/charter schools:

LEAs/charter schools having a significant increase in the percentage of immigrant students enrolled as compared to the average of the two preceding fiscal years.

For FY 2008-2009, significant increase has been defined to be a 4% or greater increase.

Application must be approved prior to the LEA/charter school receiving the allotment. Applications are due in May of each year.

FORMULA: Funds are allocated on the basis of an annual headcount of the LEA/charter schools immigrant students.

SPECIAL PROVISIONS:

1. If an eligible LEA/charter school is a member of a consortium receiving an award under Title III – Language Acquisition (PRC 104), the LEA/charter school’s Title III – Language Acquisition – Significant Increase (PRC 111) award shall be allotted to the fiscal agent of the consortium and the sum total of awarded funds shall be used to benefit all the members of the consortium.
2. Each project can be awarded funds for a period of time beginning July 1st and ending September 30th the following year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over. Funds are potentially available to LEAs/charter schools for 27 months, provided a project is submitted each year.
3. LEA must be able to show how this money with other funding will provide enhanced instructional opportunities for immigrant children and youth.
4. Program Administrators will notify the School Allotments Section of any LEA / charter school that does not have an approved budget by October 31st. After this notification, funds

carried forward will be reduced from the LEA's / charter school's budget.

5. Reallocation of funds: Funding for current year projects not allocated will be redistributed to eligible units based on the current formula or program needs as determined by grant requirements by February.
6. Any un-allotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous years' funds have been used.

TITLE IV- SAFE AND DRUG-FREE SCHOOLS AND COMMUNITIES

PROGRAM REPORT CODE: 048
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-048-XXX
 CFDA #: 84.186A

TYPE: Dollars

TERM: Up to 27 months

PURPOSE: Provides funding to support programs that involve parents and communities in preventing violence in and around schools; and preventing the illegal use of alcohol, tobacco, and drugs.

ELIGIBILITY: Each LEA/charter school is entitled to funding. **Application must be approved prior to the LEA or charter school receiving the allotment.**

FORMULA:

Of the total funds available to State Aid, allocations will be made to LEAs based on the following formula:

1. Forty percent (40%) will be allotted on the basis of ADM, including private school enrollment.
2. Sixty percent (60%) will be allotted on the basis of the relative amount received under Title I, Part A for the preceding year.

SPECIAL PROVISIONS:

1. Each project is awarded funds for a period of time beginning July 1st and ending September 30th of the following year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over for an additional 12 months. Funds are potentially available to LEAs/charter schools for 27 months, provided a timely project is submitted each year. Carryover funds are subject to applicable carryover percentage limitations.
2. Of the amount available for state aid funding, administrative cost is limited to two percent (2%).
3. Program Administrators will notify the School Allotments Section of any LEA/charter school that does not have an approved budget by October 31st. After this notification, funds carried forward will be reduced from the LEA's/charter school's budget.
4. The amount of funds a LEA/charter school may carry over for use in the following fiscal year at September 30th is limited to 25% of the original appropriation. Funds in excess of percentage limitations will revert and will be re-allotted to LEAs/charter schools determined to have the greatest need for additional funds. A LEA/charter school may submit a request in writing to the Division of School Improvement, Instructional Support/Safe Schools Section, for a waiver of the carryover percentage limitations.
5. LEAs will be reduced for new charter schools that apply for funding. The amount allocated will be based on the charter schools enrollment in accordance with the formula listed above.

6. For charter schools to be eligible for funding, they must submit an application by the application deadline date.
7. Reallocation of current year funds: Funding for the current year that is not allocated will be distributed to eligible LEAs/charter schools based on the current formula or program needs by February.
8. Any un-allotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.

TITLE IV - 21st CENTURY COMMUNITY LEARNING CENTERS

PROGRAM REPORT CODE: 110
 UNIFORM CHART OF ACCOUNTS: XXXX-110-XXX
 CFDA#: 84.287C

TYPE: Dollars

TERM: Up to 12 Months

PURPOSE: Provides funding to establish or expand Community Learning Centers that provide students with academic enrichment, particularly students in high-poverty areas and those who attend low-performing schools.

ELIGIBILITY:

LEAs, Charter and Private Schools, or any public or private organization are eligible to apply for funding. Grants will be made based on a competitive process. The actual recipient to be funded will be selected on the basis of criteria specified in the Request for Proposal (RFP) and Federal regulations.

State Board of Education approval is required before allocation of funds.

FORMULA: N/A

SPECIAL PROVISIONS:

1. Grants will be awarded for four years with a gradual reduction in each of the last two years of the grant period, beginning with 20% in the third year and 40% in the fourth year. This reduction is to encourage programs to become sustainable when the grant period expires. Funding for each of the four years will be subject to the availability of funds.
2. Each grantee can be awarded funds for up to twelve (12) months. Unspent funds remaining on June 30th of each year will revert without a liquidation period.
3. The minimum amount of an allotment to eligible entities is \$50,000 - \$300,000, unless the program administrators, with State Board approval, deem another amount is sufficient to operate a program.
4. Any un-allotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.
5. Un-allotted funds may be used to provide additional monies to existing grantees to support operational expenses. Funds will be distributed based on documentation of need.

TITLE IV - 21st CENTURY COMMUNITY LEARNING CENTERS (CCLC) – Summer Program Mini Grants

PROGRAM REPORT CODE: 113
UNIFORM CHART OF ACCOUNTS: XXXX-113-XXX
CFDA#: 84.287C

TYPE: Dollars

TERM: Up to 4 Months

PURPOSE: To establish summer programs that provide students with academic enrichment opportunities along with activities designed to complement the students' regular academic programs.

ELIGIBILITY:

Current 21st CCLC recipient LEAs, Charter and Private Schools, or any public or private organization are eligible to apply for funding. Grants will be made based on a competitive process. The actual recipient to be funded will be selected on the basis of criteria specified in the Request for Proposal (RFP) and Federal regulations.

State Board of Education approval is required before allocation of funds.

FORMULA: N/A

SPECIAL PROVISIONS:

1. Each project can be awarded funds for up to four (4) months and will expire at August 31st, with no liquidation period beyond that date.
2. The minimum amount of an allotment to eligible entities is \$5,000, unless the program administrators, with State Board approval, deem another amount as sufficient to operate a program.

TITLE V- INNOVATIVE EDUCATION PROGRAMS – *No Current Year Funding*

PROGRAM REPORT CODE: 059
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-059-XXX
 CFDA #: 84.298A

TYPE: Dollars

TERM: Up to 27 months

PURPOSE:

- To support local education reform efforts consistent with statewide education reform efforts;
- To implement promising education reform programs/school improvement programs based on scientifically based research;
- To provide a continuing source of innovation and education improvement, including support programs to provide library services and instructional and media materials;
- To meet the educational needs of all students, including at-risk youth; and
- To develop and implement education programs to improve school student and teacher performance, including professional development activities and class size reduction programs.

ELIGIBILITY:

Each LEA/charter school is entitled to funding based on ADM, participating private school enrollment, and headcount of low-income children.

Application must be approved prior to the LEA or charter school receiving the allotment.

FORMULA:

Of the total funds available at least eighty-five percent (85%) shall be distributed as State Aid to LEAs based on the following formula:

1. Sixty percent (60%) will be allotted on the basis of ADM, including participating private school enrollment.
2. Forty percent (40%) of available state aid is allotted based on the prior year's December free lunch count in the following manner:
 - a. A LEA/charter school must have at least 15% of eligible children on the free lunch headcount as compared to the Public School ADM and the participating Private School Enrollment count. LEAs are also eligible if they do not meet the 15% requirement but have at least 6,500 eligible children on the free lunch headcount. A LEA/charter school determined eligible based on the 6,500 rule will be funded on the number of eligible children in excess of 6,500.
 - b. The available dollars are divided by the sum of the eligible children on the free lunch headcount for those LEAs which were determined eligible in 2.a above to determine the per child funding amount.
 - c. The per child funding amount is then multiplied by the eligible LEA's/charter school's free lunch headcount.

SPECIAL PROVISIONS:

1. Each grant is awarded for a period of time beginning July 1st and ending September 30th of the following year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over for an additional 12 months. Funds are potentially available to LEAs/Charters for 27 month, provided a timely project application is submitted each year. Carryover funds are subject to the applicable carryover percentage limitations.
2. The amount of funds that may be carried over for use in the following fiscal year (after September 30th) is limited to 15 percent of the initial allocation. Funds in excess of percentage limitations will revert and be reallocated to the remaining local education agencies and charter schools based on the same formula used to distribute the funds initially. A local education agency may request in writing to the Section Chief for Compensatory Education, School Improvement Division that a waiver of the carryover percentage is granted. A local education agency can receive a waiver once every three years.
3. Program Administrators will notify the School Allotments Section of any LEA/charter school that does not have an approved budget by October 31st. After this notification, funds carried forward will be reduced from the LEA's/charter school's budget.
4. LEAs will be reduced for new charter schools that apply for and meet eligibility requirements. The eligibility and amount allocated will be based on the formula listed above.
5. For charter schools to be eligible for funding they must submit an application by the application deadline.
6. Reallocation of current year funds: Funding for the current year that is not allocated will be distributed to eligible LEAs/charter schools based on the current formula or program needs by February.
7. Any un-allotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.