



Allotment Policy Manual Changes for FY 2011-12

<b>General Changes:</b>	Dates and Years as appropriate Policy contact names and numbers when appropriate Chart of Accounts code changes as needed Statutory References as needed
<b>Deleted State Policies</b>	PRC 022 - Mentor Pay PRC 028 - Staff Development PRC 033 - ABC Incentive Awards PRC 062 - Student Diagnostics and Intervention Initiative
<b>Renamed State Policy:</b>	PRC 020 - Foreign Exchange Teachers to (International) Faculty Exchange Teachers
<b>Added Federal Policies:</b>	PRC 053 - Child Nutrition Equipment - NSLP PRC 101 - TITLE V- State Abstinence Education Grant Program (AEGP) PRC 156 - Race to the Top PRC 157 - RttT- Regional Leadership Academies PRC 158 - RttT - Recruitment Incentives- Low Achieving Schools PRC 159 - RttT - STEM
<b>Deleted Federal Policy</b>	PRC 106 - Reading First Grant
<b>General Information Section:</b>	<b>Modifications</b>
Employer Benefit Cost	<b>Changed:</b> Hospitalization rate changed from \$4,929 to \$4,931
	<b>Changed:</b> Retirement rate changed from 10.51% to 13.12%
ABC's Transfer of Funds	<b>Changed:</b> from (SL 2009-451), Section 7.8 to (SL 2011-145), Section 7.21
	<b>Changed: Old:</b> Temporary State Board Policy Changes (FY 2009-10 and FY 2010-11 only) <b>New</b> Temporary State Board Policy Changes (FY 2011-12 and FY 2012-13 only)
	<b>Added:</b> Transfers will be allowed to or from the following categories: Non-Instructional Support
	<b>Deleted:</b> Transfers will be allowed to or from the following categories: Mentor Pay, Staff Development, Teacher Assistants, and • Textbooks – (No change to the carryover policy)
	<b>Changed:</b> Children with Disabilities: <b>Old</b> FY 2009-10 <b>New</b> FY 2010-11
	<b>Changed:</b> Classroom Teachers: <b>Old:</b> Transfers from teachers are not restricted <b>New:</b> Classroom Teachers: Transfers from teachers can only be to the teachers' assistants allotment
	<b>Deleted:</b> Non-instructional Support: Funds may not be transferred out of this category
	<b>Added:</b> Teacher Assistants: Funds can only be transferred to PRC 001 and limited to current year appropriation. Transfers into this category are without restriction.
North Carolina Virtual Public Schools (NCVPS)	<b>Changed:</b> Session Law 2010-31 Section 7.4 to Session Law 2011-145 Section 7.22
	<b>New:</b> A new allotment formula implemented in Session Law 2011-145, Section 7.22
Central Office (PRC 002)	<b>Added:</b> funds transferred for this purpose may be used to pay the cost of reduced-price breakfast meals (\$.30 per meal) for eligible students should the state allocation for reduced-price breakfast meals be insufficient to do so.



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Changes to State Policies:	Modifications
Charter Schools (PRC 036)	Deleted Special Provision 5: The State Board of Education may spend up to \$50,000 from State Aid to Local Schol Administrative units for the 2009-10 and 2010-11 fiscal years to evaluate charter schools. (SB, Section 7.3(a))
Child and Family Support Teams (PRC 042)	<b>Changed Old:</b> If the LEA is unable to hire a certified nurse, a transfer can be requested to move the position from PRC 042 to PRC 043 to hire a non-certified nurse. <b>New:</b> If the LEA is unable to hire a certified nurse, a transfer can be requested to temporarily move the position from PRC 042 to PRC 043 to hire a non-certified nurse. A non-certified nurse may only be hired until he/she obtains national certification or another nationally certified nurse is hired. <b>Changed:</b> 61,852 to 62,739
Child and Family Support Teams (CFST) – Social Worker and Other (PRC 043)	<b>Added in Purpose:</b> licensed <b>Special Provision #1 Added:</b> temporarily <b>Special Provision #1 Added:</b> A non-certified nurse may only be hired until he/she obtains national certification or another nationally certified nurse is hired.
Children with Disabilities (PRC 032)	<b>Deleted:</b> Funds can be transferred up to the level of funding increase per year only if the dollars per eligible student is maintained at the FY 2009-10 level as adjusted for legislated adjustments (federal recovery money can be used as part of the dollar per eligible student calculation). Waiver of federal maintenance of effort will be requested. If waiver is approved additional flexibility will be granted. Funds can be transferred by submitting an ABC Transfer Form <b>Deleted Provision #3:</b> Refer to Policies Governing Services for Children with Disabilities produced by the Exceptional Children Division and to the School Attendance and Student Accounting Manual produced by the Division of School Business.
Children With Disabilities - Special Funds (PRC 063)	<b>Added in Development daycare:</b> an approved
Changes to State Policies:	Modifications
Classroom Teachers (PRC 001)	<b>Changed Old:</b> For FY 2009-2010 and FY 2010-2011, funds transfers are allowed. <b>New:</b> For FY 2011-2012 and FY 2012-2013, funds transfers are allowed only to teacher assistants allotment. <b>Added provision:</b> The position being funded by the Burroughs Welcome Grant will be funded an extra 2 months from this category.
Disadvantage Student Supplemental Funding (PRC 024)	<b>Deleted Special Provision #1:</b> The LEAs receiving Disadvantaged Student Supplemental funding must implement a plan jointly developed by the local administrative unit and the Local Education Agency Assistance Program (LEAAP) team. The plan shall... a. Be based on the needs of the students in the unit that are not achieving grade-level proficiency. b. Detail how these funds shall be used in conjunction with all other supplemental funding allotments such as Low Wealth, Small County, At-Risk Student Services / Alternative Schools, and Improving Student Accountability to provide instructional and other services that meet the educational needs of these students. c. Be approved by the appointed agent of the State Board of Education. Funding will be pulled back from LEAs if plan is not approved by the date set by the appointed agent. In determining whether to approve an LEA's plan for the expenditure of funds allocated to it for disadvantage student supplemental funding, the State Board of Education shall take into consideration the extent to which the LEA's policies or expenditures have contributed to or is contributing to increased segregation of schools on the basis of race or socioeconomic status.



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Changes to State Policies:	Modifications
Driver's Training (PRC 012)	<b>Added to Purpose:</b> or receiving instruction through home school
	<b>Added Special Provision:</b> LEAs may charge each student participating in a drivers education course fee up to forty-five dollars to offset the cost of providing the training and instruction.(HB 200)
	<b>Added Special Provision:</b> For the FY 2011-12 school year, no State funds shall be used for driver education programs that do not use the standard driver education curriculum created in accordance with Section 7.12 of S.L. 2010-31. (HB 200, Section 28.37(g))
	<b>Added Special Provision:</b> Pilot Program: The State Board of Education shall establish a pilot program to deliver driver education by electronic means. Funds appropriated for driver education shall be used to implement the pilot program. (HB 200, Section 28.37(h))
<b>Added Special Provision:</b> The Sate Board shall report on the implementation of the pilot program to the Joint Legislated Education Oversight Committee and the Joint Legislative Program Evaluation Oversight Committee by June 15, 2012. (HB 200, Section 28.37 (h-i))	
Instructional Support Personnel – Certified (PRC 007)	<b>Changed Formula Ratio+B17:</b> Instructional Support allotment ratio increased from 1 position per 200.10 ADM to: <b>1 position per 210.53 ADM</b>
Low Wealth Supplemental Funding (PRC031)	<b>Deleted Special Provision: For FY 2008-09 only, funding was maintained at the FY 07-08 Levels plus Legislative salary increase and the restoration of 40% of the loss for LEAs losing funding from 06-07 to 07-08. (HB 2436, Section 7.10(a))</b>
Non-Instructional Support (PRC 003)	<b>Changed:</b> For FY 2009-2010 and FY 2010-2011 only, no transfer allowed out of this category. <b>New:</b> For FY 2011-2012 and FY 2012-13 transfers are allowed in and out of this category.
	<b>Changed: Old:</b> Funds may be transferred with no restriction for FY 2009-2010 and FY 2010-2011 only by submitting an ABC Transfer Form. <b>New:</b> Funds may be transferred in and out of this category only by submitting an ABC Transfer Form. Only current year appropriations can be transferred.
School BuildingAdministration (PRC 005)	<b>Changed Formula Ratio:</b> Assistant Principals allotment ratio increased from 1 month per 80 ADM to 1 moth per 98.53 ADM.
	<b>Changed:</b> ABC transfer conversion rate for Principals changed from statewide average plus benefits to first step of the principal III salary schedule plus benefits.
	<b>Added :</b> School Opening after July 1, 2011 will have to qualify for a principal based on having 100 or more students in ADM only. SL 2011-145, Section
	<b>Changed:</b> ABC transfer conversion rate for Assistant Principals is changed from the statewide average salary plus benefits to Funds related to assistant principals months of employment shall be transferred based on the first step of the assistant principal salary schedule plus benefits.
School Connectivity (PRC 073)	<b>Deleted Special Provision:</b> Up to \$300,000 may be transferred annually through June 30, 2013, to the Friday Institute of North Carolina State University to evaluate the effectiveness of using technology and its impact on 21 <sup>st</sup> Century Teaching and Learning outcomes approved by the State Board of Education. (SB 202, Section 7.12(b))
	<b>Deleted Special Provision:</b> Up to \$350,000 of the funds for School Connectivity Initiative may be used for this and subsequent fiscal years by the office of the Governor for education innovation and the education E-learning portal. These funds may be used to provide services to coordinate e-learning activities across all education agencies and to support the operating of the E-learning portal. (SB 897, Section 7.9(b))
	<b>Deleted Special Provision:</b> The State Board of Education may use up to \$1 million to establish up to eight regional positions or contract services regionally to assist local school administrative units in implementing the Initiative. (HB 1473, Section 7.28 (h))
School Technology (PRC 015)	<b>Changed: Old:</b> Funds can be transferred out of this category <b>New:</b> Funds cannot be transferred in or out of this PRC for FY 2011-12 and FY 2012-13.
Small County Supplemental Funding (PRC 019)	<b>Changed:</b> Minimum for Classroom Materials and Supplies changed from 788,789 to 717,360.



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Changes to State Policies:	Modifications
Teacher Assistants (PRC 027)	<b>Changed- Old:</b> ABC transfers are allowed with no restrictions for FY 2009-2010 and FY 2010-2011 by submitting ABC Transfer Form. <b>New:</b> ABC transfers are allowed only to classroom teachers (PRC 008) for FY 2011-2012 and FY 2012-13 and are limited to current year appropriations only by submitting ABC Transfer Form.
Textbooks	<b>Changed- Old:</b> Funds may be transferred with no restriction for FY 2010-2011 by submitting an ABC Transfer Form. <b>New:</b> Only current year appropriations can be transferred for FY 2011-12 until the Higher of 1st or 2nd month ADM Adjustments have been process. Then carryover can also be transferred by submitting an ABC Transfer form..
Changes to Federal Policies:	Modifications
IDEA VI-B (2004) ARRA (PRC 144)	<b>Changed Eligibility - Old:</b> Each LEA/charter school/state-operated program was entitled to a one time funding (two 50% allocations) based on the child count of children ages 3 through 21 meeting the federal IDEA definition of children with disabilities who were served on December 1st of the previous year. <b>New:</b> Each LEA/charter school/state-operated program was entitled to a one time funding (two 50% allocations) based on eligibility established under section 613(a) of the IDEA.
IDEA VI-B (2004) Handicapped (PRC 060)	<b>Changed Eligibility: Old:</b> is entitled to funding based on the child count of children ages 3 through 21 meeting the federal IDEA definition of children with disabilities who were served on December 1st of the previous year. <b>New:</b> must establish their eligibility under section 613 of the IDEA. A plan must be submitted that provides assurances that the LEA/charter school/state-operated program meets each of the conditions in section 613(a) of the IDEA. Funds are available to provide services for eligible children with disabilities currently served and who subsequently enroll or are identified during the year, or to conduct child find activities if no children with disabilities are currently served by the LEA/charter school/state-operated program <b>Changed Eligibility Section:</b> Application must be approved prior to the LEA receiving the allotment <b>New:</b> Grant application with established eligibility must be approved prior to the LEA/charter school/state-operated program receiving the allotment.
IDEA VI-B (2004) – Capacity Building and Improvement (PRC 044)	<b>Deleted from Purpose:</b> Five North Carolina Department of Public Instruction Directives 1. IDEA Performance Goals and Indicators 2. No Child Left Behind 3. Raising Achievement and Closing Gaps 4. North Carolina Improvement Plan 5. State Improvement Grant <b>Added Special Provision:</b> Positions paid with these funds are subject to time and effort requirements.
IDEA VI B - Children with Disabilities – Risk Pool (PRC 114)	<b>Added Special Provision:</b> Positions paid with these funds are subject to time and effort requirements.
Changes to Federal Policies:	Modifications
IDEA VI-B (2004) Coordinated Early Intervening Services (CEIS) (PRC 070)	<b>Changed Special Provision #1 - Old:</b> Funds can be transferred between PRC 070 and PRC 060. Funds may only be expended according to the approved budget <b>New:</b> For non-mandatory CEIS LEAs, funds can be reverted (between July 1 and January 15 of the initial fiscal year of the allocation) from PRC 070 to PRC 060. Funds may only be expended according to the approved budget.. <b>Added Special Provision:</b> Positions paid with these funds are subject to time and effort requirements.
IDEA VI-B Preschool ARRA (PRC 145)	<b>Changed Eligibility- Old:</b> Each LEA was entitled to funding based on the Child Count of children ages 3 through 5 meeting the federal IDEA definition of children with disabilities who were served on December 1st of the previous year. <b>New:</b> Each LEA was entitled to funding if they have established their eligibility under section 613(a) of the IDEA.



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Changes to Federal Policies:	Modifications
IDEA VI-B Preschool (PRC 049)	<p><b>Changed Eligibility- Old:</b> Each LEA is entitled to funding based on the Child Count of children ages 3 through 5 meeting the federal IDEA definition of children with disabilities who were served on December 1st of the previous year. Application must be approved prior to the LEA/charter school/state-operated program receiving the allotment.</p> <p><b>New:</b> Each LEA that has established its eligibility under section 613 of the IDEA is eligible for funds to provide services for eligible children with disabilities ages 3 through 5. A grant application must be submitted and approved prior to the LEA receiving the allotment.</p> <p><b>Changed Special Provision #2: Old:</b> Each LEA/charter school/state operated program must maintain documentation that it has spent the same minimum amount (on the average) for the education of its children with disabilities as the LEA/charter school/state operated program has spent for all the children in the LEA/charter school/state operated program taken as a whole before any IDEA VI-B funds are used for the education of children with disabilities <b>New:</b> Funds must be used to supplement state, local and other federal funds and not to supplant those funds.</p> <p><b>Added Special Provision:</b> Positions paid with these funds are subject to time and effort requirements.</p>
Changes to Federal Policies:	Modifications
IDEA VI-B - Preschool Targeted Assistance (PRC 119)	<p><b>Added Special Provision:</b> The sub-grant award serves the FY 2011-12, FY 2012-13 and FY 2013-14 school years.</p>
IDEA VI-B – Special Education State Improvement Grant (PRC 082)	<p><b>Added Special Provision:</b> A summary list of LEAs/charter school allotments will be provided semi-annually to the State Board of Education.</p>
McKINNEY – VENTO Homeless Assistance Act (PRC 026)	<p><b>Added Special Proviaion:</b> The sub-grant award serves the 2011-12, 2012-13, and 2013-14 school years.</p>
Title IV 21st Century Community Learning Centers (PRC 110)	<p><b>Changed special provision #3: Old:</b>\$100,000, and the maximum amount is not to exceed \$300,000.00 <b>New:</b> \$50,000, and the maximum amount is not to exceed \$400,000</p>
Title IV 21st Century Community Learning Centers -Summer Program Mini Grants (PRC 113)	<p><b>Changed Special Provision #1-Old:</b> Up to 4 Months (after the grantee’s regular school year has ended) <b>New:</b> Up to 5 Months</p> <p><b>Changed Eligibility - Old:</b> Grants will be made based on a competitive process. The actual recipient to be funded are selected on the basis of criteria specified in the Request for Proposal (RFP) and Federal regulations, and will be obtained from previously awarded grantees that are currently receiving funds. <b>New:</b> LEAs, Charter and Private Schools, and public or private organizations are eligible to apply for funding. Grants will be made based on a competitive process, with recipients to be funded and selected on the basis of criteria specified in the 21st CCLC application. State Board of Education approval is required before allocation of funds</p> <p><b>Changed Special Provision #1 Old:</b> four (4) months, to start after the end of the regular school year. <b>New:</b> five (5) months</p> <p><b>Changed Special Provision #2 Old:</b> \$10,000-\$50,000, <b>New:</b> \$50,000, and the maximum amount must not exceed \$75,000</p>

# 2011-12 ALLOTMENT POLICY MANUAL



**PUBLIC SCHOOLS OF NORTH CAROLINA  
STATE BOARD OF EDUCATION  
DEPARTMENT OF PUBLIC INSTRUCTION  
FINANCIAL AND BUSINESS SERVICES  
DIVISION OF SCHOOL BUSINESS**



[www.ncpublicschools.org/fbs/allotments/](http://www.ncpublicschools.org/fbs/allotments/)

**General Information**

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**DEFINITIONS OF TERMS**

1. **ABCs Flexibility** – In 1996, the General Assembly approved a law to give the State Board of Education the authority to implement the ABCs. The ABCs assign more responsibility at the school building level and allow schools flexibility to use funds as they are most needed at the school.
2. **Add-on** - Categorical dollars allocated over and above the base allotment.
3. **Allotted ADM** - The higher of the first two months total projected ADM for the current year or the higher of the first two months total prior year ADM.
4. **Apportionment of Local Funds** - The certification of the distribution of local current expense funds by the State Board of Education when there is more than one LEA in a county.
5. **Average Daily Membership (ADM)** - The sum of the number of days in membership for all students in individual Local Education Agencies (LEAs), divided by the number of school days in the term.
6. **CFDA #** - A number that is assigned to each federal program for which a grant is awarded. [The Catalog of Federal Domestic Assistance](#) contains information for all federal grant programs such as grant authorization, who is eligible to apply, etc.
7. **Carryover** – Funds appropriated but unspent in the first fiscal year that are brought forward for expenditure in the succeeding fiscal year. Most allotments that carry over must be approved annually by the State Budget Office.
8. **Categorical Allotments** – Local school systems may use this funding to purchase all services necessary to address the needs of a specific population or service. The local school system must operate within the allotted funds. These funds may be used to hire personnel such as teachers, teacher assistants, and instructional support personnel or to provide a service such as transportation, staff development, or to purchase supplies and materials.  
  
Examples: At Risk Student Services, Transportation, Children with Disabilities.
9. **Charter School** – A public school operated by a group of parents, teachers, and/or community members as a semi-autonomous school of choice within a school district operating under a “charter” with the State Board of Education. The final approval of all charters is granted by the State Board of Education. Charter schools are designed to give significant autonomy to individual schools and in turn hold these schools accountable for results.
10. **Dollar Allotments** – Local school systems can hire employees or purchase goods for a specific purpose, but the local system must operate within the allotted dollar amount.  
  
Examples: Textbooks, Central Office Administration, Teacher Assistants.
11. **Dollars per ADM** - LEA’s Initial Allotments divided by the allotted ADM for that LEA. Charter schools receive an amount equal to the state funded dollars per ADM for the LEA in which the school is located or (for new charters) in which the student was previously enrolled.

12. **Dollars per Child Count** - Funds generated for school-aged children with special needs divided by the LEA's April 1 total child count (not capped). Charter schools receive an amount equal to the LEA's state funded dollars per child count for the LEA in which the child was identified.
13. **ESEA** - Elementary and Secondary Education Act of 1965. This act was amended by the No Child Left Behind Act of 2001.
14. **Full-time Equivalent** (FTE) - Sum of part-time positions employed when added together equate to a full-time position used within a classification.  
  
Example: Two one-half time positions equate to one full-time equivalent position.
15. **Grade Level Proficiency** – Performance standards, called achievement levels, are one way that scores on the North Carolina End-of-Course Tests are reported. Students that score at or above level III are considered to be grade level proficient. Listed below are the four achievement levels:  
  
Level I: Students performing at this level do not have sufficient mastery of knowledge and skills of the course to be successful at a more advanced level in the content area.  
  
Level II: Students performing at this level demonstrate inconsistent mastery of knowledge and skills of the course and are minimally prepared to be successful at a more advanced level in the content area.  
  
Level III: Students performing at this level consistently demonstrate mastery of the course subject matter and skills and are well prepared for a more advanced level in the content area.  
  
Level IV: Students performing at this level consistently perform in a superior manner clearly beyond that required to be proficient in the course subject matter and skills and are very well prepared for a more advanced level in the content area.
16. **Handicapped (Children with Disabilities)** – Mentally retarded, hearing impaired, deaf, speech/language impaired, visually handicapped, autistic, behaviorally/emotionally disturbed, orthopedic impairment, other health impaired, traumatic brain injury, deaf-blind, multi-handicapped, or specific learning disabled students who need special education and related services.
17. **Headcount/Child Count** - This term refers to the process of actually counting the number of pupils who are defined as Children with Special Needs or Limited English Proficient. For state purposes, children with special needs include handicapped and pregnant students.  
  
For federal purposes, children with special needs include only the handicapped.  
  
The federal government requires child counts of children with special needs who have been properly identified, are receiving special education and related services on the child count day, and have an individual education plan (IEP) or an individualized family service plan (IFSP). The December 1 IDEA Title VI-B Handicapped child count includes those children ages 3 to 21 who are being served by LEAs, charter schools, or state-operated programs. State law requires an adjusted child count on April 1 of children ages 5 to 20 who are being served by LEAs or charter schools and ages 3 to Pre-K-5 for Preschool handicapped funds. The April child count includes additions or deletions to the December 1 child counts and is used for state funding purposes.

18. **IDEA** - Individuals with Disabilities Education Act replaced the EHA - Education of Handicapped Act for Title VI-B. Includes Title VI-B and Title VI-B Preschool Handicapped.
19. **Initial Allotments** – The allocation of state and federal funds to LEAs occurring after adjournment of the General Assembly.
20. **Months of Employment** - A unit of employment corresponding to a calendar month. The state allots a certain number of months of employment which can be assigned to a position, or a portion of a position, at the discretion of the LEA. Months of employment pertain to all position allotments which include Career Technical Education and School Building Administration (Assistant Principals).  
  
Example: If a LEA is allotted 100 months of employment, that LEA can hire 10 Career Technical Education teachers for 10 months; or 8 teachers for 12 months and 1 teacher for 4 months; or any other combination which equals 100 months. Each allotment category must be reviewed for any special restrictions.
21. **NCLB** - On January 8, 2002, President Bush signed into law the **No Child Left Behind** Act of 2001. The Act is the most sweeping reform of the Elementary and Secondary Education Act (ESEA) since ESEA was enacted in 1965. It redefines the federal role in K-12 education and will help close the achievement gap between disadvantaged and minority students and their peers. It is based on four basic principles: stronger accountability for results, increased flexibility and local control, expanded options for parents, and an emphasis on teaching methods that have been proven to work.
22. **NC WISE** – North Carolina Window of Information on Student Education, NC WISE, is a web-based, integrated and secure tool that replaced SIMS to effectively manage student information and improve instruction in North Carolina schools. NC WISE provides teachers, principals, counselors, nurses, central office staff, and others with direct and immediate access to a full spectrum of data on a student’s entire career in the North Carolina school system. NC WISE supports federal and state reporting requirements associated with No Child Left Behind, ABCs Accountability, School Report Card, and Closing The Gap.
23. **PR/Award** – A unique, identifying number assigned to each application. This is commonly known as the “grant number” or “document number”.
24. **Planning Allotments** – The tentative allocation of state and federal funds to LEAs to provide information for budgeting purposes. These allotments occur during February, preceding the fiscal year for which the initial allotment will be made.
25. **Positions** - Positions equate to the full-time equivalent individuals that can be assigned for the employment period represented by the allotment category. For example, a position in the classroom teacher allotment represents an employment period of 10 months. The number of full-time equivalent individuals that can be employed is limited to the number of months associated with the positions allotted by the state.

26. **Position Allotments** – The state allots positions to a local school system for a specific purpose. The local school system pays whatever is required to hire certified teachers and other educators based on the [State Salary Schedule](#), without being limited to a specific dollar amount. Each local school system will have a different average salary based on the certified personnel’s experience and education. For more information see the State Salary Schedule Manual.
- Example: Teachers, School Building Administration (Principals) and Instructional Support Personnel.
27. **School** - An organizational subdivision of a school system consisting of a group of pupils composed of one or more grade groups, organized as one unit with an assigned principal, or person acting in the capacity of principal, to give instruction of the type defined in the N.C. Standard Course of Study, and housed in a school plant of one or more buildings. (See #9 for definition of *charter school*.)
28. **Student Accounting** - The data collection system regarding student enrollment, membership, attendance, and withdrawal from membership in the public schools. The North Carolina Window of Information on Student Education (NC WISE) and other Uniform Education Reporting System (UERS) compliant systems are the official means by which this data is reported to the Department of Public Instruction.
29. **Tydings Amendment** - Federal law provides that certain federal funds not obligated during the first year of allotment shall remain available for obligation and expenditure for one additional year. Federal grant periods vary; therefore, each grant must be reviewed to determine if the Tydings Amendment will apply. Since the federal fiscal year begins October 1st and the state fiscal year begins July 1st, many grant periods can be active up to 27 months when provisions of the Tydings Amendment are applicable.
30. **UERS** – Uniform Education Reporting System. UERS is the comprehensive system by which LEAs and Charter schools report data electronically to the Department of Public Instruction. Some components of UERS include NC WISE and expenditure reporting in compliance with the [Uniform Chart of Accounts](#).
31. **Year Round Education** - Reorganization of the school calendar into instructional blocks with vacations distributed across the calendar year so that learning is continuous throughout the year.

**EMPLOYER BENEFIT COSTS**

PROGRAM REPORT CODE:	Various
UNIFORM CHART OF ACCOUNTS CODE:	XXX-XXX-2X1
STATUTORY REFERENCE:	Session Law 2010-31, HB 200, Section 29.22( c) and Section 29.22(e)

**Benefit Rates:**

Hospitalization	\$4,931 per year per full-time equivalent position
Social Security	7.65%
Retirement	13.12%

Effective July 13, 1989, a person must be a permanent employee as defined in the North Carolina Public School [Benefits and Employment Policy Manual](#), and must be employed for thirty or more hours per week in order for an LEA to submit the employee for enrollment in the State Retirement System and the State Health Insurance System. Charter schools may elect to participate in the State Retirement System and the State Health Insurance System. Charter school decisions to enroll in the State Retirement System and State Health Insurance system are final.

Effective July 1, 1995, all employer salary-related contributions for full-time permanent employees, including hospitalization benefits, shall be prorated based on the actual percentage employed in each expenditure code.

**APPORTIONMENT OF LOCAL FUNDS**

In accordance with **G.S. 115C-430**, if there is more than one LEA in a county, all appropriations by the county to the local current expense funds of the LEAs, except appropriations funded by supplemental taxes levied less than county wide, must be apportioned according to the membership of each LEA. Membership for each LEA will be based on the allotted ADM. Students residing outside the county will be deducted from membership before the apportionment of local funds is calculated.

Membership for city LEAs located in more than one county will be divided on a percentage basis according to the number of students residing in each county at the end of the second school month of the prior year.

If a Charter school is established within a LEA whose borders extend into more than one county, a breakdown of student membership for each of those counties will be obtained from the Charter School. This information will be used to calculate the revised ADM figures for the per capita distribution of local funds for the school system. As of the 2004-05 school year, Kannapolis City Schools and Nash-Rocky Mount Schools have borders that extend into more than one county.

To comply with the School Budget and Fiscal Control Act, the membership for the subject LEAs will be determined and certified to the LEA and the board of county commissioners by the **Division of School Business**.

## **TEXTBOOKS FOR THE VISUALLY IMPAIRED**

LEAs may order Braille, large print and audio-cassette tape copies of textbooks for children with disabilities who Individualized Education Programs state that such modified textbooks are necessary to meet their unique learning needs.

Orders for modified textbooks must be submitted to the State Textbook Warehouse. These orders will be filled on a first-come first-served basis until the available state funds have been depleted. Once the fund for modified textbooks has been depleted, LEAs can use their textbook credit balance account to purchase modified textbooks.

Textbook Services shall have the authority to recall the modified textbooks for redistribution when no longer needed by children with special needs in any LEA.

Local boards of education may:

1. Select, procure, and use textbooks that have not been adopted by the State Board of Education for use throughout the local school administrative unit for selected grade levels and courses; and
2. Approve school improvement plans developed under **G.S. 115C-105.22** that include provisions for using textbooks that have not been adopted by the State Board of Education for selected grade levels and courses.

**All textbook contracts made under this subsection shall include a clause granting to the local board of education the license to produce Braille, large print, and audiocassette tape copies of the textbooks for use in the local school administrative unit.**

## **MERGED LEAs**

If two or more LEAs are consolidated into one LEA, the following allotments shall not be less than those same allotments to the separate LEAs for the first and second full fiscal years of the consolidation and shall be used for the continuation of the positions and programs, except as specifically authorized by the State Board of Education.

1. Central Office Administration
2. Career Technical Education Months of Employment
3. Children with Special Needs - Preschool Handicapped
4. Limited English Proficiency

Additionally, individual LEAs with June 30 allotment balances that are subject to carryover provisions will be consolidated by respective category for all LEAs within the merger and re-allotted in total to the consolidated LEA.

For additional information related to minimum local funding of merged LEAs, see **G.S. 115C-68.1**, as enacted by the 1991 General Assembly.

## **ALLOTMENT ADJUSTMENTS FOR ADM GROWTH**

### **PURPOSE:**

The contingency reserve was established to support the average daily membership (ADM) based allotments. The reserve is to be used in cases where first month Average Daily Membership exceeds the original anticipated ADM used for allotments. Increases will be made in the Classroom Teacher, Instructional Support, Non-instructional Support, Teacher Assistant, Classroom Materials and Supplies, Textbook, and Career Technical Educational Program Support categories as applicable.

### **OBJECTIVE:**

In administering this allotment, the intent is to first ensure that adequate school-based resources are provided to meet the average daily membership as of the first month of school, per LEA request. The reserve will be allotted to LEAs on a case-by-case basis according to need. Secondly, (within available state funding) the reserve will be utilized to relieve class size overage problems as they may occur during the school year after the allotment adjustment for ADM growth. In the case of class size overage adjustments after the first month, allotments will only be made for regular teachers as authorized by **G.S. 115C-301**.

### **ADMINISTRATION:**

These adjustments are to ensure that adequate school-based resources are provided (within appropriated funding/formulas) to meet the requirements after the first month of school per LEA request.

The allotment adjustments are based on first month average daily membership reports as submitted by the LEAs to the **Division of School Business**.

After the first month of school, a LEA can request additional resources due to extraordinary student population growth that results in significant class size overage problems. Each request will be reviewed based on the criteria outlined below:

- The LEA's current month Average Daily Membership (ADM) will be compared to the LEA's Allotted ADM.
- Overall growth must be at least 2% or 100 students and the growth must have created significant class size overage problems that cannot be resolved by the LEA.
- Less growth will be considered if the growth and class size problem occurs within a specific grade or grade spans K, 1-3, 4-8, 9-12, or within classes for children with disabilities.
- Individual school class sizes and the type of class structures (blocked, combined, etc.) will be examined. If reorganization or class restructuring can absorb the growth, additional allocations will not be made.
- The LEA must show a need for funding not already included in the regular ADM teacher allotment or children with disabilities programs.

- With receipt of a LEA's request, the Department of Public Instruction will verify that sufficient State resources are available to meet the LEA's request and will notify the LEA:
  - If additional funding will be allotted to cover the LEA's ADM growth, or
  - If the LEA's request for additional funding for ADM growth has been denied.

Additional funds will be allotted, within available funds, on a case-by-case basis. The State Board of Education, in accordance with **G.S. 115C-416**, may specifically authorize use of the reserve (within available state funding) on a case-by-case basis where additional resources are required to meet legislative mandates.

### **ALLOTMENT ADJUSTMENTS FOR ADM DECREASES**

#### **PURPOSE:**

In accordance with HB 1473 Section 7.14 (b), decreases to allotments must be made if the original anticipated ADM used for allotments exceeds the higher of the first or second month Average Daily Membership (ADM) by at least 2% or 100 students. The funds from this adjustment will be added to the ADM Contingency Reserve to be used in cases where first month Average Daily Membership exceeds the original anticipated ADM. Decreases are made in the Classroom Teacher, Instructional Support, Non-instructional Support, Teacher Assistant, Classroom Materials and Supplies, Textbook, and Career Technical Educational Program Support Categories as applicable.

#### **OBJECTIVE:**

In administering this reduction, the intent is to redistribute funds to ensure that adequate school based resources are provided to meet the average daily membership as of the first month of school.

#### **ADMINISTRATION:**

The allotment adjustments are based on the higher of the first or second month average daily membership reports as submitted by the LEAs to the **Division of School Business**.

After the second month of school, the School Allotment Section will select the higher of the first two months of school ADM to determine if a decrease in funding is required. Each LEA will be reviewed based on the criteria outlined below:

- The LEA's higher of first or second month Average Daily Membership (ADM) will be compared to the LEA's Allotted ADM.
- Overall decrease must be at least 2% or 100 students to require an adjustment.
- The reduced allotment shall be based on the higher of first or second month ADM plus one-half of the number of students overestimated in the anticipated average daily membership.

**SUBSTITUTE PAY**

PROGRAM REPORT CODE: XXX  
 UNIFORM CHART OF ACCOUNTS CODE: XX00-XXX-16X  
 STATUTORY REFERENCE:

With the ratification of the School Flexibility and Accountability Bill (House Bill 6) in July of 1995, Substitute Pay for classroom teachers and instructional support personnel is allotted with Non-Instructional Support Personnel (PRC 003). Substitute Pay from other State allotments such as Career Technical Education, Staff Development, and Children with Disabilities, as well as federal programs, may still be paid from their respective categorical allotments.

Substitutes who hold teacher certificates shall receive a minimum of 65% of the daily pay rate of an entry-level teacher with an "A" certificate (\$90) per day for FY2011-2012. Substitutes who are non-certified shall receive a minimum of 50% of the daily pay rate of an entry-level teacher with an "A" certificate (\$69) per day for FY2011-12. The pay for non-certified substitutes shall not exceed the pay of certified substitutes. Deductions in salaries for teachers on leave who require a deduction in salary for substitute pay shall be at a standard rate of \$50.00 per day.

In accordance with **G.S. 115C-12(8) Section 18.4**, the \$50 deducted from a teachers pay will be reallocated to Non-instructional Support Personnel (PRC 003) on a monthly basis. The additional allocation is based on absence codes included on teacher payroll records.

For additional information regarding the payment of substitute pay please reference the Salary Manual at [www.ncpublicschools.org/fbs/finance/salary/](http://www.ncpublicschools.org/fbs/finance/salary/) or the Employee Benefits Manual at [www.ncpublicschools.org/humanresources/district-personnel/key-information/](http://www.ncpublicschools.org/humanresources/district-personnel/key-information/).

**ANNUAL LEAVE**

PROGRAM REPORT CODE: 009  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-009-188  
 STATUTORY REFERENCE: G.S. 115C-316

No allotment is made for annual leave. Eligible expenditures will be covered as reported through UERS (Uniform Education Reporting System) for LEAs.

For additional information regarding the payment of annual leave please reference the Salary Manual at [www.ncpublicschools.org/fbs/finance/salary/](http://www.ncpublicschools.org/fbs/finance/salary/) or the Employee Benefits Manual at [www.ncpublicschools.org/humanresources/district-personnel/key-information/](http://www.ncpublicschools.org/humanresources/district-personnel/key-information/).

**LONGEVITY**

PROGRAM REPORT CODE: 009  
 UNIFORM CHART OF ACCOUNTS CODE: XXX-009-184  
 STATUTORY REFERENCE: G.S. 115C-316 and SB897, Section 29.2(b)

No allotment is made for longevity. Eligible expenditures will be covered as reported through UERS for LEAs.

**Longevity Schedule**

<u>Years of Total State Service</u>	<u>Longevity Pay Rate</u>
10 but less than 15 years	1.50 percent
15 but less than 20 years	2.25 percent
20 but less than 25 years	3.25 percent
25 or more years	4.50 percent

For additional information regarding the payment of longevity please reference the Salary Manual at [www.ncpublicschools.org/fbs/finance/salary/](http://www.ncpublicschools.org/fbs/finance/salary/) or the Employee Benefits Manual at [www.ncpublicschools.org/humanresources/district-personnel/key-information/](http://www.ncpublicschools.org/humanresources/district-personnel/key-information/).

**SHORT-TERM DISABILITY**

PROGRAM REPORT CODE: 009  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-009-1XX  
 STATUTORY REFERENCE:

No allotment is made for short-term disability. Eligible expenditures will be covered as reported through UERS for LEAs.

Short-term disability payments are payable after the conclusion of a 60 day waiting period for a period not exceeding 365 calendar days provided the employee meets the following requirements:

1. The employee has at least one year of contributing membership service in the Retirement System earned within the 36 calendar months preceding employee’s disability.
2. Employee has been classified as being mentally or physically disabled for the further performance of his/her usual occupation.
3. Employee’s disability was incurred at the time of active employment.
4. Employee’s disability has been continuous.

For additional information regarding the payment of short-term disability please reference the Salary Manual at [www.ncpublicschools.org/fbs/finance/salary/](http://www.ncpublicschools.org/fbs/finance/salary/) or the Employee Benefits Manual at [www.ncpublicschools.org/humanresources/district-personnel/key-information/](http://www.ncpublicschools.org/humanresources/district-personnel/key-information/).

## **INJURIES DUE TO EPISODES OF VIOLENCE**

PROGRAM REPORT CODE:	Varies
UNIFORM CHART OF ACCOUNTS CODE:	Varies
STATUTORY REFERENCE:	G.S. 115C-338

An employee who while engaged in the course of his employment or in any activities incidental thereto, suffers any injury or disability resulting from or arising out of any episode of violence by one or more persons shall be entitled to receive his full salary during the shortest of these periods: one year, the continuation of his disability, or the time during which he is unable to engage in his employment because of injury. This allocation will be made if the employee is not receiving salary from worker's compensation.

The Local School Board must declare the incident as an Act of Violence. In accordance with **G.S.115c-338**, a LEA must notify the School Allotments Section in writing of how many months the employee was absent in the initial fiscal year due to the incidence of violence. The LEA must notify School Allotments Section in the 2nd fiscal year if needed. Allotments will **not** be made for prior fiscal years.

LEAs should include the following information when submitting claims for episodes of violence:

- A written description of the act.
- A copy of the physician's statement and the Board's designation of Act of Violence.
- The specific dates the additional allotment will cover.
- The name and phone number of the Key Risk case worker.

For additional information regarding injuries due to episodes of violence please reference the Salary Manual at [www.ncpublicschools.org/fbs/finance/salary/](http://www.ncpublicschools.org/fbs/finance/salary/) or the Employee Benefits Manual at [www.ncpublicschools.org/humanresources/district-personnel/key-information/](http://www.ncpublicschools.org/humanresources/district-personnel/key-information/).

**ABCs TRANSFER OF FUNDS**

STATUTORY REFERENCE: G.S. 115C-105.25 Budget Flexibility  
Legislation (SL 2011-0145), Section 7.21

**Temporary State Board Policy Changes (FY 2011-12 and FY 2012-13 only):**

The ABCs of public education enables LEAs to have flexibility in their funding. Transfers of funding and/or position allotments are to be submitted to the School Allotments Section of the **Division of School Business**. No financial State Board of Education waivers are required. Positions/Months are transferred at the statewide average salary, if converting one of the following guaranteed certified positions:

- Classroom Teachers
- Instructional Support
- Career Technical Education Months of Employment

For transfers from School Building Administration:

Principal positions: The salary transferred shall be based on the first step of the Principal III salary schedule.

Assistant Principals Months: The salary transferred shall be based on the first step of the assistant principal salary schedule.

For the purpose of paying for a different certified position from that being transferred into PRC 010 (Certified Positions converted to dollars), the dollar amounts converted equal salaries stated including benefits. The salary of the position to be paid from PRC 010 will be a dollar allotment and not a guaranteed salary. Converting certified position allotments to dollars for the purpose of hiring the same type position is not allowable.

**No transfer will be allowed to or from the following categories:**

- Behavioral Support
- Children with Disabilities Special Funds
- Driver's Training
- Federal Funds
- LEA Financed Purchase of School Buses
- School Technology

**Transfers will be allowed to or from the following categories:**

- At Risk Student Services/Alternative Schools
- Classroom Materials,/Supplies and Equipment
- Disadvantaged Student Supplemental Funding
- High School Learn and Earn
- Limited English Proficiency
- Low Wealth Supplemental Funding

- Non-Instructional Support
- Small County Supplemental Funding
- Transportation – (may impact efficiency rating)

Limited transfers will be allowed for the following categories:

- Academically & Intellectually Gifted: Funds may be transferred to other categories if the academically and intellectually gifted population is appropriately served. Funds can be transferred into this category.
- Career Technical Education: Funds may be transferred out of Months of Employment (MOEs) to Program Support without limitation. Transfers out of Career Technical Education for other categories are allowable up to 7% of your current year appropriation.
- Central Office Administration: Transfers from central office administration are acceptable. Transfers into this category are not allowed.
- Children with Disabilities: Funds can be transferred up to the level of funding increase per year only if the total dollars per eligible student is maintained at FY 10-11 level as adjusted for legislated adjustments.
- Classroom Teachers: Transfers allowed only to Teacher Assistant (PRC 027) allotment.
- Instructional Support: Funds may be transferred out of this category except where prohibited elsewhere in this policy.
- Position/MOE Allotments - Funds may be transferred out of these categories except where prohibited elsewhere in the policy. Funds cannot be transferred into these categories.
- Teacher Assistants: Funds can only be transferred to PRC 008 and the amount transferred is limited to current year appropriation. Transfers into this category are without restriction.
- Textbooks: Transfers in and out of this category are allowed.

**The final date for transfers is the last work day of May each year.** Transfers received after this date cannot be approved.

Note: LEAs are to make every effort to minimize the budget adjustments that impact classroom instruction and classroom activities. LEAs must also maximize the use of federal recover funds to assure that, when appropriate under federal grant rules, the funds are used to expand or maintain classroom support. As required by the federal recovery funding guidance, we will be monitoring and reporting the types of jobs created and saved by local education agencies because of the federal recovery funds received. This reporting will be monthly and will be posted to the web for public access to expenditure information.

**SMALL SCHOOLS**

STATUTORY REFERENCE:

HB2436 Session Law 2008-107 Section 7.20

Additional classroom teachers may be allotted within available funds based on the following provisions and upon request by the superintendent to the **Division of School Business**:

**a. Qualifying Programs Schools**

- Regional Programs: Special allotments may be made for assignment to regional programs serving public school students with disabilities. These programs must serve students from more than one LEA.
- Hospitals, Special Programs, and Institutions: Special allotments may be made for assignment to hospitals which offer an educational component to students under their treatment.
- Small Schools: Special allotments may be made for assignment to small schools of less than 110 ADM, when consolidation is not feasible due to geographic isolation.

**b. Qualifying Conditions:**

- Consideration will be given to small, geographically isolated schools over other qualifying programs and schools.
- The LEA must show a need for funding not already included in the regular ADM teacher allotment or children with disabilities programs.
- The special small school allotment must not take the place of local efforts or result in a duplication of positions or funds allotted under the regular ADM teacher allotment or children with disabilities programs.
- All regular positions earned on ADM at those sites must be used to directly serve the program for which the allotment is requested.
- Proper assignment of regular ADM and children with disabilities funds must be documented before a special small school allotment can be approved.

**c. Continuation of Allotments:** Special allotments are made on a year-by-year basis; however, special allotments will be continued for institutions and hospitals unless the following occurs:

- The program has been discontinued.
- A reduction in the number of students has occurred in the program which makes the allotment unnecessary.
- State funding is not appropriated for this purpose.

**d. Allotment Appeals Process:** The approval/denial of a request for a special small school allotment will be made initially by the School Allotments Section, Division of School Business. LEAs wishing to appeal a denial of their request may do so in writing to the Office of the Deputy Superintendent of Public Instruction. A final appeal for consideration may be made to the State Board of Education.

- e. **Employment of Allotted Personnel:** Special small school allotments go to the requesting LEA, not to the institution or hospital where the teacher is assigned. Therefore, teachers allotted through a special small school allotment are employees of and should be supervised by the receiving LEA.
- f. **Assigned Duties of Allotted Personnel:** Teachers employed through the special small school allotment may not be assigned duties unrelated to the purpose for which their position was allotted or duties which enhance the profitability of the institution or hospital to which they are assigned.

### **NCVPS - North Carolina Virtual Public Schools**

STATUTORY REFERENCE

SB 897 Session Law 2011-145 Section 7.22

Beginning with the 2011-12 school year, NCVPS will be funded by an allotment formula that recognizes the affects of e-learning courses projected enrollments with other ADM based allotments. The funds transferred through the allotment formula will fund the NCVPS program at no cost to students enrolled in North Carolina public schools, Department of Defense schools, and schools operated by Bureau of Indian Affairs.

NCVPS Allotment Formula:

1. Project the unduplicated NCVPS student enrollment for each LEA by semester and year-long course type for each LEA and charter school.
2. Establish a per course teacher payment structure for the instructional costs of NCVPS. Based on:
  - a. Total compensation analysis taking into account salaries, benefits and work effort to ensure comparisons between occupations.
  - b. The effects any change in NCVPS teacher payments may have on the attraction and retention of NCVPS teachers.
3. Develop a per student fee structure for in-State students that is based on the per course teacher pay structure. The fee structure for in-State students shall ensure that the projected cost for the LEA units and charter schools equal the projected instructional NCVPS courses.
4. Multiply the per student course fee by the projected enrollment by course type to calculate the total projected instructional cost.
5. The dollar amount transferred is 75% of the projected instructional cost.
6. No later than February 21 of each year calculate the actual instructional cost based on actual enrollment at that date.
7. Subtract the actual amount transferred from the actual instructional cost. The remaining dollar amount up to a maximum of 100% of the projected cost is transferred.

8. Reduce each LEA's allotment on the basis of ADM grades 6-12 to provide the sum of \$2,866,923 for State-level operations and administration of NCVPS for the FY 2011-2012 fiscal year. This amount will be adjusted annually.
9. For FY2011-2012 reduce each LEA's allotment on the basis of ADM grades 6-12 to provide \$2,000,000 to create and NCVPS enrollment reserve. The reserve is used to cover the NCVPS instructional costs of local school administrative units or charter schools with enrollments exceeding projected NCVPS enrollment.
10. Beginning FY 2012-2013 and annually afterward this reduces each LEA or charter schools allotment on the basis of ADM in grades 6-12 an amount that is the difference between \$2,000,000 and the balance in the NCVPS enrollment reserves.

# 2011-12 ALLOTMENT POLICY MANUAL STATE FORMULAS



**PUBLIC SCHOOLS OF NORTH CAROLINA  
STATE BOARD OF EDUCATION  
DEPARTMENT OF PUBLIC INSTRUCTION  
FINANCIAL AND BUSINESS SERVICES  
DIVISION OF SCHOOL BUSINESS**



[www.ncpublicschools.org/fbs/allotments/](http://www.ncpublicschools.org/fbs/allotments/)

**ADDRESS QUESTIONS RELATED TO STATE POLICIES TO THE FOLLOWING:**

Academic and Intellectually Gifted:	Innovation and School Transformation (919) 807-3987
Accountability Services:	Division of Accountability and Technology Services (919) 807-3769
Allotments:	Division of School Business School Allotments Section (919) 807-3739
Arts Education and Healthful Living:	Division of Elementary Education (919) 807-3864
Behavioral Support:	Division of Exceptional Children (919) 807-3298
Certification - Finance Officer:	Financial and Business Services Administration (919) 807-3603
Charter Schools:	Office of Charter Schools (919) 807-3491
Child & Family Support Team	NC of DHHS School based Child & Family Support Team (919) 707-5516
Child Nutrition Section:	Division of School Support (919) 807-3506
Children with Disabilities (CWD):	Division of Exceptional Children (919) 807-3969
Child Count (CWD):	Exceptional Children Delivery Team (919) 807-HELP or (919) 807-4359
Communication:	Division of Communication and Information (919) 807-3450
Driver's Training:	Division of Middle and Secondary Education K-12 Program Areas (919) 807-3857
Education Technology:	Division of Instructional Technology Educational Technology Implementation and Planning Services (919) 807-3269

Expenditures/ Salary:	Division of School Business School Reporting Section Expenditures: (919) 807-3725 Salary: (919) 807-3708
Faculty Exchange Teachers:	Division of Middle and Secondary Education K-12 Program Areas (919) 807-3865
Focused Education Reform:	Technology Services (919) 807-3244
Licensure Section:	Division of Licensure/Quality Professionals (919) 807-3310
Middle and Secondary:	Division of Middle and Secondary Education (919) 807-3817
Organization of Schools:	Division of School Business School Reporting Section (919) 807-3715
Plant Operation:	Division of School Support Services (919) 807-3541
Recruitment:	NC Center for Recruitment, Retention, Recognition & Professional Advancement (919) 807-3375
School Connectivity:	DPI Connectivity Team Lead State Education E-Rate Coordinator (o) 919-807-3422 (c) 919-812-5666
School Insurance:	Division of School Support (919) 807-3521
Textbook:	Division of School Support Services (919) 715-5375
Transportation:	Division of School Support Services (919) 807-3570

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**ACADEMICALLY OR INTELLECTUALLY GIFTED**

PROGRAM REPORT CODE: 034  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-034-XXX  
 STATUTORY REFERENCE: Article 9B. §§ 115C-150.5 to 115C-150.8

TYPE: Dollars

TERM: July 1 - June 30

PURPOSE: Funds allocated for academically or intellectually gifted students may be used only (i) for academically or intellectually gifted students, (ii) to implement the plan developed **under G.S. 115C-150.7**; (iii) for children with special needs; or (iv) in accordance with an accepted school improvement plan, for any purpose so long as that school demonstrates it is providing appropriate services to academically or intellectually gifted students assigned to that school in accordance with the local plan developed under **G.S. 115C-150.7**.

ELIGIBILITY: Each LEA is entitled to funding.

FORMULA: Each LEA receives dollars per child for four percent (4%) of their allotted ADM regardless of the number of children identified as academically or intellectually gifted in the LEA.

**SPECIAL PROVISION:**

Funds may be transferred to other categories by submitting ABC transfer forms if all academically or intellectually gifted students are appropriately served.

Funds may be transferred into this category. (Temporary State Board Policy for FY 2012-2013, only)

**ASSISTANT PRINCIPAL INTERNS – PRINCIPAL FELLOWS**

PROGRAM REPORT CODE: 066  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-066-XXX  
 STATUTORY REFERENCE: SB 202, Section 26.16 (g)

TYPE: Dollars

TERM: Varies July 1 - June 30

PURPOSE: Provides funding for stipends to full-time students working on a master's degree in school administration programs who are serving in an approved intern program.

ELIGIBILITY: Full-time Master of Student Administration (MSA) students serving in a ten month or less internship in the Principal Fellows Program.

FORMULAS: Stipends are allotted for the duration of the internship. The stipend for a Principal Fellow will be equivalent to 40% of the beginning salary of a certified assistant principal, plus social security as specified in the North Carolina Public School Personnel [State Salary Schedule](#). Please note that these individuals are not recognized as full-time employees of the school system and **are not eligible for hospitalization or retirement contributions.**

**ASSISTANT PRINCIPAL INTERNS – MSA Students**

PROGRAM REPORT CODE: 067  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-067-XXX  
 STATUTORY REFERENCE: SB 202, Section 26.16 (g)

TYPE: Dollars

TERM: Varies July 1 - June 30

PURPOSE: Provides funding for stipends to full-time students working on a master's degree in school administration programs who are serving in an approved intern program.

ELIGIBILITY: Full-time Master of Student Administration (MSA) students serving in a ten month or less internship in an Institutes of Higher Education offering the program.

FORMULAS: Stipends are allotted for the duration of the internship. The stipend for an MSA Student will be equivalent to the beginning salary of a certified assistant Principal, plus social security as specified in the North Carolina Public School Personnel [State Salary Schedule](#). Please note that these individuals are not recognized as full-time employees of the school system and **are not eligible for hospitalization or retirement contributions.**

**AT-RISK STUDENT SERVICES/ALTERNATIVE SCHOOLS**

PROGRAM REPORT CODE: 069  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-069-XXX  
 STATUTORY REFERENCE:

TYPE: Dollars

TERM: July 1 - August 31 of subsequent fiscal year

PURPOSE: Provides funding to identify students likely to drop out and to provide special alternative instructional programs for these at-risk students. Also provides funding for summer school instruction and transportation, remediation, alcohol and drug prevention, early intervention, safe schools, and preschool screening. These funds may not be used to supplant dropout prevention programs funded from other state or federal sources.

It is the intent of the General Assembly that each LEA has a School Safety Officer at each high school.

ELIGIBILITY: Each LEA is entitled to funding. To remain eligible for funds appropriated for the At-Risk/Alternative Schools allotment, local school administrative units must submit a report to the State Board of Education by October 31, of each year, detailing the expenditure of the funds and the impact of these funds on student achievement. (SB 897, Section 7.22(d))

FORMULAS: Each LEA is allotted dollars for a School Safety Officer (SSO) based on the number of high schools in the LEA that receive a principal allotment. For the purpose of this allotment, a high school is defined as any school which contains Grades 9 and 10 or grade 12. Funds are then allotted for students in treatment programs in accordance with SB 1356. Fifty-percent of the remaining funds are distributed based on the number of poverty children per the Title I Low Income poverty data. The remaining funds are distributed based on allotted ADM. Each LEA receives a minimum of the dollar equivalent of two teachers and two instructional support personnel (including benefits). No funds will be allotted on a competitive grant basis.

**SPECIAL PROVISIONS:**

1. Effective July 1, 2000, each LEA shall establish at least one alternative learning program/school.
2. **Senate Bill 765, Modified Section 1, General Statute 115C-12(24)** requires that LEAs shall use:
  - a. the teachers allocated for students assigned to alternative learning programs pursuant to the regular teacher allotment and
  - b. the teachers allocated for students assigned to alternative learning programs only to

- serve the needs of these students.
3. The priority uses of the funds appropriated in this category shall be to:
    - a. provide instructional positions or instructional support positions and/or professional development;
    - b. provide intensive in-school and/or after-school remediation; and
    - c. purchase diagnostic software and progress monitoring tools. (SB 622, Section 7.61(a))
  4. Funds can be transferred without restrictions for FY 2011-12 and FY 2012-2013 only.
  5. Unexpended funds as of June 30 will be reallocated under PRC 069 and will remain available for expenditure through August 31st. Balances from carryover funds remaining after August 31st will be reduced from the allotment. Reallocation of funds is contingent upon State Budget Office approval.
  6. SECTION 4 (a) Article 25A of Chapter 115C of the General Statutes is amended by adding the following new section to read: General Statute 115C375.5 Education for pregnant and parenting students:
    - a) Pregnant and parenting students shall receive the same educational instruction or its equivalent as other students. A local school administrative unit may provide programs to meet the special scheduling and curriculum needs of pregnant and parenting students. However, student participation in these programs shall be voluntary, and the instruction and curriculum must be comparable to that provided other students.
    - b) Local boards of education shall adopt a policy to ensure that pregnant and parenting students are not discriminated against or excluded from school or any program, class, or extracurricular activity because they are pregnant or parenting students and to provide assistance and support to encourage pregnant and parenting students to remain enrolled in school and graduate. The policy shall include, at a minimum, all of the following: (1) Local school administrative units shall use, as needed, supplemental funds from the At-Risk Student Services allotment to support programs for pregnant and parenting students.

**BEHAVIORAL SUPPORT**

PROGRAM REPORT CODE: 029  
UNIFORM CHART OF ACCOUNTS CODE: XXX0-029-XXX  
STATUTORY REFERENCE:

TYPE: Dollars

TERM: July 1 - June 30

PURPOSE: Provides funding for **Assaulting and Violent Children** programs which provide appropriate educational programs to students under the age of 18 who suffer from emotional, mental, or neurological disabilities accompanied by violent or assaulting behavior.

ELIGIBILITY: Each LEA and charter school is entitled to funding based on need.

FORMULA: Allotments are based on need. LEAs are to submit requests to the Behavioral Support & Special Program Section in the Exceptional Children Division by May 30. The requests should be for those class members who are projected to remain enrolled the following year and who require special services. Additional requests for funds may be submitted to the Behavior Support & Special Program Section throughout the year. These additional requests will be evaluated monthly.

**SPECIAL PROVISIONS:**

1. A Department of Public Instruction consultant will review utilization of the initial allotment with appropriate LEA staff and request revisions as needed.
2. Allotted funds that are not used as designated shall revert to the State and be re-allotted for other eligible students.
3. Funds cannot be transferred in or out of this category

**CENTRAL OFFICE ADMINISTRATION**

PROGRAM REPORT CODE: 002  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-002-XXX  
 STATUTORY REFERENCE: SB 1115, Budget Bill supplement #11

TYPE: Dollar

TERM: July 1 - June 30

**PURPOSE:**

Provides funding for salary and benefits for central office administration. This category is used to pay for personnel including:

- Superintendent
- Directors/Supervisors/Coordinators
- Associate and Assistant Superintendents
- Finance Officers
- Child Nutrition Supervisors/Managers
- Community Schools Coordinators/Directors
- Athletic Trainers
- Health Education Coordinators
- Maintenance Supervisors
- Transportation Directors

**Funds cannot be expended for any of the above personnel outside of this allotment category.**

ELIGIBILITY: Each LEA is entitled to funding. The dollars allotted are based on the formula listed below.

**FORMULA:**

The formula for FY 2007-2008 is based on HB 1473, Joint Conference Committee Report on the Budget:

1. Freeze local school administration units (LEAs) allotments at the FY 2002-03 allotment level.
2. Adjust allotments by the net effect of other legislative changes (such as, legislative increases or benefit changes) and the reduction for charter school reserve. See chart below:

<b>Fiscal Year (Base)</b>	<b>Percent Increase/ (Decrease)</b>	<b>Allotment Year</b>
2002-03	(.76)	2003-04
2003-04	5.19	2004-05
2004-05	3.25	2005-06
2005-06	6.33	2006-07
2006-07	5.28	2007-08
2007-08	3.10	2008-09
2008-09	(11.34)	2009-10
2009-10	.04	2010-11
2010-11	(14.41)	2011-12

The new formula for FY 2002-2003 developed by the State Board in accordance with SB 1005 was not implemented per SB 1115.

***HISTORICAL REF: The following is the Formula that was in effect from Fiscal Year 1996 through Fiscal Year 2001.***

**Base Allotment** Each LEA receives a base allotment which is graduated based on allotted ADM. To determine the base, select the ADM range from the charts below and add the base and the supplemental base together.

**Cities**

<b>ADM Ranges</b>	<b>Base</b>	<b>ADM Over Range</b>	<b>\$ Per ADM Over Range</b>
0 - 4,999	\$360,000	0	12.00
5,000 - 9,999	420,000	4,999	36.01
10,000 - 14,999	600,000	9,999	18.00
Each addt'l 5,000	add 90,000	add 5,000	18.00

**Counties**

<b>ADM Ranges</b>	<b>Base</b>	<b>ADM Over Range</b>	<b>\$ Per ADM Over Range</b>
0 - 4,999	\$420,000	0	12.00
5,000 - 9,999	480,000	4,999	36.01
10,000 - 14,999	660,000	9,999	18.00
Each addt'l 5,000	add 90,000	add 5,000	18.00

**Add-On Per ADM**

After the base is allotted, the remaining funds are allocated to LEAs with ADM greater than 1,500 based on dollars per ADM.

**SPECIAL PROVISIONS:**

1. For FY 2000-01, all LEAs are held harmless to their FY 1999-00 allotment, unless they are a LEA which is losing its hold harmless provision due to merger. The formula will be

- phased-in as merged LEAs are no longer required to be held harmless and as funds are added to the budget.
2. Funds cannot be transferred into this category. Funds can be transferred out of this category by submitting an [ABC Transfer Form](#).
  3. Only expenditures for administrative personnel and matching benefits are allowed.
  4. Refer to the General Information Section – Merged LEAs.
  5. Federal law requires a match for Child Nutrition Supervisors. A State expenditure in excess of \$7 million is necessary for North Carolina to be eligible for Section 4 federal funds. Beginning with the FY 2010-11, each LEA shall expend at least \$45,000 from their Central Office Administration (PRC 002) allocation or any other State funded PRC which allows coding to purpose code 7200 for child nutrition expenses. The following purpose object combinations are in PRC 002 for meeting this mandate:
    - Child Nutrition Director and/or Supervisor 7200 - 113,
    - Manager 7200 - 176, and
    - The matching benefit codes 7200 - 211, 7200 - 221, 7200 - 231, or
    - Utilize the Inter-fund Transfers to Child Nutrition code 8400 – 715; funds transferred for this purpose may be used to pay the cost of reduced-price breakfast meals (\$.30 per meal) for eligible students should be the state allocation for reduced-price breakfast meals be insufficient to do so.
  6. In accordance with G.S. 115C-271, a local board may terminate a Superintendent's contract before the contract term of employment has expired if all of the following conditions are met:
    - a) No state funds are used for this purpose.
    - b) Local funds appropriated for teachers, textbooks, or classroom materials, supplies and equipment are not transferred or used for this purpose.
    - c) The local board makes public the funds that are to be transferred or used for this purpose.
    - d) The local Board notifies the State Board of the funds that are to be transferred or used for this purpose.
    - e) No funds acquired through donation or fund-raising are used for this purpose, except funds raised specifically for this purpose or for funds donated by private for-profit corporations.
  7. The budget reduction per LEA is applied for FY 2009-2010 is based on SB 897 Conference Report on the Continuation, Capital, and Expansion Budget which reduces the dollar allotment to LEAs for central office staff salaries and benefits.

**CHARTER SCHOOLS**

PROGRAM REPORT CODE: 036  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-036-XXX  
 STATUTORY REFERENCE: G.S. 115C-238.29; SB 1005: Section 28.20;  
 28.26; 28.27; SB 202, Section 7.38(a);  
 SL2011-164 (SB 8)

TYPE: Dollars

TERM: July 1 - June 30

PURPOSE: Provides state funding to charter schools based on the students attending the school.

ELIGIBILITY: All charter schools approved by the State Board of Education.

**FORMULAS:**

Funding for an existing charter school is based on the dollars per ADM of the LEA in which the school is located. Funding for new charter schools is based on the dollars per ADM of the LEA in which the student is, or would be, currently enrolled. The total number of public school students attending a new charter school will reduce a LEA's initial allotment.

A LEA's initial allotment is also reduced for existing charter schools growing over their planning ADM. LEAs are held harmless and will not have their allotment reduced for the following categories: Central Office Administration, School Building Administration and Staff Development.

A charter school will have 34% of its Initial Allotment available to "draw down" after the General Assembly adjourns. After the 1st month ADM is submitted, allotments will be recalculated and an additional 34% of the allotment will be made available to the charter school. If the charter school's first month ADM is lower than the initially funded ADM, the charter school's state allotments will be decreased. If charter student population increases after the 1st month, an analysis will be performed to determine if the additional students will be reduced from the LEA or funded from the State Contingency Reserve (**up to the charter school's maximum ADM**). The final 32% of the allotment will be distributed prior to the end of March.

After 1st month ADM adjustments, a charter school can request additional funding if it has significant growth (10% or more) in months two through four. Requested ADM must be verified and be within the charter school's maximum. The state contingency reserve will be used to fund the prorated increases within funds available. Please refer to the [Charter School Financial Guide](#) for instructions for this process.

In addition to the state funding based on dollars per ADM, a charter school may receive Children with Disabilities and Limited English Proficiency funding **if they qualify**. These special funds plus the dollars per ADM funding are distributed under Program Report Code 036.

## SPECIAL PROVISIONS:

1. During the first 60 school days, if a child with disabilities was included in the prior fiscal year April 1 child count and leaves or returns to a LEA from a charter school, the prorated share of funds allocated for that child will be adjusted. In order to initiate the transfer of funds, the LEA or charter school receiving the students must complete the appropriate transfer request forms by the 10th of the month following the month the child transferred. Children with Disabilities' transfer requests are sent to the School Allotments Section. Please refer to [www.ncpublicschools.org/fbs/allotments/forms/](http://www.ncpublicschools.org/fbs/allotments/forms/) for the Children with Disabilities transfer form. Notification must include the student's name, CECAS Unique ID, birth date, the LEA or charter school from which the child came, the date the student transferred, and the beginning date of the current school year.
2. A charter school operating in its third year or longer that has not received any financial warnings during the two previous years will receive the balance of its total allotment after the first month.
3. Funding for 1st Month ADM increases will be reduced from the LEA in which the charter school resides if the LEA is declining in ADM after second month. If the LEA is increasing in ADM after the second month, the funding will come from the Contingency Reserve.
4. Charter schools will receive an allocation of fines and forfeitures based on dollars per allotted ADM in PRC 036. Unspent funds will revert June 30.

**CHILD AND FAMILY SUPPORT TEAMS (CFST) – NURSES**

PROGRAM REPORT CODE: 042  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-042-XXX  
 STATUTORY REFERENCE: Session Law 2009-451, Section 10.24  
 School-Based Child and Family Team  
 Initiative Established.

TYPE: Positions

TERM: 10 months: July 1 – June 30

**PURPOSE:**

Provide funding for salary and fringe benefits for nationally certified school nurses to establish the School Based Child and Family Support Team Initiative at designated schools. The purpose of the Initiative is to identify and coordinate appropriate community services and supports for children at risk of school failure or out-of-home placement in order to address the physical, social, legal, emotional, and developmental factors that affect academic performance.

It is the intent of the General Assembly that the positions must be solely used for nationally certified school nurses to work full time as Child and Family Support Team Leaders, to carry out the legislatively mandated objectives of the Child and Family Support Team Initiative. The nurses shall not be used as administrators, coordinators, supervisors, directors, teachers, counselors, social workers, proctors, or for non-instructional support personnel (clerical assistants, custodians, staff available to provide duty free periods for teachers, or as substitutes).

ELIGIBILITY: Based on selection to participate in the CFST Initiative.

FORMULA: The positions are multiplied by the statewide average salary plus benefits.

**SPECIAL PROVISIONS:**

1. If the LEA is unable to hire a certified nurse, a transfer can be requested to **temporarily** move the position from PRC 042 to PRC 043 to hire a non-certified nurse. **A non-certified nurse may only be hired until he/she obtains national certification or another nationally certified nurse is hired.** The conversion rate is \$62,739. A written request for the transfer must be submitted to the School Allotments Section stating the number of positions to be transferred.
2. The LEAs receiving Child and Family Support Team funding must implement an annual implementation plan jointly developed by the LEA and its Child and Family Support Team Local Advisory Committee. At a minimum the plans must include their agreement that:
  - a. Funds are only used to support the CFST Initiative and will not supplant any other funding.
  - b. CFST nurses will be assigned only to responsibilities consistent with the legislative intent of the CFST Initiative.
  - c. The LEA will work with the state-identified external evaluator and participate in all

- state and contracted evaluation activities.
- d. The LEA will follow all reporting requirements.
  - e. The LEA will facilitate and attend all Local Advisory Committee meetings as required.
  - f. All CFST lead nurses will attend all mandatory trainings as indicated by the state CFST Program Coordinator.
  - g. The LEA will participate in all other required activities as determined.
  - h. Local partners have been involved collaboratively in the planning process.
  - i. Non-supplant Requirement: A local education agency receiving funds shall use the funds to supplement, not supplant, local and state current expense funds. The State Board of Education shall not allocate funds under this section to a county found to have used these funds to supplant local and state current expense funds.

**CHILD AND FAMILY SUPPORT TEAMS (CFST) – Social Worker and Other**

PROGRAM REPORT CODE: 043  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-043-XXX  
 STATUTORY REFERENCE: Session Law 2009-451, Section 10.24  
 School-Based Child and Family Team  
 Initiative Established.

TYPE: Dollars

TERM: 10 months: July 1 – June 30

**PURPOSE:**

Provide funds to establish School-based child and family support teams that will support children at-risk of school failure by coordinating services among educational and human service agencies working with the children and their families. This funding must be used to support the salary of the school social worker associated with the program and funding for other expenses for the social worker and school nurse.

It is the intent of the General Assembly that these funds must be solely used for legislatively mandated objectives of the Child and Family Support Team Initiative.

ELIGIBILITY: Based on selection to participate in the CFST Initiative.

FORMULA: Dollars per site

**SPECIAL PROVISIONS:**

1. If the LEA is unable to hire a certified nurse, a transfer can be requested to **temporarily** move the position from PRC 042 to PRC 043 to hire a non-certified nurse. **A non-certified nurse may only be hired until he/she obtains national certification or another nationally certified nurse is hired.** The conversion rate is \$62,739. A written request for the transfer must be submitted to the School Allotments Section stating the number of positions to be transferred.
2. The LEAs receiving Child and Family Support Team funding must implement an annual implementation plan jointly developed by the LEA and its Child and Family Support Team Local Advisory Committee. At a minimum the plans must include their agreement that:
  - a. Funds are only used to support the CFST Initiative and will not supplant any other funding.
  - b. CFST social workers will be assigned only to responsibilities consistent with the legislative intent of the CFST Initiative.
  - c. The LEA will work with the state-identified external evaluator and participate in all state and contracted evaluation activities.
  - d. The LEA will follow all reporting requirements.
  - e. The LEA will facilitate and attend all Local Advisory Committee meetings as required.

- f. All CFST social workers will attend all mandatory trainings as indicated by the state CFST Program Coordinator.
- g. The LEA will participate in all other required activities as determined.
- h. Local partners have been involved collaboratively in the planning process.
- i. Non-supplant Requirement: A local education agency receiving funds shall use the funds to supplement, not supplant, local and state current expense funds. The State Board of Education shall not allocate funds under this section to a county found to have used these funds to supplant local and state current expense funds.

**CHILDREN WITH DISABILITIES**

PROGRAM REPORT CODE: 032  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-032-XXX  
 STATUTORY REFERENCE: HB 200, Section 7.2

TYPE: Dollars

TERM: July 1 - June 30

**PURPOSE:**

Provide funding for the special educational needs and related services of Children with Disabilities. These funds are to be used for:

- Children with Disabilities
- Preschool Handicapped State Funding
- Group Homes, Foster Homes, or Similar Facilities

Funds for Community Residential Centers and Developmental Day Centers are allotted in PRC 063, Children with Disabilities Special Funds.

**ELIGIBILITY:**

All LEAs and Charter Schools are entitled to funding based on the formulas listed below.

**FORMULAS:**

Children with Disabilities and Preschool Disabled State funded allotments are calculated separately and then combined into one allotment. Matching benefits are included. The individual formulas are listed below.

**CHILDREN WITH DISABILITIES:**

Child count is based on Children with Disabilities child count, ages 5 through 21.

Multiply the lesser of the April 1 child count or an overall 12.5% cap of the Allotted ADM by the dollar per child count funding factor.

**PRESCHOOL HANDICAPPED:**

Each LEA receives a base amount equal to the average salary of a classroom teacher plus benefits. All remaining are distributed based on the April 1 child count of children ages 3, 4, and pre-K5.

**GROUP HOMES, FOSTER HOMES, OR SIMILAR FACILITIES:**

The Exceptional Children Division reimburses Local Boards of Education the per child allocations for average daily membership (ADM), state aid (April 1) and IDEA 611 (December 1) of children with disabilities assigned to group homes, foster homes, or similar facilities.

Applications may be found at [www.ncpublicschools.org/ec/funding/](http://www.ncpublicschools.org/ec/funding/).

## SPECIAL PROVISIONS:

1. Funds can be transferred up to the level of funding increase per year only if the dollars per eligible student is maintained at the FY 2009-10 level as adjusted for legislated adjustments (federal recovery money can be used as part of the dollar per eligible student calculation). Waiver of federal maintenance of effort will be requested. If waiver is approved additional flexibility will be granted. Funds can be transferred by submitting an [ABC Transfer Form](#).
2. Funds can be used to transport preschool handicapped students only. Transportation for school age children must be paid from transportation funds (PRC 056).
3. Refer to **Policies Governing Services for Children with Disabilities** produced by the Exceptional Children Division and to the [School Attendance and Student Accounting Manual](#) produced by the Division of School Business.
4. LEAs are encouraged to use teacher positions generated by that portion of their total ADM that is made up of children with disabilities to fund children with disabilities teachers.
5. Funds for Children with Disabilities will be reduced based on on-site audits and the determination that students are found to be in non-compliance with State/Federal regulations.
6. If two or more LEAs are consolidated into one LEA, the Preschool Handicapped allotment shall not be less than the same allotment to the separate LEAs for the first and second full fiscal years of the consolidation and shall be used for the continuation of the positions and programs, except as specifically authorized by the State Board of Education.
7. During the first 60 school days, if a child with disabilities who was included in the prior fiscal year April 1 child count leaves or returns to a LEA from a charter school, the pro-rata share of funds allocated for that child will be adjusted. In order to initiate the transfer of funds, the LEA or charter school receiving the students must notify the School Allotments Section in writing by the 10th of the month following the month the child transferred. Notification must include the child's name, CECAS unique ID, birth date, the LEA or charter school from which the child came, the date the student transferred, and the beginning date of the current school year.
8. SECTION 4 (a) Article 25A of Chapter 115C of the General Statutes is amended by adding the following new section to read: General Statute 115C 375.5
  - a. Pregnant and parenting students shall receive the same educational instruction or its equivalent as other students. A local school administrative unit may provide programs to meet the special scheduling and curriculum needs of pregnant and parenting students. However, student participation in these programs shall be voluntary, and the instruction and curriculum must be comparable to that provided other students.
  - b. Local boards of education shall adopt a policy to ensure that pregnant and parenting students are not discriminated against or excluded from school or any program, class, or extracurricular activity because they are pregnant or parenting students and to provide assistance and support to encourage pregnant and parenting students to remain enrolled in school and graduate. The policy shall include, at a minimum, all of the following:
    - i. Local school administrative units shall, as needed, use supplemental funds from

the At-Risk Student Services allotment to support programs for pregnant and parenting students.

- ii. Notwithstanding Part 1 of Article 26 of this Chapter, pregnant and parenting students shall be given excused absences from school for pregnancy and related conditions for the length of time the student's physician finds medically necessary. This includes absences due to the illness or medical appointment during school hours of a child of whom the student is the custodial parent.
- iii. Homework and make-up work shall be made available to pregnant and parenting students to ensure that they have the opportunity to keep current with assignments and avoid losing course credit because of their absence from school and, to the extent necessary, a homebound teacher shall be assigned. (2006-69, s. 4(a); 2009-330, s. 3.)

**CHILDREN WITH DISABILITIES - SPECIAL FUNDS**

PROGRAM REPORT CODE: 063  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-063-XXX  
 STATUTORY REFERENCE:

TYPE: Dollars

TERM: July 1 - June 30

**PURPOSE:**

Provide funding for the special educational needs and related services of Children with Disabilities. These funds are to be used for:

- Community Residential Centers (3 through 21)
- Developmental Day Care (3 through 21)
- Special State Reserve (3 through 21)

**ELIGIBILITY:** All Local Education Agencies (LEAs) and charter schools may submit applications.

**FORMULAS:****DEVELOPMENTAL DAY CARE:**

Requests for funding are made on individual applications submitted by the LEA and approved by the Department of Public Instruction, **Exceptional Children Division** for children receiving their special education and related services in an approved developmental day center. Allotments for identified children are based on an established monthly rate for a maximum of ten months.

**COMMUNITY RESIDENTIAL CENTER:**

Requests for funding are made on individual applications submitted by the LEA and approved by the Department of Public Instruction, Exceptional Children's Division for children receiving their special education and related services in a community residential center. Allotments for identified children are based on an established monthly rate for a maximum of ten months.

**SPECIAL STATE RESERVE:**

A reserve has been established to be used when all available state, federal, and local resources have been exhausted. The funds are to be used for emergency situations, such as high cost children or severely disabled children. Requests for funds must be sent to the Exceptional Children Division. Allocation is based on approved requests submitted. All allocations will be made within funds available. All withdrawals must be reported within 60 days.

**SPECIAL PROVISIONS:**

1. Funds cannot be transferred into or out of this category.

2. Refer to **Procedures Governing Programs and Services for Children with Disabilities** produced by the Exceptional Children Division and to [School Attendance and Student Accounting Manual](#) produced by the Division of School Business.
3. Developmental Day Care and Community Residential funds should be expended according to the LEA's approved plan to serve children ages 3 through 21.

**CLASSROOM MATERIALS/INSTRUCTIONAL SUPPLIES/EQUIPMENT**

PROGRAM REPORT CODE: 061  
UNIFORM CHART OF ACCOUNTS CODE: XXXX-061-XXX  
STATUTORY REFERENCE:

TYPE: Dollars

TERM: July 1 - June 30

PURPOSE: Provides funds for Instructional Materials and Supplies, Instructional Equipment, and Testing Support.

ELIGIBILITY: Each LEA is entitled to funding.

FORMULA: Funds for Instructional Materials, Supplies, and Equipment are distributed based on allotted ADM.

Funds for each student to take the Preliminary Scholastic Aptitude Test (PSAT) are allotted based on ADM in grades 8 and 9.

**SPECIAL PROVISIONS:**

1. Funds may be transferred without restrictions for FY 2011-2012 and FY 2012-2013 only by submitting an [ABC Transfer Form](#).
2. In accordance with G.S. 115C-174.18, every student in grades 8 - 10 who has completed or is in the last month of Algebra I shall be given an opportunity to take a version of the PSAT one time at State expense. These expenses shall be paid from PRC 061.

**CLASSROOM TEACHERS**

PROGRAM REPORT CODE: 001  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-001-XXX  
 STATUTORY REFERENCE: G.S. 115C-301; HB1414 Sections 7.18, 7.21, 7.28; HB 397 Section 7.10; SB 202 Section 7.26; HB 200, Section 7.1B

TYPE: Positions

TERM: 10 months, July 1 - June 30

PURPOSE: Provides guaranteed funding for salaries for classroom teachers. To qualify as a classroom teacher and to be charged against this allotment, an individual must spend a major portion of the school day providing classroom instruction and shall not be assigned to administrative duties in either the central or school offices. If an LEA decides to employ a Math/Science/Computer teacher, this individual does not have to spend a portion of the school day providing classroom instruction.

ELIGIBILITY: Each LEA is entitled to positions. The number of positions allotted is based on the formulas listed below.

**FORMULAS:**

The following positions are calculated separately and then consolidated into PRC 001. The total positions are then multiplied by the LEA's average monthly salary (based on prior year 6th pay period plus legislated salary increases) plus benefits. The individual formulas are listed below.

After the first month of school, a LEA can request additional resources due to extraordinary student population growth. Allotments will be adjusted within available funds. (See General Information Section, Allotment Adjustment for ADM growth.)

For city LEAs with less than 3,000 ADM, fractions are rounded up to the nearest whole position.

**CLASSROOM TEACHERS:**

Teachers are allotted based on one per the following number of students (based on allotted ADM) and rounded to the nearest one-half position.

<b><u>Grades</u></b>	<b><u>Number of Students</u></b>
K	18
1-3	17
4-6	22
7-8	21
9	24.5
10-12	26.64

NOTE: Preschool student ADM is not used in the teacher allotment formula. For allotment purposes, TMH and self-contained children are included in their appropriate grades as determined by school administrators.

**CLASS SIZE REQUIREMENTS:**

<u>Grade</u>	<u>All Classes Within LEA Maximum Average</u>	<u>Individual Class Maximum</u>
K - 3	21	24
4 - 9	*	*
10 - 12	*	*

\* **Session Law 2011-145 Senate Bill 200, Section 7.21(b)\***; Notwithstanding G.S. 115C-301 or any other law, local school administrative units shall have the maximum flexibility to use allotted teacher positions to maximize student achievement in grades 4-12. Class size requirements in grades K-3 shall remain unchanged.

**MATH / SCIENCE / COMPUTER TEACHERS:**

Each county is entitled to one position. Positions will be divided between county and city LEAs based on previously approved plans. The percent split will remain in effect until the plan is revised and DPI is notified. Plans do not have to be submitted to DPI.

**SPECIAL PROVISIONS:**

1. Refer to the [School Attendance and Student Accounting Manual](#) produced by the Division of School Business.
2. Additional classroom teachers may be allotted within available funds based on the provisions outlined in Section 01 and upon request by the superintendent to the Division of School Business. (See General Information Section, Small Schools)
3. It is recommended that the teachers generated by self-contained/separate classrooms serving children with disabilities be used for children with disabilities. This includes self-contained/separate classes for autism, deaf-blind, behaviorally-emotionally disabled, developmental delayed, educable mentally disabled, hearing impaired, learning disabled, multi-handicapped, orthopedically impaired, other health impaired, speech-language impaired, severe profound, trainable mentally disabled, traumatic brain injured and visually impaired.
4. **Senate Bill 765, Modified Section 1, General Statutes 115C-12(24)** states that LEAs shall use (i) the teachers allocated for students assigned to alternative learning programs pursuant to the regular teacher allotment and (ii) the teachers allocated to students assigned to alternative learning programs only to serve the needs of these students.
5. The State regulations permit LEAs to identify a state allotted teaching position (no additional positions are provided and no Career Technical education funds can be used) and use that position to pay a Junior ROTC instructor, provided the local school does employ another teacher using local funds to replace the use of the State allotted position. For each Junior ROTC position paid from State funds, a locally paid position must be provided.
6. Teachers on Loan Additional positions may be allotted to LEAs which have teachers from their LEA selected to serve with the Department of Public Instruction. The teachers selected will work at designated LEAs throughout the State in support of major State Board of Education initiatives such as school assistance, teacher inductions, technology assessment/training, and student improvement. These additional teacher positions are

allotted to the LEAs from which the teachers are employed since the reassigned teachers will remain on the LEA's payroll. The allotment will be in PRC 096 Special Position Allotment or in PRC 095 Special Dollar Allotment. For additional information, please contact the **Division of School Improvement** or the [Recruitment Section](#).

7. Teacher of the Year - An additional position (12 months) is allotted to the LEA from which the Teacher of the Year is selected. This allotment will also be allotted in PRC 096, Special Position Allotment.
8. All newly certified teachers (local & state) who have never taught before, or taught less than 6 months, are eligible for up to three extra days of employment for orientation and classroom preparation. This includes lateral entry teachers that are newly certified and have never taught before. If the teacher does not have zero years on his or her license due to non-teaching experience being credited, then the Salary Administration Section must approve the new teacher orientation funding. The teacher is to be paid appropriately for the teacher's certification level and years of service. Eligible Teachers must be paid from pay code object 125 for the additional days of employment. The state will pay up to 3 days of training per eligible teacher based on the teacher's daily rate of pay. The School Allotments Section will allot funds beginning in March based on the number of eligible teachers paid, not to exceed 3 days per teacher. Once the number of participants and additional days of service are identified, your regular classroom teacher position allotment will be increased. The three additional new teacher orientation days must be completed by April 30th. Any new teachers hired after this date should go through orientation with the new teachers for the next fiscal year.
9. If a LEA plans to convert a position to contract for a International Faculty Exchange (IFE) member, that unit may request the conversion of positions to dollar equivalents. This applies only to IFE programs.
  - To request the conversion, the LEA must submit the form entitled "Program Expansion Teacher Positions Request for Conversion to a Dollar Allotment" to the Division of Pre-Kindergarten and Elementary Education. If approved, funds must be used in accordance with the plan submitted and approved.
  - Positions converted used to cover costs associated with bringing a International Faculty Exchange (IFE) to the LEA through the International Exchange Program will be converted at the classroom teacher statewide average salary, including benefits.
  - These positions must be used for teachers only.
10. For FY 2011-2012 and FY 2012-2013, funds transfers are allowed only to Teacher Assistants (PRC 027). The legislation requires LEAs to minimize the dismissal of classroom-based personnel and that class size requirements in grades K-3 shall remain unchanged. The legislation also states that LEAs shall use allotted positions to maximize student achievement in grades 4-12. Submit an [ABC Transfer Form](#) to transfer funds. Converting certified position allotments to dollars for the purpose of hiring the same type position is not allowable.
11. Teachers for geographically isolated K-12 schools: An additional teacher allotment will be made for small schools when consolidation is not feasible due to the geographic isolation of the school. This will be provided for such schools whose LEA ADM is less than 1.5 per square mile. Qualifying schools will receive one classroom teacher per grade. Teachers will

be allotted to the remainder of the LEA under the regular teacher allotment formula.

12. The position being funded by Burroughs Welcome Grant will be funded an extra 2 months from this category.

**DISADVANTAGED STUDENTS SUPPLEMENTAL FUNDING**

PROGRAM REPORT CODE: 024  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-024-XXX  
 STATUTORY REFERENCE: HB 1473, Section 7.8 (a)

TYPE: Dollars

TERM: July 1 through June 30

PURPOSE: To address the capacity needs of local school administrative units to meet the needs of disadvantage students.

ELIGIBILITY: All LEAs are eligible for funding that have an approved plan.

**FORMULAS:**

Distribute resources based on a prescribed delivery option reduction of class size.

Step 1: Use the average statewide (K-12) teacher-to-student classroom teacher allotment for the **Fundable Disadvantaged Population** which is 1:21.

Step 2: The targeted allotment ratios for the **Fundable Disadvantaged Population** are:

- If low wealth % (per low wealth supplemental funding formula) is > 90%, one teacher per 19.9 students
- If low wealth % is > = 80% but < = 90%, one teacher per 19.4 students.
- If low wealth % is < 80%, one teacher per 19.1 students.
- If an LEA received DSSF funds in FY 2005-06, one teacher per 16 students.  
 These 16 LEAs will not receive less funding than they received in FY 2006-07.

Step 3: Convert the teaching positions to dollars by using the state average teacher salary (including benefits).

**SPECIAL PROVISIONS:**

1. Funds received for Disadvantaged Student Supplemental funding shall be used, consistent with the policies and procedures adopted by the State Board of Education only to:
  - a. Provide instructional positions or instructional support positions and/or professional development;
  - b. Provide intensive in-school and/or after school remediation;
  - c. Purchase diagnostic software and progress-monitoring tools; and
  - d. Provide funds for teacher bonuses and supplements. The State Board of Education has established that a maximum of 35% of the funds may be used for this purpose.
2. Non-supplant Requirement:  
 A local education agency receiving funds shall use the funds to supplement, not supplant,

local current expense funds. The State Board of Education shall not allocate funds under this section to a county found to have used these funds to supplant local, per-student current expense funds. The SBE shall make a finding that a county has used these funds to supplant local current expense funds in the prior year, or the year for which the most recent data are available, if:

- a. The current expense appropriation per student of the county for the current year is less than ninety-five percent (95%) of the average of the local current expense appropriations per student for the three prior fiscal years; and
  - b. The county cannot show: (i) that it has remedied the deficiency in funding or (ii) that extraordinary circumstances caused the county to supplant local current expense funds with funds allocated under this section.
3. Pooling of Additional State Funding:  
LEAs receiving funding should use, at a minimum, increases in their categorical allotments (such as low wealth supplemental funding, small county supplemental funding, at-risk, and improving student accountability funding) to pool resources to supplement the Disadvantaged Student Supplemental Funding to address teacher retention and student performance improvements.
  4. If a local school administrative unit's wealth increases to a level that adversely affects the unit's DSSF allotment ratio, the DSSF allotment for that unit shall be maintained at the prior year level for one additional fiscal year. (HB 1473, Section 7.1(d))
  5. Funds may be transferred without restrictions for FY 2011-2012 and FY 2012-2013 only by submitting [ABC Transfer Form](#).

**DOLLARS FOR CERTIFIED PERSONNEL**

PROGRAM REPORT CODE: 010  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-010-XXX  
 STATUTORY REFERENCE:

TYPE: Dollars

TERM: July 1 through June 30

PURPOSE: Provides a separate account into which LEA's may transfer funds to pay for certified personnel. Converting certified position allotments to dollars for the purpose of hiring the same type position is not allowable.

ELIGIBILITY: Each LEA is entitled to transfer funds to this account.

FORMULAS: None.

SPECIAL PROVISION: Some transfers require approval in a School Improvement Plan.

**DOLLARS FOR K-12 TEACHERS**

PROGRAM REPORT CODE: 008  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-008-XXX  
 STATUTORY REFERENCE:

TYPE: Dollars

TERM: July 1 through June 30

PURPOSE: Provides a separate account into which LEAs may transfer funds from Teacher Assistants to hire teachers to serve K-12.

ELIGIBILITY: Each LEA is entitled to transfer funds to this account with approval in the school improvement plan.

FORMULAS: None.

SPECIAL PROVISION:

ABC transfers are allowed from Teacher Assistants for teachers in grades K-12 only. Positions must be vacant to transfer.

**DRIVER TRAINING**

PROGRAM REPORT CODE: 012  
 UNIFORM CHART OF ACCOUNTS CODE: 5XX0-012-XXX  
 STATUTORY REFERENCE: G.S. 115C-215; 216 and SB 1115  
 Section 7.31

TYPE: Dollars

TERM: July 1 - June 30

PURPOSE: Provides funding for the expenses necessary to install and maintain a course of training and instructing eligible persons in the operation of motor vehicles. Each LEA must serve all students enrolled in a public or private high school (including charter schools) or receiving instruction through a home school within the LEA boundaries who have not previously enrolled in the program.

ELIGIBILITY: Each LEA is entitled to funding based on ninth grade ADM including private and federal schools.

FORMULA: All available funds are distributed to LEAs based on dollars per total ninth grade allotted ADM; this includes private, federal and charter schools.

**SPECIAL PROVISIONS:**

1. No ABC transfers will be allowed to or from driver training.
2. Disposition of cars purchased with Driver Training funds:  
 All transactions are handled by the Department of Administration, Division of Auxiliary Services, and State Surplus Property.
  - a. Car Models Prior to 1992: Funds from the sale of all car models prior to 1992 (models through 1991) are refunded to the Department of Public Instruction.
  - b. Car Models beginning with 1992: Funds received from State Surplus Property for the sale of all car models after 1991 remain with the selling LEA. All proceeds should be used to support the LEA's driver education program.
3. No more than 10% of driver education funds may be used for computer expenditures.
4. Local Education Agencies (LEAs) can assess fees of up to forty-five (\$45) to program participants. (HB 200).
5. For the 2011-12 school year, no State funds shall be used for driver education programs that do not use the standard driver education curriculum created in accordance with Section 7.12 of S.L. 2010-31. (HB 200, Section 28.37(g))
6. The State Board of Education shall establish a pilot program to deliver driver education by electronic means. Funds appropriated for driver education shall be used to implement the pilot program. (HB 200, Section 28.37(h))
7. The State Board shall report on the implementation of the pilot program to the Joint

Legislated Education Oversight Committee and the Joint Legislative Program Evaluation Oversight Committee by June 15, 2012. (HB 200, Section 28.37 (h-i))

8. Released Driver Training funds may be allotted to a local education agency based on the following provisions and upon request of the LEA's superintendent.

### **General**

Some LEAs have excess funds in their Driver Training allotment due to contracting services. To prevent these funds from reverting June 30 when other LEAs have shortages in their allotments, the Department of Public Instruction requests that LEAs with excess funds release the funds early to be reallocated to LEAs requiring additional funds. LEA requests for additional funds will be granted based on the criteria in this policy as long as funds are available.

### **Qualifying Conditions**

The LEA is expected to plan and budget for driver training expenses within their Driver Training allotment. In accordance with SB 1115, Section 7.31 of the 2001 Session of the General Assembly, the State Board of Education shall consider the needs of small and low wealth LEAs when allocating these funds. Special consideration will also be given to Online Pilot LEAs. These funds will be available to LEAs who make a written request because their allotment is not sufficient to operate their Driver Training program, and this insufficiency is beyond their control. The LEA must explain why more cost effective methods of operating the program have not been explored. If other options have been explored, the LEA must state why the alternative method will not be appropriate for their Driver Training program.

### **Request for Funds**

1. The LEA must send a written request to the Department of Public Instruction, School Allotments Section, stating:
  - a. Why the additional funds are needed. (Provide details of the qualifying condition with supporting documentation.)
  - b. The amount needed. (Provide details of how this amount was determined.)
  - c. Why alternative methods of operating the program are not being used.
2. Request must be made by April 1st to allow time for processing and ensure expenditures are paid prior to June 30th.

### **Request Processing**

1. The State will review each request to ensure it is within the guidelines of this policy. LEAs that have not used any current funds to purchase cars will be given priority consideration.
2. The funds will be allotted if the State determines the reason for the request is within the guidelines of the qualifying conditions included in this policy, and the amount requested is within funds available. Additional funds allotted may not be used to purchase cars.
3. The allotment, if approved, will be made by April 15th.
4. If not approved, then a written letter of denial will be sent to the LEA indicating the reason for denial. Any reason for denial, other than insufficient funds, can be appealed to the Deputy Superintendent. A final appeal for consideration may be made to the State Board of Education.

**FACULTY EXCHANGE TEACHERS**

PROGRAM REPORT CODE: 020  
UNIFORM CHART OF ACCOUNTS CODE: XXXX-020-XXX  
STATUTORY REFERENCE:

TYPE: Dollars

TERM: July 1 through June 30

PURPOSE: Provides a separate account into which LEAs may transfer teacher positions to cover a contract for a visiting international faculty member.

ELIGIBILITY: Each LEA is entitled to request a conversion of positions to dollar equivalents.

FORMULAS: None.

**SPECIAL PROVISIONS:**

To request a conversion the LEA must submit the form entitled "**International Faculty Exchange Positions Request for Conversion to a Dollar Allotment**" to the Division of Pre-Kindergarten and Elementary Education. If approved, funds must be used in accordance with the plan submitted and approved.

Position conversion used to cover costs associated with bringing an international visiting faculty member to the LEA through the International Exchange Program will be converted at the statewide average salary (including benefits) for classroom teachers.

**FOCUSED EDUCATION REFORM PILOT PROGRAM (Ending June 30, 2012)**

PROGRAM REPORT CODE: 071  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-071-XXX  
 STATUTORY REFERENCE: HB 1473 Conference Committee Report on the Continuation, Capital and Expansion Budget.

TYPE: Dollars  
 TERM: July 1, 2008 - June 30, 2011

PURPOSE: Supports professional development stipends, recruitment signing bonuses, relocation stipends, and annual incentive awards for local education agency professionals who qualify for such funding through policies and criteria established by the Public School Forum of North Carolina. The program is referred to as The Collaborative Project and has three primary goals:

- A positive impact on student performance
- A positive impact on recruitment and retention
- Access by participating school systems to quality professional development resources

ELIGIBILITY: Five LEAs currently participate in the Collaborative Project. They are as follows: Caswell, Greene, Mitchell, Warren and Washington. Teachers and administrators receive stipends and/or incentive awards based on the validation of professional development participation and/or meeting specific criteria established and tracked by The Collaborative Project.

Specific eligibility exists with the following:

- Annual Incentive Awards:
  - Elementary and middle school teachers, instructional support staff are also eligible for ABC bonuses, assistant principals, and central office contacts.
- Deferred Compensation:
  - Principals and superintendents
- Professional Development Stipends:
  - Elementary and middle school teachers and high school teachers who are seeking National Board Certification.
- Recruitment Signing Bonuses:
  - Math and science teachers at the middle grades level, and in the event that all math and science positions are filled, teachers at the elementary level.
- Relocation Stipends:
  - Teachers relocating outside of a 50 mile radius of the school site to accept a position within the participating school system.

FORMULA: The actual allotment of funds made to each LEA is based on budget

projections shared by the executive director of the Public School Forum of NC. Allotments may be made in quarterly increments based on the tracking of expenditures, pre-registrations occurring at each LEA and expected distribution of annual incentive awards.

**SPECIAL PROVISION:**

A special provision which mandates that funds appropriated for Focused Education Reform Pilot Program that are unexpended and unencumbered at the end of each fiscal year shall not revert, but shall remain available for the expenditure for the duration of the pilot program.

**INSTRUCTIONAL SUPPORT PERSONNEL – CERTIFIED**

PROGRAM REPORT CODE: 007  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-007-1XX  
 STATUTORY REFERENCE:

TYPE: Positions

TERM: 10 months: July 1 – June 30

PURPOSE: Provides funding for salaries for certified instructional support personnel to implement locally designed initiatives that provide services to students who are at risk of school failure as well as the students' families. It is the intent of the General Assembly that the positions must be used first for counselors, then for social workers and other instructional support personnel that have a direct instructional relationship to students or teachers to help reduce violence in the public schools. They shall not be used as administrators, coordinators, supervisors, or directors.

ELIGIBILITY: Each LEA is entitled to positions. The number of positions allotted is based on the formula listed below.

**FORMULAS:**

These positions are allotted on the basis of one per 210.53 allotted ADM. For city LEAs with an ADM of less than 3,000, all fractions will be rounded up to the next whole position. The positions are then multiplied by the LEA's average salary plus benefits.

After the first month of school, a LEA can request additional resources due to extraordinary student population growth. Allotments will be adjusted within available funds.

All partial positions .25 and over are rounded up to the nearest whole position.

**SPECIAL PROVISIONS:**

1. Local boards of education may transfer any portion of a position allotment to dollar allotments only for contracted services, which are directly related to school nursing and school psychology. Transfers for this purpose should be requested in writing to the Division of School Business. Converting certified position allotments to dollars for the purpose of hiring the same type position is not allowable. The following limitations apply to the conversion:

- a. **School Nurse:**

- One nurse for every 3,000 ADM or at least one per county.

- b. **School Psychologist:**

- One for every 2,000 ADM or at least one per county.
    - Certification that the local board was unable to employ certified school psychologists.

- Contracted services are limited to initial evaluations, revaluations for exceptional children, assessments, consultations, and counseling.
- c. Both Nurse and Psychologist:**
- Prior approval from the Division of School Business.
  - Position (whole or half) will be converted at the statewide average salary level of an Instructional Support-Certified position, including benefits.
  - The dollar amount utilized for contracted services may not exceed the amount allocated to the local school system. The dollar allotment (salary, plus benefits) will be transferred to Instructional Support Personnel – Non-certified.
2. Each LEA is responsible for the identification of Instructional Support Personnel – Certified as teachers, librarians, school counselors, school psychologists, school nurses, and school social workers.
  3. Upon written notification to the Division of School Business, certified support personnel positions may be transferred to dollars for non-certified personnel. All transfer requests must be received within the fiscal year that payment to the individual is made. There will be no prior year adjustments approved. If this option is selected, the resulting positions and dollars will be transferred to a separate allotment category entitled "Instructional Support Personnel – Non-certified" which is a dollar allotment.
  4. The positions can be used for teachers to reduce class size in all grades without a State Board of Education (SBE) Waiver or a transfer of funds. Funds can be transferred for any purpose except where limited by the ABC Transfer Policy by submitting an [ABC Transfer Form](#).
  5. Any school which is eligible for a principal and contains grades K-12, with a total student population not sufficient to generate one instructional support position (ADM less than 150) will be allotted one instructional position, within funds available for Instructional Support. This does not include schools identified as alternative or special schools. The LEA must allocate additional positions provided under this provision to the school that generated the additional positions.

**INSTRUCTIONAL SUPPORT PERSONNEL – NON-CERTIFIED**

PROGRAM REPORT CODE: 004  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-004-XXX  
 STATUTORY REFERENCE:

TYPE: Dollars

TERM: Varied, July 1 - June 30

PURPOSE: Upon written request from the local board of education, positions may be transferred from the Instructional Support Personnel – Certified allotment category to dollars in the Instructional Support Personnel – Non-certified allotment category for support personnel assistants and contracted services for school nursing or school psychology.

ELIGIBILITY: Each LEA is entitled to transfer positions based on approval of a written request to the Division of School Business.

**FORMULA:**

Requests for transferring positions and dollars of the categories below must be submitted to the Division of School Business. All transfer requests must be received within the fiscal year that payment to the individual is made. **There will be no prior year adjustments approved.**

1. Dollars for two Instructional Support Personnel - Non-certified Assistants will be allotted in lieu of one Instructional Support Personnel – Certified position. (Dollars based on the average salary plus benefits of 2 teacher assistants.)
2. Position allotments may be converted to dollars from the Instructional Support Personnel - Certified allotment to be used to contract for services directly related to school nursing or school psychology. (Dollars based on converting one certified position plus benefits.) (See Instructional Support Personnel – Certified: Special Provision 3)

**LEA FINANCED PURCHASE OF SCHOOL BUSES**

PROGRAM REPORT CODE: 120  
UNIFORM CHART OF ACCOUNTS CODE: 6XXX-120-551  
STATUTORY REFERENCE: SB 202, Section 7.5(a)

TYPE: Dollars

TERM: July 1 - June 30

PURPOSE: Provides funding for the state school bus replacement program.

ELIGIBILITY: Each LEA is entitled to funding.

**FORMULA:**

1. Funds are allotted to replace vehicles based on age and mileage of the vehicles.
2. The initial allotment shall consist of one third of the total allotment. The remaining allotment shall be made in intervals of one third of the total allotment in subsequent years not to exceed three years.
3. DPI will issue checks in the amount of the allotted funds on the LEA's behalf for the installment payment.

**SPECIAL PROVISIONS:**

1. LEAs must purchase the buses only from vendors selected by the State Board of Education and on terms approved by the State Board of Education.
2. LEAs must use the funds only to make payments on a financing contract entered into pursuant to G.S. 115C-528 (see Formula #3 above). Funds cannot be transferred in or out of this PRC.

**LEARN AND EARN**

PROGRAM REPORT CODE: 055  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-055-XXX  
 STATUTORY REFERENCE: G.S. 115C-238.50, SB 202, Section 7.10

TYPE: Dollars

TERM: July 1 through June 30

PURPOSE: The purpose of the program is to create rigorous and relevant high school options that provide students with the opportunity and assistance to earn an associate degree or two year of college credit by the conclusion of the year after their senior year in high school.

These funds shall be used to establish new high schools in which a local school administrative unit, two and four-year colleges and universities, and local employers work together to ensure that high school and postsecondary college curricula operate seamlessly and meet the needs of participating employers.

ELIGIBILITY: Learn and Earn schools and planning sites that have been approved by the State Board of Education. (HB 320, Section 7.32(b))

FORMULAS: N/A

**SPECIAL PROVISIONS:**

1. During the first year of the Learn and Earn High School's operation, a principal shall be allotted regardless of the number of State-paid teachers assigned to the school or the number of students enrolled in the school. The budget flexibility authorized by G.S. 115C-105.25 does not apply to these positions.
2. The State Board of Education shall conduct an annual evaluation of this program. The evaluation shall include:
  - An accounting of how funds and personnel resources were utilized and their impact on student achievement, retention, and employability;
  - Recommended statutory and policy changes;
  - Recommendations improvement of the program.

The State Board of Education shall report the results of this evaluation to the Office of State Budget and Management, the Joint Legislative Education Oversight Committee, and the Fiscal Research Division, by January 15 of each year.

3. The LEA will utilize this funding to hire two positions:
  - Program Coordinator (School Administrator-level position).
  - Community Coordinator to provide counseling and to coordinate the workforce development activities of students (Student Services-level position).

Additionally, the higher education partner will receive funds from the grant to support a position to serve as a liaison for the program. The funding for this position is included in the allotment to the LEA. The LEA is responsible for negotiating with the higher education partner for the amount of funding necessary to support an instructor-level position.

Any remaining dollars will be available to the partnership to support start-up costs (\$10,000 first year only), professional development, related travel, materials, supplies, textbooks and other costs related to the student workforce experience.

4. This funding is not intended for capital expenses, faculty stipends or bonuses.
5. Funding is not to supplant any existing local, state or federal funds already used to operate the program.
6. Funds may be transferred with no restrictions for FY 2011-2012 and FY 2012-2013 only by submitting [ABC Transfer Form](#).

**LIMITED ENGLISH PROFICIENCY**

PROGRAM REPORT CODE: 054  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-054-XXX  
 STATUTORY REFERENCE: HB 1473, Section 7.9 (a)

TYPE: Dollars

TERM: July 1 through June 30

PURPOSE: To provide additional funding to LEAs/charter schools with students who have limited proficiency in English.

ELIGIBILITY: Eligible LEAs/charter schools must have at least 20 students with limited English proficiency (based on a 3-year weighted average headcount), or at least 2 1/2% of the ADM of the LEA/charter school. Funding is provided for up to 10.6% of ADM.

## FORMULA:

Calculate 3-Year Average Headcount

- Most current years available weighted twice (50%)
- Two previous years weighted once (25%)

Base Allocation

Each eligible LEA/charter school receives the minimum of 1 teacher assistant position.

1. 50% of the funds (after calculating the base) will be distributed based on the concentration of limited English proficient students within the LEA.
2. 50% of the funds (after calculating the base) will be distributed based on the weighted 3-year average headcount.

## SPECIAL PROVISIONS:

1. The funds shall be used to supplement local current expense funds and shall **not** supplant local current expense funds.
2. Funds allotted for Limited English Proficiency must be expended only for classroom teachers, teacher assistants, tutors, textbooks, classroom materials / instructional supplies / equipment, transportation costs, and staff development needed to serve limited English proficient students.
3. Funds may be transferred with no restrictions for FY 2011-2012 and FY 2012-2013 only by submitting [ABC Transfer Form](#).

**LOW WEALTH COUNTIES SUPPLEMENTAL FUNDING**

PROGRAM REPORT CODE: 031  
 UNIFORM CHART OF ACCOUNTS CODE: XXX0-031-XXX  
 STATUTORY REFERENCE: SB202, Section 7.3

TYPE: Dollars

TERM: July 1 - June 30

PURPOSE: To provide supplemental funds in counties that do not have the ability to generate revenue to support public schools (per a legislated formula) at the state average level. The funding is to allow those counties to enhance the instructional program and student achievement.

ELIGIBILITY: Eligible LEAs are those located in counties in which the calculated county wealth (per the legislated formula) is less than 100% of the state average wealth.

**FORMULA:**

All data used for this formula will be the most recent data as of May 15, of the preceding fiscal year of the allotment.

1. Compare a county's ability to generate revenue (using three criteria) with the average ability to generate revenue of the other 99 counties in the state.

**Purpose:**

The overall wealth of a county is considered critical in determining if a county can generate the necessary revenue to support the LEA(s) located within the county. The formula attempts to project the county's ability to generate revenue as compared to other counties in North Carolina.

**Criteria:**

- a. **Anticipated Total County Revenue** - This anticipated revenue is generated from the property value in the county plus the revenue a county actually receives from sources such as sales taxes and fines and forfeitures. The property value has been adjusted by a formula to take into consideration the year the property was last valued. This calculation is projected to be almost 95% of all the revenue available to a county for current expense.
- b. **Tax Base per Square Mile (Density)** - This part of the formula compares the value of a county's property per square mile with the state average property value per square mile. This calculation attempts to recognize the "swamp land" (low to no value property) across the state and to compare the quantity of this property with all other counties.
- c. **Per Capita Income** – A three-year average of a county's per capita income is compared to the same average for the total state. The per capita income is a basic

indicator of a county's residents' ability to pay taxes.

2. Determine if a county is eligible to receive funding.

Purpose:

The factors outlined in Step 1 are weighted to determine how a county compares to all other counties in the state.

Criteria:

- 40% Anticipated Total County Revenue as a percentage of the State Average Anticipated State Revenue (see Step 1 (a)).
- 10% Tax Base per Square Mile as a percentage of the State Average Tax Base per Square Mile (see Step 1 (b)).
- Combine the formula weights revenue/property as 50% of the calculation.
- 50% A County's Average per Capita Income as a percentage of the State Average per Capita Income (see Step 1 (c)).

When the three percentages are totaled, the sum indicates the county's wealth as a percentage of the state's average wealth. If the total is less than 100%, a county is eligible to receive funding.

3. Determine if a county is meeting a minimum effort to generate revenue or fund public schools as compared to a state average effort.

Purpose:

Minimum effort is established to determine if a county is committing a comparable effort compared to other counties within the State. To receive total funding, a county must either tax their local property at the State average or contribute more local dollars to public schools than the State average local contribution.

Criteria:

A county is fundable at 100% of the calculated funding if at least one of the following conditions is met:

- a. The county's actual tax rate is adjusted by a formula to take into consideration the year the property was last valued. The revised tax rate is classified as the Effective Tax Rate. If the county's Effective Tax Rate is higher than the state Average Effective Tax Rate, the county is fundable at 100% of the funding as calculated in the formula.

Counties that had effective tax rates in the prior fiscal year allotment that were above the State average effective tax rate but that had effective rates below the State average in the current fiscal year allotment shall receive reduced funding. This reduction shall be determined by subtracting the amount that the county would have received from the amount that the county would have received if qualified for full funding and multiplying the difference by ten percent. This method of calculating reduced funding shall apply one time only. This method shall not apply in cases in which the effective tax rate fell below the statewide average tax rate as a result of a reduction in the actual property tax rate. If the county documents that it has increased the per student appropriation to the school current expense fund in the

current fiscal year, the State Board of Education shall include this additional per pupil appropriation when calculating minimum effort.

- b. If the county's appropriation per student is higher than what the county could provide given the county's ability to generate wealth and an average effort to fund public schools, then a county is eligible for 100% funding. To determine what a county could provide, the State average contribution is multiplied by the county's wealth percentage (total percentage in Step 2 above).  
A county will be funded at a percentage of the calculated funding in the formula if the county is eligible for funding and does not meet the two criteria outlined above. A county's funding will be based on the percentage a county is contributing to public schools as compared to what the county could contribute based on the county's wealth and an average state effort.

#### 4. Calculation of what a County (LEA) will be funded.

##### Purpose:

Once a county is determined to be Low Wealth, the next step is to calculate the amount of supplemental funds the county will receive.

##### Criteria:

The difference between the county's Appropriation per student and State Average Local Appropriation (including supplemental taxes) per student is multiplied by the county's ADM for a total allotment per formula.

If the county does not meet the minimum effort as described in Step 3, their allotment is prorated based on the effort they are maintaining.

The total for all counties is prorated to the appropriated funds.

#### SPECIAL PROVISIONS:

##### 1. Funds allotted for a Low Wealth Supplement must be expended only for:

- Instructional positions
- Substitutes
- Instructional support positions
- Teacher assistant positions
- Clerical positions
- Overtime pay
- Instructional equipment
- Instructional supplies and materials
- Staff development
- Fringe benefits
- Textbooks
- Supplements for instructional personnel and instructional support personnel as defined in the UNIFORM CHART OF ACCOUNTS.

2. These funds are to supplement, not supplant, local funds. Funds will not be allocated to any LEA found to have used these funds to supplant local per student current expense funds. A county is considered to have used these funds to supplant if the current expense appropriation per student of the county for the current year is less than 95% of the average of the local current expense appropriations per student for the three prior fiscal years.
3. The State Board of Education will consider requests from LEAs to waive the non-supplant requirement as outlined in the legislated low wealth and small county supplemental funding formula only under the following conditions:

The county can show:

- that it has remedied the deficiency in funding, or that extraordinary circumstances caused the county to supplant local expense funds with funds allocated under this section.

Examples include:

- Closing/merging schools within an LEA.
  - Loss of federal Impact Aid funds.
  - Significant change in the demand for county resources due to state/federal unfunded mandates, natural disaster, or other emergency.
4. Funds may be transferred with no restrictions for FY 2011-2012 and FY 2012-2013 only by submitting [ABC Transfer Form](#)

**NONINSTRUCTIONAL SUPPORT PERSONNEL**

PROGRAM REPORT CODE: 003  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-003-XXX  
 STATUTORY REFERENCE:

TYPE: Dollars

TERM: Varied, July 1 - June 30

PURPOSE: Provides funding for non-instructional support personnel and associated benefits. These funds may be used at the central office or at individual schools. The funds may be used for:

- Clerical Assistants
- Custodians
- Duty Free Period
- Liability Insurance
- Substitutes
- Textbook Commission Clerical Assistant

ELIGIBILITY: Each LEA is entitled to funding. The dollars allotted are based on the formula listed below.

FORMULAS: Funds are allotted on the basis of dollars per allotted ADM. \$6,000 for clerical assistants is allotted to each LEA with a member in the textbook commission.

**SPECIAL PROVISIONS**

1. The LEA shall determine the assignment, the length of employment, and the pay level in accordance with the North Carolina Public School Personnel [State Salary Schedule](#). Substitutes must be paid in accordance with General Information Section of the Allotment Policy Manual.
2. There is no limit on the number of individuals that can be employed as long as all employees are placed on the North Carolina Public School Personnel State Salary Schedule and the LEA does not exceed the total dollars.
3. By the end of the third payroll period, the average salary paid at the LEA must be at least 98% of the State-allotted amount for clerical assistants and custodians.
4. For FY 2011-2012 and FY 2012-2013 transfers allowed in and out of this category.
5. Employment of Textbook Commission Clerical Assistants is at the discretion of the Textbook Commission member; however the employment of clerical assistants must follow the guidelines that govern the employment of other personnel within the LEA. Members are strongly advised **not** to hire members of their immediate family. The LEA that employs the clerical assistant codes their salary as an expense.

6. An increase in each LEA's allotment will be made on a monthly basis based upon the LEAs extended sick leave, personal leave, and other leave requiring deductions from the teacher's pay (\$50).
7. A reserve of \$250,000 has been established for LEAs who are in need of economic relief due to prolonged teacher absences resulting in an unpredictably large increase in substitute expenses. LEA requests for funds will be granted based on the criteria in this policy as long as funds are available.
  - a. The LEA must send a written request to the Public Schools of North Carolina, School Allotments Section, stating:
    - i. Why are additional funds needed? (Provide details of the qualifying condition with supporting documentation.)
    - ii. The amount needed. (Provide details of how this amount was determined.)
    - iii. Why other sources of funds are not available.
  - b. The LEA must have not transferred any funds out of non-instructional support during the fiscal year for which additional funds are requested.
  - c. Request must be made by April 1 to allow time for processing and ensure expenditures are paid prior to June 30.
8. If the Governor, State Board Chairman, or State Superintendent of Public Instruction schedules an event (meeting) to invite teachers, funds will be allotted to cover the cost of substitutes while the teachers are out of the classroom.
9. Small Restructured High Schools – Schools restructuring into smaller learning communities with agreements with the New Schools Project, will receive funding equivalent to one additional clerical position. (The Joint Conference Committee Report of the Continuation, Expansion, and Capital Budgets, SB 202 – Item # 29)

**SCHOOL BUILDING ADMINISTRATION**

PROGRAM REPORT CODE: 005  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-005-XXX  
 STATUTORY REFERENCE: G. S. 115C-284; HB 200, Section 7.14

TYPE: Months of Employment (MOE's)

TERM: 10, 11, or 12 months, July 1 - June 30

PURPOSE: Provides funding for salaries including benefits for principals and assistant principals.

ELIGIBILITY: Each LEA is entitled to months of employment. The months of employment allotted are based on the formulas listed below.

**FORMULAS:**

Principal and Assistant Principal allotments have been consolidated to allow more flexibility at the local level. The allotments are calculated separately and then combined into one allotment.

**PRINCIPALS:**

Each school with 100 or more pupils in final ADM and/or seven or more full-time equivalent state allotted/paid teachers and instructional support personnel, unrounded, (based on prior year 6th pay period) is entitled to twelve months of employment for a principal. In accordance with HB 200, Section 7.14 (a), A school that opens after July 1, 2011 with less than 100 students in final average daily membership is not entitled to 12 months of employment for a principal. Year-round schools, multi-track organizational patterns, and other sub-urbanization patterns developed within the existing framework of an established campus are not automatically entitled to additional months of employment. The total months are then multiplied by the LEA's average monthly salary (based on prior year 6th pay period salary adjusted for legislated increases and benefits).

If a school opens on or after November 1, a principal allotment will be prorated based on the date of the school opening, within available funds. New school openings will be verified based on current year 2nd month ADM and/or FTE data as of current-year 5th pay period. If the schools are not open, or if they do not qualify for a principal, their principal allotment will be reduced. If this ineligible school was allotted 4 additional principal months in the previous fiscal year for school planning, the 4 months will also be reduced from the current year's allotment.

ADM is based on the final ADM for the prior year as reported on the Principal Monthly Reports (PMR).

FTE information obtained from the 5th and 6th pay periods will be as reported. 202 adjustments will not be considered.

**ASSISTANT PRINCIPALS:**

One month of employment per 98.53 allotted ADM rounded to the nearest whole month. The total months are then multiplied by the LEA's average monthly salary (based on prior

year 6th pay period plus LI) plus benefits.

Fractions of months of employment are rounded up to the nearest month for small city LEAs with ADM less than 3,000 students.

#### SPECIAL PROVISIONS:

##### PRINCIPALS:

1. If a school does not meet the conditions specified above, then a teaching position must be used as principal of that school. Teachers employed as principals of schools with less than seven but more than two teachers should be paid for ten months on the appropriate pay level for principals within the "0-10" teacher classification as specified in the North Carolina Public School Personnel [State Salary Schedule](#).
2. In the event the closing or reorganization of schools in an LEA causes a reduction in the principal allotment, the allotment will be held harmless the first and second fiscal years following the reduction of the principal allotment, provided that the reduction in the principal allotment continues in the second year.
3. A teacher may be reassigned to fill in for a principal after the principal has been on sick leave for at least ten (10) days. The reassignment shall be allowed until the principal returns to work or exhausts sick leave.
4. Funds can be transferred for any purpose, except where prohibited elsewhere in the ABC transfer policy, by submitting an [ABC Transfer Form](#). The salary transferred will be based on the first step of the principal III salary schedule. Converting certified position allotments to dollars for the purpose of hiring the same type position is not allowable.
5. The State Board of Education must approve waivers for placement of Principals on the salary schedule for Low-Performing schools.
6. Upon the identification of a school as low-performing, the State Board shall proceed under [General Statutes](#) 115C-325(q) for the dismissal of the principal assigned to that school.
7. A LEA can submit in writing to the School Allotments Section a request for up to four additional principal months of employment for new schools (which will qualify for a principal allotment). This request needs to include the following information: school opening date, number of months requested, estimated ADM, estimated state allotted/paid teacher and instructional support FTEs, and the effective date that the principal begins the performance of administrative duties for the new school. This policy only applies to additional regular education schools opening within the district. It does not apply to alternative schools or schools that are replacing existing schools within the LEA. The allotment could be prorated based on the date that the request is received. The allotment of additional principal months will depend on the availability of funds.
8. Small Restructured High Schools – Schools restructuring into smaller learning communities with agreements with the New Schools Project, will receive a principal position per new site. This position is to be paid at least a Principal level III.
9. Approved STEM (Science, Technology, Engineering & Math) schools will receive a

principal per site.

**ASSISTANT PRINCIPALS:**

1. These positions must be used only for non-teaching activities.
2. LEAs may employ assistant principals for 10, 11, or 12 months. LEAs cannot exceed allotted months of employment.
3. Assistant principal months may be allotted within funds available to a LEA if the sub-organizational structure of a school within that LEA creates a distinct separate operating entity within an existing school such as an exceptional children wing of a school with integrated/shared programs. The allotments must be requested and appropriated on an annual basis. The LEA must show a need for funding not already included in the regular ADM assistant principal allotment or exceptional children programs. The identification of separate grade spans within a school does not qualify for additional funding under this provision.
4. Funds can be transferred for any purpose, except where prohibited elsewhere in the transfer policy, by submitting an approved [ABC Transfer Form](#). Funds related to Assistant Principal months of employment shall be transferred based on the first step of the Assistant Principal Salary Schedule. Funds may be transferred in or out. Converting certified position allotments to dollars for the purpose of hiring the same type position is not allowable.
5. Small Restructured High Schools – New schools within an existing school that are a part of the eight pilot site schools restructuring into smaller learning communities with agreements with the New Schools Project, will be reduced for Assistant Principal Months of Employment. (SB 622, Section 7.52)

**SCHOOL CONNECTIVITY**

PROGRAM REPORT CODE: 073  
 UNIFORM CHART OF ACCOUNTS CODE: XXX0-0073-XXX  
 STATUTORY REFERENCE: HB 2436, Section 7.6 (2008)  
 SB 202, Section 7.12 (2009)

TYPE: Dollars

TERM: July 1 - June 30, 2010

PURPOSE: Funds are appropriated in this act to support the enhancement of the technology infrastructure for public schools.

ELIGIBILITY: Each LEA or Charter that applies for E-rate refunds and has an Approved School Technology Plan is entitled to funding.

FORMULA: Each LEA receives a percentage of the actual LEA wide area network and Internet costs after E-Rate discounts. Because funding and E-rate requests may change each year, this percentage may vary.

**SPECIAL PROVISIONS:**

1. These funds shall be used for broadband access, equipment, and support services that create, improve and sustain equity of access for instruction opportunities for public school students and educators.
2. The funds appropriated shall be used to implement a plan approved by the State Board of Education to enhance the technology infrastructure for public schools that supports teaching and learning in the classrooms.
3. Funds currently used for the services covered by these new funds shall not be supplanted by this additional funding and shall be used to support instructional technologies and local infrastructure in schools in support of the acquisition and delivery of instructional technology resources to the classroom.
4. Any refunds received for services paid with these technology funds shall return to the originating technology fund.

**SCHOOL TECHNOLOGY FUND**

PROGRAM REPORT CODE: 015  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-015-XXX  
 STATUTORY REFERENCE: G.S. 115C-102.6

TYPE: Dollars

TERM: July 1: carries over until spent

PURPOSE: Provides funding to LEAs for the development and implementation of a local school technology plan.

ELIGIBILITY: Each LEA is entitled to funding.

FORMULA: Funds for School Technology are distributed based on allotted ADM in grades K-12.

**SPECIAL PROVISIONS:**

1. Two or more LEAs may jointly expend funds to develop their individual local school technology plans for staff development or to implement their individual local school technology plans.
2. Funds must be expended in accordance with the LEA's School Technology Plan.
3. Monthly allotment adjustments will be made to each LEA based on interest received on their account from the Department of State Treasurer. These monthly adjustments are based on each LEA's average daily balance for the month in their School Technology Fund.
4. One time annual adjustment for fines and penalties will be made to each LEA and Charter School with an approved technology plan. Funds are allotted based on allotted ADM.
5. Monthly allotment adjustments will be made to each LEA based on transfers from the School Building Fund once received from the Division of School Support, School Planning Section.
6. Funds cannot be transferred in or out of this category for FY 2011-12 and 2012-13.

**SMALL COUNTY SUPPLEMENTAL FUNDING**

PROGRAM REPORT CODE: 019  
 UNIFORM CHART OF ACCOUNTS CODE: XXX0-019-XXX  
 STATUTORY REFERENCE: HB 200, Section 7.12

TYPE: Dollars

TERM: July 1 - June 30

PURPOSE: To provide additional funds to special small school systems.

ELIGIBILITY: County LEAs with less than 3,239 ADM are entitled to funding. Also entitled are county LEAs with ADM between 3,239 and 4,080 whose adjusted property tax base per student is below the state average adjusted property tax base per student.  
 See Special Provision #1 for the hold harmless policy regarding ineligibility because of an increase in ADM or an increase in the county adjusted property tax base.

FORMULA:

The sum of:

1. The dollar equivalent of rounding up all fractions of position allotments to the next whole position (Example: 1.1 = 2).
2. The dollar equivalent of five and one-half additional regular classroom teachers for LEAs in which the ADM per square mile is greater than four. For LEAs in which the ADM per square mile is equal to or less than four, the dollar equivalent of seven additional regular classroom teachers is allotted.
3. The dollar equivalent of additional program enhancement teachers adequate to offer the standard course of study.

<u>ADM Range</u>	<u>Additional Program Enhancement Teachers</u>
0 - 1,100	5
1,101 - 1,500	4
1,501 - 1,900	3
1,901 - 2,300	2
2,301 - 2,999	1

Effective July 1, 1999, if a LEA is eligible for Small County Supplemental Funding, they will receive at least the dollar equivalent of one Program Enhancement Teacher.

4. The dollar equivalent of one teacher assistant per 400 ADM less the initial duty-free period allocation (\$2 per ADM).
5. The dollar difference between the allotment for Classroom Materials/ Instructional Supplies/Equipment and \$717,360.

6. The dollar equivalent of Career Technical Education months of employment and program support generated based on sixth grade ADM.

#### SPECIAL PROVISIONS:

1. If a local school administrative unit becomes ineligible for funding under this formula because of (1) an increase in the population of the county in which the local school administrative unit is located or (2) an increase in the county-adjusted property tax base per student of the county in which the local school administrative unit is located, funding for that unit shall be continued for seven years after the unit becomes ineligible. (SB 202, Section 7.4 (c))
2. Uses of Funds:
  - a. Funds allotted for small school systems may be used for any type expenditure eligible from State fund allotments (excluding central office administration); however, based on N.C. G.S. 115C-408(b), these funds are not available for capital construction projects.
  - b. An amount not to exceed ten thousand dollars (\$10,000) may be used to pay towards the plant operation contract cost charged by the Department of Public Instruction for services.
  - c. Local Boards of Education are encouraged to use 20% of the small county funds they receive to improve the academic performance of children who are performing at Level I or II on either reading or mathematics end-of grade tests in grades 3-8 and children who are performing at Level I or II on the writing tests in grades 4 and 7. Local boards of education shall report to the State Board of Education on an annual basis on funds used for this purpose, and the State Board shall report this information to the Joint Legislative Education Oversight Committee. (SB 202, Section 7.4(f))

Each LEA will need to develop its own chart of accounts using PRC 019 with any valid purpose and object code in the Chart of Accounts.

3. These funds are to supplement, not supplant, local funds. Funds will not be allocated to any LEA found to have used these funds to supplant local per student current expense funds.

A county is considered to have used these funds to supplant if the current expense appropriation per student of the county for the current year is less than 95% of the average of the local current expense appropriations per student for the three prior fiscal years.

4. The State Board of Education will consider requests from LEAs to waive the non-supplant requirement as outlined in the legislated low wealth and small county supplemental funding formula only under the following conditions:

The county can show:

- That it has remedied the deficiency in funding, or
- That extraordinary circumstance caused the county to supplant local current expense funds with funds allocated under this section.

Examples include:

- Closing/merging schools within a LEA.
- Loss of federal Impact Aid funds.

- Significant change in the demand for county resources due to state/federal unfunded mandates, natural disaster, or other emergency.
5. The expansion funds appropriated for small school system supplemental funding in this act shall be distributed to county school administrative units that have less than 1,300 students and have experienced a decline in average daily membership since the 2001-2002 school year. These funds shall be used to reduce the ratio of students to teachers in grades K-5 by one, in grades 6-8 by two, and in grades 9-12 by three.
  6. Transfer of funds is not required.

**TEACHER ASSISTANTS**

PROGRAM REPORT CODE: 027  
 UNIFORM CHART OF ACCOUNTS CODE: 5XXX-027-XXX  
 STATUTORY REFERENCE:

TYPE: Dollars

TERM: Varied, July 1 - June 30

PURPOSE: Provides funding for salaries and benefits for regular and self-contained teacher assistants.

ELIGIBILITY: Each LEA is entitled to funding based on ADM.

FORMULA: Funds are allotted based on allotted ADM in grades K-3. Benefits are included.

**SPECIAL PROVISIONS:**

1. The LEA shall determine the length of employment and the pay level for teacher assistants in accordance with the North Carolina Public School Personnel [State Salary Schedule](#).
2. No limit exists on the number of individuals that can be employed as long as all employees are placed at a minimum pay level of 56 in accordance with the **North Carolina Public School Personnel State Salary Schedule**, and the LEA does not exceed the total dollar allotment.
3. ABC transfers are allowed only to PRC 008 for Classroom Teachers for FY 2010-2011 and FY 2011-2012 and limited to the current year appropriation by submitting [ABC Transfer Form](#).
4. Teacher assistants are to serve students only in grades K-3. Grade placement may be waived if in a school's improvement plan to serve students in a school that contains grades K-3 when the teacher assistant is assigned to serve all students in the school. **(G.S. 115C-105.25.1)**
5. By the end of the third payroll period, the average salary paid at the LEA must be at least 98% of the State-allotted amount for teacher assistants.

**TEXTBOOKS**

PROGRAM REPORT CODE: N/A  
 UNIFORM CHART OF ACCOUNTS CODE: N/A  
 STATUTORY REFERENCE:

TYPE: Dollars

TERM: July 1 - June 30, plus limited carryover

PURPOSE: Provides funding for state-adopted textbooks. LEAs should use their state textbook funds to provide, from the state-adopted list, textbooks for handicapped students.

ELIGIBILITY: Each LEA is entitled to funding.

FORMULA: Funds for Textbooks are distributed based on allotted ADM in grades K-12.

**SPECIAL PROVISIONS:**

1. Requests for reimbursement of local fund expenditures for textbooks for handicapped students should be submitted to the Division of Financial Services, Textbooks Section.
2. Textbook funds that are eligible to carry over are calculated as follows:
 

Prior Year June 30 textbook balance	
Plus:	Current year allotment
Less:	<u>Current year textbook expenses</u>
Equals:	Funds eligible to carry over
3. Funds may be transferred with no restriction for FY 2010-2011 and FY 2011-2012 only by submitting an [ABC Transfer Form](#).
4. Textbooks are defined under G.S. 115C-85 as "systematically organized material comprehensive enough to cover the primary objectives outlined in the standard course of study for a grade or course." Formats for textbooks may be print or non-print, including hardbound books, softbound books, activity oriented programs, classroom kits, and technology-based programs that require the use of electronic equipment in order to be used in the learning process.
5. Local boards of education may:
  - a. Select, procure, and use textbooks that have not been adopted by the State Board of Education for use throughout the local school administrative unit for selected grade levels and courses; in addition to
  - b. An approved school improvement plans developed under G.S. 115C-105.22 that include provisions for using textbooks that have not been adopted by the State Board of education for selected grade levels and courses.
6. House Bill 1908, Section 7, states that the State Board of Education shall ensure that the

Allotment Policy Manual includes, in fiscal year 2006-2007 and thereafter, the following language related to the local education agencies' use of funds allotted for textbooks: "Local Education Agencies (LEAs) shall use their State textbook funds to provide to the same extent as is provided to non-disabled students, textbooks for students with disabilities. LEAs also shall, at a minimum, provide teachers of children with disabilities with the same teachers' editions provided to teachers of non-disabled students."

**All textbook contracts made under this subsection shall include a clause granting to the local board of education the license to produce Braille, large print, and audiocassette tape copies of the textbooks for use in the local school administrative unit.**

**TRANSPORTATION OF PUPILS**

PROGRAM REPORT CODE: 056  
 UNIFORM CHART OF ACCOUNTS CODE: 6XXX-056-XXX  
 STATUTORY REFERENCE:

TYPE: Dollars

TERM: July 1 - June 30

PURPOSE: Provides funding for all transportation related expenses for “yellow bus” use for eligible school age (K-12) students for travel to and from school and between schools. Examples of these expenses are contract transportation, transportation personnel (other than Director, Supervisor, and Coordinator), bus drivers' salaries, benefits, fuel, and other costs as defined in the Uniform Chart of Accounts. This includes expenses for contract transportation when a local school district finds it impracticable to furnish transportation by yellow bus for eligible school age (K-12) students for travel to and from school and between schools.

ELIGIBILITY: Each LEA is entitled to funding.

**FORMULA:**

1. Allotted based on a "budget rating" funding formula using the following factors: pupils transported; total eligible operating expenditures (local and state funds); number of buses operated.
2. The initial allotment shall consist of a portion of the projected initial allotment.
3. The final allotment will be allotted within available funds by December 1. This adjustment is derived from establishing a final budget rating calculated annually from the three key factors outlined in #1 above plus any other legislative adjustments.

**SPECIAL PROVISIONS:**

1. Funds can be transferred into and out of this category by submitting an [ABC Transfer Form](#). There is no change in carryover provisions as outlined in policy. Transfers will impact efficiency ratings.
2. These funds may not supplant other state, federal and local programs use of the “yellow bus” that serve the instructional purpose of the school, such as Pre-K, Smart Start, Head Start, Remediation Programs, Summer School, NC State Fair, Special Olympics, NC Symphony and other instructional field trips. When allotted state transportation funds are used to provide transportation services for these programs, the responsible program must reimburse this fund.
3. Allotted funds cannot be used for the maintenance of local vehicles and machinery such as - activity buses, staff vehicles, school maintenance trucks and vehicles, etc. When state funds are used to pay for this kind of activity, those state funds must be reimbursed from local (or other non-state) sources.

4. State Board of Education Policy states, "In order to be eligible to receive any mid-year transportation allotment resulting from increased fuel prices, an LEA must have a reduced idling policy in place at the beginning of the school year. For the 2005-2006 school year, the policy must be in place no later than January 10, 2006. The local policy must, at a minimum, prohibit all unnecessary school bus idling on school grounds and prohibit the warming up of buses longer than 5 minutes. As always, any increase in allotments will be subject to the availability of funds."

**WAIVERS FOR UNAVAILABLE CATEGORIES**

PROGRAM REPORT CODE: 006  
UNIFORM CHART OF ACCOUNTS CODE: XXXX-006-XXX  
STATUTORY REFERENCE:

TYPE: Dollars

TERM: July 1 through June 30

PURPOSE: Provides a separate account into which LEAs may transfer funds to cover the purchases of items (not personnel) not found in the Chart of Accounts for other categories.

ELIGIBILITY: Each LEA is entitled to transfer funds to this account.

FORMULAS: N/A

**SPECIAL PROVISION:**

If included in a school's Improvement Plan, funds may be transferred to this category by submitting an [ABC Transfer Form](#) to the School Allotments Section.

# 2011-12

# ALLOTMENT POLICY MANUAL

## Career Technical Education Formulas



**PUBLIC SCHOOLS OF NORTH CAROLINA  
STATE BOARD OF EDUCATION  
DEPARTMENT OF PUBLIC INSTRUCTION  
FINANCIAL AND BUSINESS SERVICES  
DIVISION OF SCHOOL BUSINESS**



[www.ncpublicschools.org/fbs/allotments/](http://www.ncpublicschools.org/fbs/allotments/)

**ADDRESS QUESTIONS RELATED TO THIS CATEGORY TO THE FOLLOWING:**

Allotments:                    Division of School Business  
                                      School Allotments Section  
                                      (919) 807-3739

Expenditures/Salary:        Division of School Business  
                                      Reporting Section  
                                      Expenditures: (919) 807-3725  
                                      Salary:            (919) 807-3708

Program/Budgeting:         Division of Career and Technical Education  
                                      (919) 807-3764  
  
    PRC – 013 – State Months of Employment  
    PRC – 014 – State Program Support  
    PRC – 017 – Federal Program Improvement

Program/Budgeting:         Division of Career and Technical Education  
                                      CTE Support Services  
                                      (919) 807-3891  
  
    PRC – 23 – Tech Prep

**Career Technical Education Allotment Formulas**

Career Technical Education - State: Months Of Employment (PRC 013) .....1  
Career Technical Education - State: Program Support Funds (PRC 014) .....2  
Career Technical Education - Federal: Program Improvement (PRC 017) .....3  
Career Technical Education - Federal: Tech Prep Education (PRC 023) .....4

**Formulas listed by PRC**

013 – Career Technical Education – State - Months of Employment .....1  
014 – Career Technical Education – State - Program Support Funds .....2  
017 – Career Technical Education – Federal - Program Improvement .....3  
023 – Career Technical Education – Federal - Tech Prep Education .....4

**CAREER TECHNICAL EDUCATION - STATE: MONTHS OF EMPLOYMENT**

PROGRAM REPORT CODE: 013  
 UNIFORM CHART OF ACCOUNTS CODE: XXX0-013-XXX  
 STATUTORY REFERENCE: HB1414, Section 7.37

TYPE: Months of Employment

TERM: 10, 11, & 12 months, July 1 - June 30

PURPOSE: To develop more fully the academic, career and technical skills of secondary students who elect to enroll in Career Technical Education programs.

ELIGIBILITY: Each LEA is entitled to months of employment.

**FORMULA:**

Each LEA will receive a base of 50 months. Remaining months will be allotted based on allotted ADM in grades 8-12. (HB 1414 – Section 7.37)

Months of employment are rounded to the nearest whole month. For city LEAs with less than 3,000 ADM, all fractions of a month are rounded up to the nearest whole month.

**SPECIAL PROVISIONS:**

1. Refer to Merged LEAs Section 01, Subsection E.
2. Months can be transferred to Career Technical Education Program Support (PRC 014). Submit an [ABC Transfer Form](#) to transfer funds. The dollar amount transferred will equal the average salary including benefits for Career Technical Education MOEs. Converting certified position allotments to dollars for the purpose of hiring the same type position is not allowable.
3. Transfers out of Career Technical Education (MOEs) for other categories are allowable up to 7% of the current year appropriation for FY 2011-12 only)

**CAREER TECHNICAL EDUCATION - STATE: PROGRAM SUPPORT FUNDS**

PROGRAM REPORT CODE: 014  
UNIFORM CHART OF ACCOUNTS CODE: XXX0-014-XXX  
STATUTORY REFERENCE: HB 1414, Section 7.37

TYPE: Dollars

TERM: July 1 - June 30

PURPOSE: Provides funding to assist LEAs in expanding, improving, modernizing, and developing quality Career Technical education programs.

ELIGIBILITY: Each LEA is entitled to funding based on ADM in grades 8-12. (HB 1414 – Section 7.37)

FORMULA: Each LEA is eligible for a base amount of \$10,000. Remaining funds are allotted based on allotted ADM in grades 8-12.

**SPECIAL PROVISIONS:**

1. Funds may be transferred out of Career and Technical Education (PRC 013) Months of Employment (MOEs) into (PRC 014) Program Support without limitation. Transfers out of Career Technical Education PRC 014 Program Support for other categories are allowable up to 7% of the current appropriation for FY 2011-2012.
2. Submit an [ABC Transfer Form](#) to transfer funds.
3. Of the funds provided to the Department of Public Instruction, the sum of ninety thousand, five hundred dollars (\$90,500) shall be transferred to the Agricultural Education and FFA Program housed in the Department of Agricultural and Extension Education at North Carolina State University.

**CAREER TECHNICAL EDUCATION - FEDERAL: PROGRAM IMPROVEMENT**

PROGRAM REPORT CODE: 017  
UNIFORM CHART OF ACCOUNTS CODE: XXX0-017-XXX  
CFDA #: 84.048A

TYPE: Dollars

TERM: Up to 12 months

PURPOSE: Provides funding to assist in developing the academic, career and technical skills of secondary and postsecondary students who elect to enroll in career and technical education programs.

ELIGIBILITY: Each LEA/charter school is entitled to funding based on applications approved by the Division of Career and Technical Education.  
**Application must be approved prior to the LEA/charter school receiving the allotment.**

**FORMULA:**

Of the total funds available for State Aid, allocation to LEAs/charter schools will be based on the following:

1. Thirty percent (30%) will be allotted based on individuals ages 5-17 who resided in the school district served by the LEA.
2. Seventy percent (70%) will be allotted based on individuals ages 5-17 who reside in the school district served by the LEA from families with incomes below the poverty line.

**SPECIAL PROVISIONS:**

1. Any LEA or charter school earning less than \$15,000 based on the formula must enter a consortium with other LEAs or be granted a waiver by the State Board of Education from the minimum grant requirement to be eligible for a grant. Once a waiver has been approved, it will remain in effect throughout the duration covered by the state plan.
2. The allocation for LEAs and charter schools will be reduced according to the formula listed above for new charter schools. A charter school must submit an application by the application deadline date and serve grades 7-12 in order to receive funding.
3. Grant funds will be available for up to 12 months. All projects must end June 30, with no liquidation period allowed beyond that date.
4. Each eligible LEA/charter school receiving funds must limit administrative cost to five percent (5%).
5. Reallocation of current year funds: Funding for the current year that is not allocated will be distributed to eligible LEAs/charter schools based on the current formula by February.
6. Reallocation of reverted funds: Funding reverted will be redistributed to eligible LEAs/charter schools in the following fiscal year in combination with that fiscal year's initial allotment based on the formula or program needs.

**CAREER TECHNICAL EDUCATION - FEDERAL: TECH PREP EDUCATION**  
**(No New Funding for FY 11-12)**

PROGRAM REPORT CODE: 023  
 UNIFORM CHART OF ACCOUNTS CODE: XXX0-023-XXX  
 CFDA #: 84.243A

TYPE: Dollars

TERM: Up to 12 months

PURPOSE: Provides funding to consortia of LEAs and postsecondary education institutions for planning, developing, and operating 4-year tech-prep education programs leading to, at a minimum, an associate’s degree or a 2-year certificate.

ELIGIBILITY: Each LEA/charter school is entitled to funding based on application approved by the Department of Public Instruction, Division of Career and Technical Education. **State Board of Education approval is required before allocation of funds to selected LEAs/charter schools.**

FORMULA: Total amount of funds to LEAs/charter schools is negotiated based on available federal funds.

**SPECIAL PROVISIONS:**

1. Each project will be awarded funds for up to 12 months. All projects must end June 30 with no liquidation period allowed beyond that date.
2. Any unallotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year’s funds have been used.

# **2011-2012 ALLOTMENT POLICY MANUAL Federal Formulas**



**PUBLIC SCHOOLS OF NORTH CAROLINA  
STATE BOARD OF EDUCATION  
DEPARTMENT OF PUBLIC INSTRUCTION  
FINANCIAL AND BUSINESS SERVICES  
DIVISION OF SCHOOL BUSINESS**



[www.ncpublicschools.org/fbs/allotments/](http://www.ncpublicschools.org/fbs/allotments/)

**Financial**

Division of School Business, School Allotments Section  
 - Allotments (919) 807-3739

Division of School Business, School Reporting Section  
 - Expenditures: (919) 807-3725  
 - Salary: (919) 807-3708

**Program/Budgeting**

Child Nutrition Services (919) 807-3506

- PRC 149 – Child Nutrition Equipment ARRA

North Carolina Homeless Education Program at SERVE – (336) 315-7491  
 Lisa Phillips, State Coordinator

- PRC 026 – McKinney Vento Homeless
- PRC 148 – ARRA McKinney Vento Homeless

Division of Middle and Secondary Education, Healthy Schools Section - (919) 807-3942

- PRC 101 – Title V – State Abstinence Program

Division of Program Monitoring and Support, Program Monitoring- (919) 807-3964

- PRC 050 – ESEA – Title I
- PRC 051 – Migrant Education
- PRC 105 – School Improvement
- PRC 117 – School Improvement – 1003G
- PRC 109 – Small Rural Low Income Schools
- PRC 091 – Small Rural Schools (REAP)
- PRC 141 – ESEA Title I EFIG / Targeted ARRA
- PRC 142 – ESEA Title I School Improvement ARRA
- PRC 143 – ESEA Title I School Improvement 1003G ARRA

Division of Instructional Technology, Educational Technology Implementation and Planning Services - (919) 807-3270/ 3293

- PRC 107 – Educational Technology
- PRC 108 – Educational Technology – Competitive
- PRC 146 – Educational Technology Formula ARRA
- PRC 147 – Educational Technology Competitive ARRA

Office of Early Learning – (919) 807-3994

- PRC 049 – IDEA IV-B - Preschool
- PRC 065 – Even Start
- PRC 121 – ESEA Title I Office of Early Learning Targeted Assistance

Exceptional Children Division, Special Programs Section - (919) 807-3996

- PRC 044 – Capacity Building and Improvement
- PRC 060 – IDEA VI-B – Handicapped
- PRC 070 – IDEA VI-B – Special Needs Targeted Assistance
- PRC 082 –IDEA VI-B - Special Education State Improvement Grant
- PRC 114 – IDEA VI-B – Children with Disabilities – Risk Pool
- PRC 118 – IDEA VI-B Special Needs Targeted Assistance
- PRC 119 – IDEA VI-B Preschool Targeted Assistance
- PRC 144 – IDEA VI-B (2004) ARRA
- PRC 145 – IDEA VI-B Preschool ARRA

Communities in School North Carolina (CISNC), Jackie Decker, 919-807-2700 x 110

- PRC 064 - Learn and Serve

Division of K-12 Curriculum, Instruction and Technology Mathematics - (919) 807-3838

- PRC 112 – Math and Science Partnership

Division of Talent Management and Development - (919) 807-3375

- PRC 103 - Improving Teacher Quality

Division of Middle and Secondary Education, K-12 Program Areas - (919) 807-3866/3861

- PRC 104/111 - Language Acquisition

Division of Program Monitoring and Support Services - Support Services Section  
(919) 807-3943

- PRC 048 - Title IV Safe and Drug Free
- PRC 110 - 21<sup>st</sup> Century Schools
- PRC 113 - 21<sup>st</sup> Century Summer Mini Grants

Division of Student Support – Transportation - (919) 807- 3570

- PRC 150 – National Clean Diesel Program Grant ARRA

Division of Race To The Top - (919) 807- 4063

- PRC 156 – Race To The Top
- PRC 157 – Race To The Top – Regional Leadership Academy
- PRC 158 – Race To The Top – Recruitment Incentive – Low Achieving Schools
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**CHILD NUTRITION EQUIPMENT - NSLP**

PROGRAM REPORT CODE: 053  
UNIFORM CHART OF ACCOUNTS: XXXX-053-XXX  
CFDA#: 10.579  
PR AWARD #: 2011CL410345

TYPE: Dollars  
TERM: June 30, 2012

PURPOSE: A one-time United States Department of Agriculture (USDA) appropriation to assist Child Nutrition Programs in purchasing equipment that will be used in the preparation and service of school meals.

ELIGIBILITY: Grants will be made to LEAs based on a competitive process who have 50% or more of students eligible for free or reduced meals. **State Board of Education approval is required before allocation of funds to selected LEAs.**

FORMULA: N/A

SPECIAL PROVISION:

Funds may only be used to cover the cost of the equipment. The LEA is responsible for all sales tax, installation fees, warranties and other costs associated with the equipment

**CHILD NUTRITION EQUIPMENT - ARRA**

PROGRAM REPORT CODE: 149  
 UNIFORM CHART OF ACCOUNTS: XXXX-149-XXX  
 CFDA#: 10.579  
 PR AWARD #: 2009IA200245

TYPE: Dollars  
 TERM: September 30, 2009

PURPOSE: A one-time appropriation through the American Recovery Reinvestment Act of 2009 to assist Child Nutrition Programs in purchasing equipment that will be used in the preparation and service of school meals.

ELIGIBILITY: Grants will be made to LEAs based on a competitive process who have 50% or more of students eligible for free or reduced meals. **State Board of Education approval is required before allocation of funds to selected LEAs.**

FORMULA: N/A

## SPECIAL PROVISION:

ARRA requires regular reporting to the federal government as part of the transparency and accountability assurances. For most PRCs, DPI will send the data to the federal government on behalf of the LEAs and charter schools using the monthly UERS data. However, there may be some occasions where the requested data is not readily available and will have to be independently collected from the LEAs and charter schools via a survey or BUD. All LEAs and charter schools that accept ARRA funds are required to comply with these data requests and reporting requirements by the stated deadline.

**EDUCATION JOB FUNDS**

PROGRAM REPORT CODE: 155  
 UNIFORM CHART OF ACCOUNTS: XXXX-155-XXX  
 CFDA#: 84.410A  
 PR AWARD #: S410A100034

TYPE: Dollars  
 TERM: Up to September 30, 2012

PURPOSE: The education jobs fund is intended to retain existing employed, to recall or rehire former employees, and to hire new employees, in order to provide early childhood, elementary, or secondary educational and related services (compensation, benefits, and other expenses). The grant is intended to protect the jobs of school based personnel including principals, assistant principals, teachers, instructional support, teacher assistants, cafeteria workers, bus driver, etc.

ELIGIBILITY: LEAs including charter schools are eligible for funding.

FORMULA: The funds are allocated through the State's primary K-12 formula.

Allocations to LEA's are based on the LEA's proportionate share of State Funds allocated in the Planning Allotments (excluding Low Wealth, Small County and Disadvantaged Student Supplemental Funding). Charter School allocations are based on the dollars per ADM of the LEA in which they are located.

## SPECIAL PROVISION:

1. Only expenditures that are incurred after the enacted date of August 10, 2010 may be charged to the grant.
2. The Education Job Funds are non-recurring and no additional funds will be allotted in 2011-12.
3. The Education Jobs Fund requires regular reporting to the federal government as part of the transparency and accountability assurances. DPI will submit the data to the federal government on behalf of the LEAs and charter schools using the monthly UERS data. However, there may be some occasions where the requested data is not readily available and will have to be independently collected from the LEAs and charter schools via a survey or BUD. All LEAs and charter schools that accept Education Job Funds are required to comply with these data requests and reporting requirements by the stated deadline.
4. No central office salaries may be expended from the grant.

**EDUCATION STABILIZATION FUND-ARRA**

PROGRAM REPORT CODE: 140  
 UNIFORM CHART OF ACCOUNTS: XXXX-140-XXX  
 CFDA#: 84.394  
 PR/AWARD #: SA94A090034

**TYPE:** Dollars  
**TERM:** September 30, 2011

**PURPOSE:** Provides funding through the American Recovery and Reinvestment Act for LEAs including charter schools to assist the State in making progress in areas related to education reform and to lead to improved results for students, in long-term gains in school system capacity, and increased efficiency and effectiveness.

**ELIGIBILITY:** LEAs including charter schools are eligible for funding.

**FORMULA:**

Allocations to LEAs are based on the LEA's proportionate share of State Funds allocated in the Planning Allotments (excluding Low Wealth, Small County and Disadvantaged Student Supplemental Funding). Charter school allocations are based on the dollars per ADM of the LEA in which they are located.

**SPECIAL PROVISION:**

1. LEAs have flexibility in determining how to use these funds, as long as the funds are obligated by September 30, 2011.
2. ARRA requires regular reporting to the federal government as part of the transparency and accountability assurances. For most PRCs, DPI will send the data to the federal government on behalf of the LEAs and charter schools using the monthly UERS data. However, there may be some occasions where the requested data is not readily available and will have to be independently collected from the LEAs and charter schools via a survey or BUD. All LEAs and charter schools that accept ARRA funds are required to comply with these data requests and reporting requirements by the stated deadline.

**EDUCATIONAL TECHNOLOGY FORMULA – NO FUNDING**

PROGRAM REPORT CODE: 107  
 UNIFORM CHART OF ACCOUNTS: XXXX-107-XXX  
 CFDA#: 84.318X

TYPE: Dollars

TERM: Up to 27 months

PURPOSE: Provides funding to improve student academic achievement through the use of technology in schools; and to assist EVERY student from all walks of life in becoming technologically literate by the end of eighth grade.

**ELIGIBILITY:**

Each LEA/charter school with an approved Technology Plan that receives funding under Part A of Title I for the current year is eligible for Education Technology funding.

**Application must be approved prior to the LEA/charter school receiving the allotment.**

FORMULA: Allocations to LEAs will be based on the LEAs' proportionate share of funds allotted under Part A of Title I for that year.

**SPECIAL PROVISIONS:**

1. Each project can be awarded funds for a period of time beginning July 1st and ending September 30th of the following year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over for an additional 12 months. Funds are potentially available to LEAs/charter schools for 27 months, provided a timely project application is submitted each year.
2. An eligible LEA may apply for Education Technology formula grant funds as part of a consortium that includes other LEAs, institutions of higher education, educational service agencies, libraries, or other educational entities.
3. Program Administrators will notify the School Allotments Section of any LEA/charter school that does not have an approved budget by October 31st. After this notification, funds carried forward will be reduced from the LEA's/charter school's budget.
4. Reallocation of current year funds: Funding for the current year that is not allocated will be distributed to eligible LEAs/charter schools based on the current formula or program needs by February.
5. Any unallotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.
6. Unallotted funds for professional development must remain as professional development and spent during the carry over year.

**EDUCATIONAL TECHNOLOGY FORMULA - ARRA**

PROGRAM REPORT CODE: 146  
 UNIFORM CHART OF ACCOUNTS: XXXX-146-XXX  
 CFDA#: 84.386A  
 S386A090033

TYPE: Dollars

TERM: Up to 27 months

PURPOSE: Provides one-time funding through the American Recovery and Reinvestment Act to improve student academic achievement through the use of technology in schools; and to assist EVERY student from all walks of life in becoming technologically literate by the end of eighth grade.

ELIGIBILITY: Each LEA/charter school with an approved Technology Plan that receives funding under Part A of Title I for the current year is eligible for Education Technology funding.

**Application must be approved prior to the LEA/charter school receiving the allotment.**

FORMULA: Allocations to LEAs will be based on the LEAs' proportionate share of funds allotted under Part A of Title I for that year.

- SPECIAL PROVISIONS:
1. Each project can be awarded funds for a period of time beginning July 1<sup>st</sup>, 2009 and ending September 30<sup>th</sup> 2010. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30<sup>th</sup>, 2010 to carry over for an additional 12 months. Funds are potentially available to LEAs/charter schools for 27 months, provided a timely project application is submitted each year.
  2. An eligible LEA may apply for Education Technology formula grant funds as part of a consortium that includes other LEAs, institutions of higher education, educational service agencies, libraries, or other educational entities.
  3. Program Administrators will notify the School Allotments Section of any LEA/charter school that does not have an approved budget by October 31<sup>st</sup>. After this notification, funds carried forward will be reduced from the LEA's/charter school's budget.
  4. Reallocation of current year funds: Funding for the current year that is not allocated will be distributed to eligible LEAs/charter schools based on the current formula or program needs by February.

5. Any unallotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.
6. Unallotted funds for professional development must remain as professional development and spent during the carry over year.
7. ARRA requires regular reporting to the federal government as part of the transparency and accountability assurances. For most PRCs, DPI will send the data to the federal government on behalf of the LEAs and charter schools using the monthly UERS data. However, there may be some occasions where the requested data is not readily available and will have to be independently collected from the LEAs and charter schools via a survey or BUD. All LEAs and charter schools that accept ARRA funds are required to comply with these data requests and reporting requirements by the stated deadline.

**EDUCATIONAL TECHNOLOGY – COMPETITIVE GRANTS**

PROGRAM REPORT CODE: 108  
UNIFORM CHART OF ACCOUNTS: XXXX-108-XXX  
CFDA#: 84.318X

TYPE: Dollars

TERM: Up to 27 Months

PURPOSE: Provides funding to improve student academic achievement through the use of technology in schools; and to assist EVERY student from all walks of life in becoming technologically literate by the end of eighth grade.

ELIGIBILITY: Grants will be made to LEAs and charter schools with an approved Technology plan based on a competitive process. Each LEA/charter school is entitled to submit a Request for Proposal (RFP). The actual LEAs/charter schools to be funded will be selected on the basis of criteria specified in the Federal regulations governing the program. **State Board of Education approval is required before allocation of funds to selected LEAs/charter schools.**

FORMULA: N/A

SPECIAL PROVISION:

Any un-allotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.

**EDUCATIONAL TECHNOLOGY – COMPETITIVE GRANTS - ARRA**

PROGRAM REPORT CODE: 147  
 UNIFORM CHART OF ACCOUNTS: XXXX-147-XXX  
 CFDA#: 84.386A  
 PR/AWARD #: S386A090033

TYPE: Dollars  
 TERM: Up to 27 Months

PURPOSE: Provides one-time funding through the American Recovery and Reinvestment Act to improve student academic achievement through the use of technology in schools; and to assist EVERY student from all walks of life in becoming technologically literate by the end of eighth grade.

ELIGIBILITY: Grants will be made to LEAs and charter schools with an approved Technology Plan that receives funding under Part A of Title I based on a competitive process. Each eligible LEA/charter school is entitled to submit a Request for Proposal (RFP). The actual LEAs/charter schools to be funded will be selected on the basis of criteria specified in the Federal regulations governing the program. **State Board of Education approval is required before allocation of funds to selected LEAs/charter schools.**

FORMULA: N/A

SPECIAL PROVISION:

1. Any unallotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.
2. ARRA requires regular reporting to the federal government as part of the transparency and accountability assurances. For most PRCs, DPI will send the data to the federal government on behalf of the LEAs and charter schools using the monthly UERS data. However, there may be some occasions where the requested data is not readily available and will have to be independently collected from the LEAs and charter schools via a survey or BUD. All LEAs and charter schools that accept ARRA funds are required to comply with these data requests and reporting requirements by the stated deadline.

**ESEA TITLE I - BASIC**

PROGRAM REPORT CODE: 050  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-050-XXX  
 CFDA #: 84.010A

TYPE: Dollars

TERM: Up to 27 months

PURPOSE: Provides funding to supplement and provide special help to educationally deprived children from low-income families.

**ELIGIBILITY:**

Each LEA/charter school is entitled to funding based on the sum of the children (formula children) in the following populations:

1. Number of children in poverty, ages five through seventeen, as identified in the most current census data.
2. Number of neglected children.
3. Number of children in foster homes.

Since there is no census poverty data available for charter schools, the following method for converting the charter school free lunch count to a poverty count has been authorized by the U.S. Department of Education.

1. A ratio is developed which defines the proportion of the total state free lunch count to the state total census poverty count.
2. The above ratio is then applied to the individual charter school free lunch count to establish a census poverty count for that charter school.

A LEA/charter school is eligible for "Basic" funding, if the number of formula children counted for allocation purposes is at least 10 and greater than two percent of the LEA's/charter school's total population, ages 5 to 17 years.

In addition to the allocation based on the formula children identified above, a LEA/charter school is entitled to funding based on the number of delinquent children.

**Application must be approved prior to the LEA receiving the allotment.**

**FORMULA:**

The U.S. Department of Education determines the allotment of funds to each LEA. Funds for Title I Basic grant-eligible charter schools are distributed as follows:

1. A ratio is developed which defines the proportion of formula children in all eligible charter schools to all formula children in the state.
2. The total state allocation is then reduced by the percentage determined in step 1.
3. The amount of the reduction is distributed to eligible charter schools on the basis of their individual proportion of formula children enrolled.

4. The allocation to each LEA/charter school is then reduced by the reserve for school improvement and state administration.

#### HOLD HARMLESS:

1. A LEA/charter school shall receive not less than 95% of the amount of funds received last year if the number of formula children is not less than 30% of the total number of children ages 5 to 17.
2. A LEA/charter school shall receive not less than 90% of the amount of funds received last year if the number of formula children is between 15% and 30% of the total number of children ages 5 to 17.
3. A LEA/charter school shall receive not less than 85% of the amount of funds received last year if the number of formula children is below 15% of the total number of children ages 5 to 17.

An LEA/charter school must meet the eligibility requirements in order for the hold harmless provisions to apply.

#### SPECIAL PROVISIONS:

1. Each grant can be awarded for a period of time beginning July 1st and ending September 30th of the following year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over for an additional 12 months. Funds are potentially available to LEAs/charter schools for 27 months, provided a timely budget is submitted each year. (Carryover funds are subject to applicable carryover percentage limitations.)
2. Program Administrators will notify the School Allotments Section of any LEA / charter school that does not have an approved budget by October 31st. After this notification, funds carried forward will be reduced from the LEA's / charter school's budget.
3. If a LEA/charter school receives an allocation greater than \$50,000 (total of Title 1 Basic, Concentration, Education Finance Incentive and Targeted funds), the amount of funds available for carryover into the following year (after September 30th) is limited to 15% of the current year's allocation. Funds in excess of percentage limitations will revert and be reallocated to eligible LEAs/charter schools unless a waiver is received and approved.
4. A LEA may request in writing to the Section Chief for Program Monitoring, Program Monitoring and Support Division for a waiver of the carryover percentage be granted. A waiver may be granted once every three years if it is determined that the request is reasonable and necessary or if supplemental appropriations become available.
5. In fiscal year 2008-09, the administration cap was increased to 12% of the allotment. If the allowable administrative expenditures for the current fiscal year are less than the 12% administration cap, the difference can be carried over, on June 30, to the following fiscal year. Any administration carryover will be added to the administration cap in the following fiscal year.
6. A LEA/charter school may request in writing to the Section Chief of Compensatory Education, that a waiver of the administrative and support percentage be granted. A waiver may be granted if it is determined that the request is reasonable and necessary due to extraordinary circumstances or fluctuations in funding.

7. Funds available due to no application, will be reallocated as follows:

**Eligibility Guidelines:**

- The LEA/Charter must be eligible for and approved to receive Title I funds in the current year.

**Re-Allocation:**

- Additional funds available will be allocated to LEAs/Charter schools approved to receive Title I funds for the current year based on a percent to total of their current year allocation.

8. Any un-allotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.

**ESEA TITLE I CONCENTRATION**

PROGRAM REPORT CODE: 050  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-050-XXX  
 CFDA #: 84.010A

TYPE: Dollars

TERM: Up to 27 months

PURPOSE: Provides funding to supplement LEAs with especially high concentrations of children from low-income families.

**ELIGIBILITY:**

To be eligible for “Concentration” funding, the number of formula children in a LEA/charter school must exceed six thousand, five hundred (6,500) **OR** exceed fifteen percent of the LEA’s/charter school’s total population, ages 5 to 17 years.

**Application must be approved prior to the LEA/charter school receiving the allotment.**

See ESEA Title 1 – Basic Eligibility for discussion on “formula” children.

FORMULA: See Title I Basic.

**HOLD HARMLESS:**

1. A LEA/charter school shall receive not less than 95% of the amount of funds received last year if the number of formula children is not less than 30% of the total number of children ages 5 to 17.
2. A LEA/charter school shall receive not less than 90% of the amount of funds received last year if the number of formula children is between 15% and 30% of the total number of children ages 5 to 17.
3. A LEA/charter school shall receive not less than 85% of the amount of funds received last year if the number of formula children is below 15% of the total number of children ages 5 to 17.

If sufficient funds are appropriated, the amounts shall be paid to all LEAs that receive Concentration grant funds for the preceding fiscal year, regardless of whether the LEA meets minimum eligibility criteria for that fiscal year. **LEA not meeting eligibility requirements for Title I – Concentration shall be paid its hold harmless amount for (4) four consecutive years.**

**SPECIAL PROVISIONS:**

1. Each grant can be awarded for a period of time beginning July 1st and ending September 30th of the following year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over for an additional 12 months. Funds are potentially available to LEAs/charter schools for 27 months, provided a timely budget is submitted each year. (Carryover funds are subject to applicable carryover percentage limitations).

2. Program Administrators will notify the School Allotments Section of any LEA/charter school that does not have an approved budget by October 31st. After this notification, funds carried forward will be reduced from the LEA's/charter school's budget.
3. If a LEA/charter school receives an allocation greater than \$50,000 (total of Title 1 Basic, Concentration, Education Finance Incentive and Targeted funds), the amount of funds available for carryover into the following year (after September 30th) is limited to 15% of the current year's allocation. Funds in excess of percentage limitations will revert and be reallocated to eligible LEA/charter school unless a waiver is received and approved. A LEA/charter school reverting carryover funds is ineligible for reallocated funds.
4. Reallocation of current year funds: see special provision 7 of Title I Basic.
5. Any un-allotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.

**ESEA TITLE I – ARRA EDUCATION FINANCE INCENTIVE GRANT**

PROGRAM REPORT CODE: 141  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-141-XXX  
 CFDA #: 84.389A  
 PR/AWARD #'s: S389A090033, S389A090033A

TYPE: Dollars

TERM: Up to 27 months

PURPOSE: Provides funding to supplement LEAs/charter schools with an especially high concentration of children from low-income families.

**ELIGIBILITY:**

A LEA/charter school is eligible for “Education Finance Incentive” funding if the number of formula children is at least 10 and at least 5% of the LEA’s/charter school’s total population ages 5 to 17 years.

**Application must be approved prior to the LEA/charter school receiving the allotment.**

FORMULA: Funds will be distributed to LEAs/charter schools based on the weighted child count. The U.S. Department of Education determines each LEA’s allotment. Funds are allotted as part of the Title I Basic Grant.

**HOLD HARMLESS:**

1. A LEA/charter school shall receive not less than 95% of the amount of funds received last year if the number of formula children is not less than 30% of the total number of children ages 5 to 17.
2. A LEA/charter school shall receive not less than 90% of the amount of funds received last year if the number of formula children is between 15% and 30% of the total number of children ages 5 to 17.
3. A LEA/charter school shall receive not less than 85% of the amount of funds received last year if the number of formula children is below 15% of the total number of children ages 5 to 17.

**An LEA/charter school must meet the eligibility requirements in order for the hold harmless provisions to apply.**

**SPECIAL PROVISIONS:**

1. Each grant can be awarded for a period of time beginning July 1st and ending September 30th of the following year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carryover for an additional 12 months. Funds are potentially available to LEAs/charter schools for 27 months, provided a timely budget is submitted each year. (Carryover funds are subject to applicable carryover percentage limitations.)

2. Program Administrators will notify the School Allotments Section of any LEA / charter school that does not have an approved budget by October 31st. After this notification, funds carried forward will be reduced from the LEA's / charter school's budget.
3. If a LEA/charter school receives an allocation greater than \$50,000 (total of Title 1 Basic, Concentration, Education Finance Incentive and Targeted funds), the amount of funds available for carryover into the following year (after September 30th) is limited to 15% of the current year's allocation. Funds in excess of percentage limitations will revert and be reallocated to eligible LEAs/charter schools unless a waiver is received and approved. A LEA/charter school reverting carryover funds is ineligible for reallocated funds.
4. A LEA may request in writing to the Section Chief for Program Monitoring, that a waiver of the carryover percentage be granted. A waiver may be granted once every three years if it is determined that the request is reasonable and necessary or if supplemental appropriations become available.
5. In fiscal year 2008-09, the administration cap was increased to 12% of the allotment. If the allowable administrative expenditures for the current fiscal year are less than the 12% administration cap, the difference can be carried over, on June 30, to the following fiscal year. Any administration carryover will be added to the administration cap in the following fiscal year.
6. A LEA/charter school may request in writing to the Section Chief of Compensatory Education, that a waiver of the administrative and support percentage be granted. A waiver may be granted if it is determined that the request is reasonable and necessary due to extraordinary circumstances or fluctuations in funding.
7. Reallocation of current year funds: see special provision 7 of Title I Basic.
8. Any un-allotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.
9. ARRA requires regular reporting to the federal government as part of the transparency and accountability assurances. For most PRCs, DPI will send the data to the federal government on behalf of the LEAs and charter schools using the monthly UERS data. However, there may be some occasions where the requested data is not readily available and will have to be independently collected from the LEAs and charter schools via a survey or BUD. All LEAs and charter schools that accept ARRA funds are required to comply with these data requests and reporting requirements by the stated deadline.

**ESEA TITLE I - EDUCATION FINANCE INCENTIVE GRANT**

PROGRAM REPORT CODE: 050  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-050-XXX  
 CFDA #: 84.010A

TYPE: Dollars

TERM: Up to 27 months

PURPOSE: Provides funding to supplement LEAs/charter schools with an especially high concentration of children from low-income families.

**ELIGIBILITY:**

A LEA/charter school is eligible for "Education Finance Incentive" funding if the number of formula children is at least 10 and at least 5% of the LEA's/charter school's total population ages 5 to 17 years.

**Application must be approved prior to the LEA/charter school receiving the allotment.**

FORMULA: Funds will be distributed to LEAs/charter schools based on the weighted child count. The U.S. Department of Education determines each LEA's allotment. Funds are allotted as part of the Title I Basic Grant.

**HOLD HARMLESS:**

1. A LEA/charter school shall receive not less than 95% of the amount of funds received last year if the number of formula children is not less than 30% of the total number of children ages 5 to 17.
2. A LEA/charter school shall receive not less than 90% of the amount of funds received last year if the number of formula children is between 15% and 30% of the total number of children ages 5 to 17.
3. A LEA/charter school shall receive not less than 85% of the amount of funds received last year if the number of formula children is below 15% of the total number of children ages 5 to 17.

**An LEA/charter school must meet the eligibility requirements in order for the hold harmless provisions to apply.**

**SPECIAL PROVISIONS:**

4. Each grant can be awarded for a period of time beginning July 1st and ending September 30th of the following year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carryover for an additional 12 months. Funds are potentially available to LEAs/charter schools for 27 months, provided a timely budget is submitted each year. (Carryover funds are subject to applicable carryover percentage limitations.)
5. Program Administrators will notify the School Allotments Section of any LEA / charter school that does not have an approved budget by October 31st. After this notification, funds carried forward will be reduced from the LEA's / charter school's budget.

6. If a LEA/charter school receives an allocation greater than \$50,000 (total of Title 1 Basic, Concentration, Education Finance Incentive and Targeted funds), the amount of funds available for carryover into the following year (after September 30th) is limited to 15% of the current year's allocation. Funds in excess of percentage limitations will revert and be reallocated to eligible LEAs/charter schools unless a waiver is received and approved. A LEA/charter school reverting carryover funds is ineligible for reallocated funds.
7. A LEA may request in writing to the Section Chief for Compensatory Education, School Improvement Division, that a waiver of the carryover percentage be granted. A waiver may be granted once every three years if it is determined that the request is reasonable and necessary or if supplemental appropriations become available.
8. In fiscal year 2008-09, the administration cap was increased to 12% of the allotment. If the allowable administrative expenditures for the current fiscal year are less than the 12% administration cap, the difference can be carried over, on June 30, to the following fiscal year. Any administration carryover will be added to the administration cap in the following fiscal year.
9. A LEA/charter school may request in writing to the Section Chief of Compensatory Education, that a waiver of the administrative and support percentage be granted. A waiver may be granted if it is determined that the request is reasonable and necessary due to extraordinary circumstances or fluctuations in funding.
10. Reallocation of current year funds: see special provision 7 of Title I Basic.
11. Any un-allotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.

**ESEA TITLE I – ARRA TARGETED GRANTS**

PROGRAM REPORT CODE: 141  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-141-XXX  
 CFDA #: 84.389A  
 PR/AWARD #'s: S389A090033, S389A090033A

TYPE: Dollars  
 TERM: Up to 27 months

PURPOSE: Provides funding to supplement LEAs with an especially high concentration of children from low-income families.

**ELIGIBILITY:**

A LEA/charter school is eligible for “Targeted funding”, if the number of formula children is at least 10 and at least 5% of the LEA’s/charter school’s total population ages 5 to 17 years.

**Application must be approved prior to the LEA/charter school receiving the allotment.**

FORMULA: Funds will be distributed to LEAs/charter schools based on the weighted child count. The U.S. Department of Education determines the allotment of funds to each LEA. Funds are allotted as part of the Title I Basic Grant.

**HOLD HARMLESS:**

1. A LEA/charter school shall receive not less than 95% of the amount of funds received last year if the number of formula children is not less than 30% of the total number of children ages 5 to 17.
2. A LEA/charter school shall receive not less than 90% of the amount of funds received last year if the number of formula children is between 15% and 30% of the total number of children ages 5 to 17.
3. A LEA/charter school shall receive not less than 85% of the amount of funds received last year if the number of formula children is below 15% of the total number of children ages 5 to 17.

**An LEA/charter school must meet the eligibility requirements in order for the hold harmless provisions to apply.**

**SPECIAL PROVISIONS:**

1. Each grant can be awarded for a period of time beginning July 1st and ending September 30th of the following year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carryover for an additional 12 months. Funds are potentially available to LEAs/charter schools for 27 months, provided a timely budget is submitted each year. (Carryover funds are subject to applicable carryover percentage limitations.)
2. Program Administrators will notify the School Allotments Section of any LEA / charter school that does not have an approved budget by October 31st. After this notification, funds carried forward will be reduced from the LEA’s / charter school’s budget.

3. If a LEA/charter school receives an allocation greater than \$50,000 (total of Title 1 Basic, Concentration, Education Finance Incentive and Targeted funds), the amount of funds available for carryover into the following year (after September 30th) is limited to 15% of the current year's allocation. Funds in excess of percentage limitations will revert and be reallocated to eligible LEAs/charter schools unless a waiver is received and approved. A LEA/charter school reverting carryover funds is ineligible for reallocated funds.
4. A LEA may request in writing to the Section Chief for Compensatory Education that a waiver of the carryover percentage be granted. A waiver may be granted once every three years if it is determined that the request is reasonable and necessary, or if supplemental appropriations become available.
5. In fiscal year 2008-09, the administration cap was increased to 12% of the allotment. If the allowable administrative expenditures for the current fiscal year are less than the 12% administration cap, the difference can be carried over, on June 30, to the following fiscal year. Any administration carryover will be added to the administration cap in the following fiscal year.
6. A LEA/charter school may request in writing to the Section Chief of Program Monitoring that a waiver of the administrative and support percentage be granted. A waiver may be granted if it is determined that the request is reasonable and necessary due to extraordinary circumstances or fluctuations in funding.
7. Reallocation of current year funds: see special provision 7 of Title I Basic.
8. Any un-allotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.
9. ARRA requires regular reporting to the federal government as part of the transparency and accountability assurances. For most PRCs, DPI will send the data to the federal government on behalf of the LEAs and charter schools using the monthly UERS data. However, there may be some occasions where the requested data is not readily available and will have to be independently collected from the LEAs and charter schools via a survey or BUD. All LEAs and charter schools that accept ARRA funds are required to comply with these data requests and reporting requirements by the stated deadline.

**ESEA TITLE I - TARGETED GRANTS**

PROGRAM REPORT CODE: 050  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-050-XXX  
 CFDA #: 84.010A

TYPE: Dollars  
 TERM: Up to 27 months

PURPOSE: Provides funding to supplement LEAs with an especially high concentration of children from low-income families.

**ELIGIBILITY:**

A LEA/charter school is eligible for “Targeted funding”, if the number of formula children is at least 10 and at least 5% of the LEA’s/charter school’s total population ages 5 to 17 years.

**Application must be approved prior to the LEA/charter school receiving the allotment.**

FORMULA: Funds will be distributed to LEAs/charter schools based on the weighted child count. The U.S. Department of Education determines the allotment of funds to each LEA. Funds are allotted as part of the Title I Basic Grant.

**HOLD HARMLESS:**

1. A LEA/charter school shall receive not less than 95% of the amount of funds received last year if the number of formula children is not less than 30% of the total number of children ages 5 to 17.
2. A LEA/charter school shall receive not less than 90% of the amount of funds received last year if the number of formula children is between 15% and 30% of the total number of children ages 5 to 17.
3. A LEA/charter school shall receive not less than 85% of the amount of funds received last year if the number of formula children is below 15% of the total number of children ages 5 to 17.

**An LEA/charter school must meet the eligibility requirements in order for the hold harmless provisions to apply.**

**SPECIAL PROVISIONS:**

1. Each grant can be awarded for a period of time beginning July 1st and ending September 30th of the following year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carryover for an additional 12 months. Funds are potentially available to LEAs/charter schools for 27 months, provided a timely budget is submitted each year. (Carryover funds are subject to applicable carryover percentage limitations.)
2. Program Administrators will notify the School Allotments Section of any LEA / charter school that does not have an approved budget by October 31st. After this notification, funds carried forward will be reduced from the LEA’s / charter school’s budget.

3. If a LEA/charter school receives an allocation greater than \$50,000 (total of Title 1 Basic, Concentration, Education Finance Incentive and Targeted funds), the amount of funds available for carryover into the following year (after September 30th) is limited to 15% of the current year's allocation. Funds in excess of percentage limitations will revert and be reallocated to eligible LEAs/charter schools unless a waiver is received and approved. A LEA/charter school reverting carryover funds is ineligible for reallocated funds.
4. A LEA may request in writing to the Section Chief for Compensatory Education that a waiver of the carryover percentage be granted. A waiver may be granted once every three years if it is determined that the request is reasonable and necessary, or if supplemental appropriations become available.
5. In fiscal year 2008-09, the administration cap was increased to 12% of the allotment. If the allowable administrative expenditures for the current fiscal year are less than the 12% administration cap, the difference can be carried over, on June 30, to the following fiscal year. Any administration carryover will be added to the administration cap in the following fiscal year.
6. A LEA/charter school may request in writing to the Section Chief of Compensatory Education that a waiver of the administrative and support percentage be granted. A waiver may be granted if it is determined that the request is reasonable and necessary due to extraordinary circumstances or fluctuations in funding.
7. Reallocation of current year funds: see special provision 7 of Title I Basic.
8. Any un-allotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.

**ESEA TITLE I - OFFICE OF EARLY LEARNING TARGETED ASSISTANCE**

PROGRAM REPORT CODE: 121  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-121-XXX  
 CFDA: 84.010A

TYPE: Dollars

TERM: Up to 27 months

**PURPOSE:** The ESEA (Title I) provides funds to local education agencies to address specific areas of needs for at-risk students. These targeted areas include the establishment and coordination of Pre-Kindergarten and Kindergarten Demonstration classrooms.

**ELIGIBILITY:**

LEAs are eligible based on specific targeted assistance approved by the Office of Early Learning. Initial application for competitive awards must be approved prior to the LEA receiving the allotment. Summary of LEA allotments for non-competitive awards will be provided semi-annually.

**FORMULA:**

These funds are allocated based on approved requests to operate best practice programs, according to the Office of Early Learning Demonstration Program Comprehensive Criteria and the costs of this approved state level initiative.

**SPECIAL PROVISIONS:**

1. Each LEA can be awarded funds for a period of time beginning July 1st and ending September 30th of the following fiscal year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over for an additional 12 months. Funds are potentially available to LEAs for 27 months.
2. Each LEA must maintain documentation of funds expended annually to support the approved targeted assistance.
3. Positions paid with these funds are subject to time and effort requirements.
4. Any un-allotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.

**ESEA TITLE I NEGLECTED & DELINQUENT (State Agency Programs)**

PROGRAM REPORT CODE: 050  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-050-XXX  
 CFDA #: 80.013A

TYPE: Dollars

TERM: Up to 27 months

PURPOSE: Provides funding to State agencies for the educational needs of children in institutions for neglected or delinquent, in adult correctional institutions, or attending community day programs.

ELIGIBILITY: Eligible State agencies are agencies that are responsible for providing free public education to children in neglected and delinquent institutions, in adult correctional institutions, and enrolled in community day programs.

FORMULA: Funding for eligible State agencies is determined by the U.S. Department of Education and is based on the federal formula which uses the child count of neglected and delinquent children and the State's average per pupil expenditures.

**SPECIAL PROVISION:**

Each grant is awarded for a period of time beginning July 1st and ending September 30th of the following year. The Tydings Amendment extends the grant period to 27 months by allowing unexpended funds as of September 30th to carry over an additional 12 months. Funds are potentially available to LEAs/charter schools for 27 months provided a budget is submitted each year.

**ESEA TITLE I - PART B – EVEN START**

PROGRAM REPORT CODE: 065  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-065-XXX  
 CFDA #: 84.213C

TYPE: Dollars

TERM: Up to 15 Months

PURPOSE: To provide supplemental funding to LEAs/charter schools to improve the education of children and adults by integrating early childhood education, adult education, and parenting education into a unified program.

**ELIGIBILITY:**

Each LEA/charter school is entitled to submit a Request for Funding Proposal (RFP). The actual LEAs/charter schools to be funded will be selected on the basis of criteria specified in the federal regulations governing the program.

**State Board of Education approval is required before the allocation of funds to selected LEAs/charter schools.**

FORMULA: N/A

**SPECIAL PROVISIONS:**

1. Each project may be awarded for up to four years. Funds are allocated annually and may continue for four years if program requirements are met and funds are available. First year grants and continuing grant funds are allocated after July 1 and must be spent by September 30th of the following year with no liquidation period allowed beyond that date.
2. Of the total funds available, up to 6% may be used by the Department of Public Instruction for administering the program and providing technical assistance.
3. Funding is discretionary and State Board of Education approval is required prior to allocation of new funds to selected LEAs/charter schools. No prior approval is required for LEAs/charter schools receiving continuation grants.
4. Any un-allotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.

**ESEA TITLE I - MIGRANT EDUCATION**

PROGRAM REPORT CODE: 051  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-051-XXX  
 CFDA #: 84.011A

TYPE: Dollars

TERM: 15 months

PURPOSE: Provides funding for programs meeting the special educational needs of migratory children of migrant agricultural workers and fishermen.

**ELIGIBILITY:**

Each LEA with an eligible population of students is entitled to funding.

**Application must be approved prior to the LEA receiving the allotment.**

**FORMULA:**

Every LEA that reports any Migratory Children for the purpose of establishing a Migrant Education Program will receive a minimum of the dollar equivalent to ½ of a teacher position converted at the statewide average salary for classroom teachers. A minimum of approximately 50 students is recommended for establishing a new program. Minimum funding for ongoing programs will also be the dollar equivalent to ½ of a teacher position converted at the statewide average salary for classroom teachers.

Funding is weighted based on the table below:

<b>Variable Factors</b>	<b>Weight</b>
Headcount (A.1)	5.0
Summer Headcount (A.2)	2.0
Participants in Program (B.1)	1.5
Priority for Service (Moved in Between 9/1 & 8/31 <i>and are also failing to meet state standards</i> )	1.0
Out-of-School Youth Served (Served OS)	1.0
Number of LEP who are also Migrant	2.0

Supplemental to this portion of the funding formula, an amount of \$100,000 will be reserved for an additional allotment to LEAs based on a percent to total of the LEAs' total dollars per average daily membership (state, federal and local) based on the most recent data available. This formula will be reversed in that the LEA with the lowest total dollars per ADM will receive the largest allotment.

## SPECIAL PROVISIONS:

1. Each project is awarded funds for a period of time beginning July 1st and ending September 30th of the following fiscal year with a 90-day liquidation period. Any funds unexpended or unencumbered on September 30<sup>th</sup> will revert to the State. Encumbrances must be liquidated by December 31<sup>st</sup>.
2. Reallocation of current year funds: Funding for the current year that is not allocated will be distributed to eligible LEAs / charter schools based on the current formula or program needs by February.
3. Any un-allotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.
4. The administrative cap on Migrant funds is 20%.

**ESEA TITLE I - ARRA SCHOOL IMPROVEMENT**

PROGRAM REPORT CODE: 142  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-142-XXX  
 CFDA #: 84.389A  
 PR/AWARD #'s: S389A090033, S389A090033A

TYPE: Dollars

TERM: Up to 27 months

PURPOSE: To provide assistance for schools, which have been identified for School Improvement, Corrective Action, and Restructuring.

ELIGIBILITY: To be eligible to receive these funds, a LEA/charter school must have one or more schools identified for school improvement under Title I.

**Applications must be approved prior to the LEA/charter school receiving the allotment.**

FORMULA: Funds will be allotted based on approval of an application for School Improvement (PRC105) funds submitted by the LEA or charter school on behalf of schools participating in Title I School Improvement. Each LEA or charter school will receive a base amount calculated as follows:

<u>Schools in Title I – School Improvement</u>	<u>Base Allotment</u>
1	\$30,000
2 – 5	\$45,000
6 – 10	\$60,000
10+	\$75,000

Each LEA or charter school will receive a per child amount calculated as follows:

<u>Title I Identification</u>	<u>Per Child Amount</u>
Schools in Sanction Year 5 and above	2.0 x ADM
Schools in Sanction Year 4	1.5 x ADM
Schools in Sanction Year 3	1.0 x ADM

The per pupil amount will be calculated using the best of 1 of 2 Actual ADM of Title I schools eligible to participate.

## SPECIAL PROVISIONS:

1. Each grant is awarded for a period of time beginning July 1<sup>st</sup> and ending September 30<sup>th</sup> of the following year. The Tydings amendment extends the grant period to 27 months by allowing unexpended funds as of September 30<sup>th</sup> to carry over an additional 12 months. Funds are potentially available to LEAs/charter

schools for 27 months provided there are schools identified for improvement and a budget is submitted each year

2. Program Administrators will notify the School Allotment Section of any LEA/charter school that does not have an approved budget by October 31<sup>st</sup>. After this notification, funds carried forward will be reduced from the LEAs/charter school's budget.
3. Any unallotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.
4. ARRA requires regular reporting to the federal government as part of the transparency and accountability assurances. For most PRCs, DPI will send the data to the federal government on behalf of the LEAs and charter schools using the monthly UERS data. However, there may be some occasions where the requested data is not readily available and will have to be independently collected from the LEAs and charter schools via a survey or BUD. All LEAs and charter schools that accept ARRA funds are required to comply with these data requests and reporting requirements by the stated deadline.
5. Funds may only be used for activities authorized under section 1116(b). If one or more schools in an LEA exit improvement, the LEA may reallocate unexpended carryover funds to any other schools in the LEA that remain in school improvement status.
6. If all schools in an LEA or charter school exit improvement status, unexpended fund balances as of September 30<sup>th</sup> will revert to the State for reallocation to LEAs in accordance with Section 1003 and Section 1126.

**ESEA TITLE I - SCHOOL IMPROVEMENT**

PROGRAM REPORT CODE: 105  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-105-XXX  
 CFDA #: 84.010A

TYPE: Dollars

TERM: Up to 27 months

PURPOSE: To provide assistance for schools, which have been identified for School Improvement, Corrective Action, and Restructuring.

ELIGIBILITY: To be eligible to receive these funds, a LEA/charter school must have one or more schools identified for school improvement under Title I.

**Applications must be approved prior to the LEA/charter school receiving the allotment.**

FORMULA: Funds will be allotted based on approval of an application for School Improvement (PRC105) funds submitted by the LEA or charter school on behalf of schools participating in Title I School Improvement. Each LEA or charter school will receive a base amount calculated as follows:

<u>Schools in Title I – School Improvement</u>	<u>Base Allotment</u>
1	\$30,000
2 – 5	\$45,000
6 – 10	\$60,000
10+	\$75,000

Each LEA or charter school will receive a per child amount calculated as follows:

<u>Title I Identification</u>	<u>Per Child Amount</u>
Schools in Sanction Year 5 and above	2.0 x ADM
Schools in Sanction Year 4	1.5 x ADM
Schools in Sanction Year 3	1.0 x ADM

The per pupil amount will be calculated using the best of 1 of 2 Actual ADM of Title I schools eligible to participate.

## SPECIAL PROVISIONS:

1. Each grant is awarded for a period of time beginning July 1<sup>st</sup> and ending September 30<sup>th</sup> of the following year. The Tydings amendment extends the grant period to 27 months by allowing unexpended funds as of September 30<sup>th</sup> to carry over an additional 12 months. Funds are potentially available to

LEAs/charter schools for 27 months provided there are schools identified for improvement and a budget is submitted each year.

2. Program Administrators will notify the School Allotment Section of any LEA/charter school that does not have an approved budget by October 31<sup>st</sup>. After this notification, funds carried forward will be reduced from the LEAs/charter school's budget.
3. Any un-allotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.
4. Funds may only be used for activities authorized under section 1116(b). If one or more schools in an LEA exit improvement, the LEA may reallocate unexpended carryover funds to any other schools in the LEA that remain in school improvement status.
5. If all schools in an LEA or charter school exit improvement status, unexpended fund balances as of September 30<sup>th</sup> will revert to the State for reallocation to LEAs in accordance with Section 1003 and Section 1126.

**ESEA TITLE I - ARRA SCHOOL IMPROVEMENT – 1003G FUNDS**

PROGRAM REPORT CODE: 143  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-143-XXX  
 CFDA #: 84.388A  
 PR/AWARD #: S388A090034A

TYPE: Dollars

TERM: Up to 27 months

**PURPOSE:**

School Improvement Grants, authorized under section 1003(g) of Title I of the Elementary and Secondary Education Act of 1965 (Title I or ESEA), are grants, through State educational agencies (SEAs), to local educational agencies (LEAs) for use in Title I schools identified for improvement, corrective action, or restructuring that demonstrate the greatest need for the funds and the strongest commitment to use the funds to provide adequate resources in order to raise substantially the achievement of their students so as to enable the schools to make adequate yearly progress and exit improvement status. Under the final requirements, as amended through the interim final requirements published in the Federal Register in January, 2010, school improvement funds are to be focused on each State's "Tier I" and "Tier II" schools. Tier I schools are a State's persistently lowest-achieving Title I schools in improvement, corrective action, or restructuring and, if a State so chooses, certain Title I eligible elementary schools that are as low achieving as the State's other Tier I schools. Tier II schools are a State's persistently-lowest achieving secondary schools that are eligible for, but do not receive, Title I, Part A funds and, if a State so chooses, certain additional Title I eligible secondary schools that are as low achieving as the State's other Tier II schools or that have had a graduation rate below 60 percent over a number of years. An LEA may also use school improvement funds in Title I schools in improvement, corrective action, or restructuring that are not identified as persistently lowest-achieving schools and, if a State so chooses, certain additional Title I eligible schools ("Tier III schools"). In the Tier I and Tier II schools an LEA chooses to serve, the LEA must implement one of four school intervention models: turnaround model, restart model, school closure, or transformation model.

**ELIGIBILITY:** To be eligible to receive these funds, a LEA/charter school must have one or more schools identified as Tier I, II, or Tier III. Grants will be made based on a competitive process. The actual recipients to be funded are selected on the basis of criteria specified in the School Improvement Grants Application and Federal regulations.

**FORMULA:** Total funding to LEAs/charter schools is subject to receipt of approved allocations from the U.S. Department of Education.

## SPECIAL PROVISIONS:

1. Each grant is awarded for a period of time beginning July 1<sup>st</sup> and ending September 30<sup>th</sup> of the following year. The Tydings amendment extends the grant period to 27 months by allowing unexpended funds as of September 30<sup>th</sup> to carry over an additional 12 months. Funds are potentially available to LEAs/charter schools for 27 months provided a budget is submitted each year. The LEA budget for each year may not exceed the number of schools it commits to serve multiplied by \$2,000,000.
2. The SEA will determine whether to renew an LEA's SIG grant if one or more schools within the LEA are not meeting the goals identified for the interventions an LEA is implementing, student achievement outcomes, and leading indicators as described in the Assurances.
3. A waiver for section 421(b) of the General Education Provisions Act (20 U.S.C. § 1225(b)) to extend the period of availability of school improvement funds for the SEA and all of its LEAs to September 30, 2013 has been approved.
4. ARRA requires regular reporting to the federal government as part of the transparency and accountability assurances. For most PRCs, DPI will send the data to the federal government on behalf of the LEAs and charter schools using the monthly UERS data. However, there may be some occasions where the requested data is not readily available and will have to be independently collected from the LEAs and charter schools via a survey or BUD. All LEAs and charter schools that accept ARRA funds are required to comply with these data requests and reporting requirements by the stated deadline.

**ESEA TITLE I - SCHOOL IMPROVEMENT – 1003G FUNDS**

PROGRAM REPORT CODE: 117  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-117-XXX  
 CFDA #: 84.77A  
 PR/AWARD #: S377A090034A

TYPE: Dollars  
 TERM: Up to 27 months

**PURPOSE:**

School Improvement Grants, authorized under section 1003(g) of Title I of the Elementary and Secondary Education Act of 1965 (Title I or ESEA), are grants, through State educational agencies (SEAs), to local educational agencies (LEAs) for use in Title I schools identified for improvement, corrective action, or restructuring that demonstrate the greatest need for the funds and the strongest commitment to use the funds to provide adequate resources in order to raise substantially the achievement of their students so as to enable the schools to make adequate yearly progress and exit improvement status. Under the final requirements, as amended through the interim final requirements published in the Federal Register in January, 2010, school improvement funds are to be focused on each State's "Tier I" and "Tier II" schools. Tier I schools are a State's persistently lowest-achieving Title I schools in improvement, corrective action, or restructuring and, if a State so chooses, certain Title I eligible elementary schools that are as low achieving as the State's other Tier I schools. Tier II schools are a State's persistently-lowest achieving secondary schools that are eligible for, but do not receive, Title I, Part A funds and, if a State so chooses, certain additional Title I eligible secondary schools that are as low achieving as the State's other Tier II schools or that have had a graduation rate below 60 percent over a number of years. An LEA may also use school improvement funds in Title I schools in improvement, corrective action, or restructuring that are not identified as persistently lowest-achieving schools and, if a State so chooses, certain additional Title I eligible schools ("Tier III schools"). In the Tier I and Tier II schools an LEA chooses to serve, the LEA must implement one of four school intervention models: turnaround model, restart model, school closure, or transformation model.

**ELIGIBILITY:** To be eligible to receive these funds, a LEA/charter school must have one or more schools identified as Tier I, II, or Tier III. Grants will be made based on a competitive process. The actual recipients to be funded are selected on the basis of criteria specified in the School Improvement Grants Application and Federal regulations.

**FORMULA:** Total funding to LEAs/charter schools is subject to receipt of approved allocations from the U.S. Department of Education.

## SPECIAL PROVISIONS:

1. Each grant is awarded for a period of time beginning July 1<sup>st</sup> and ending September 30<sup>th</sup> of the following year. The Tydings amendment extends the grant period to 27 months by allowing unexpended funds as of September 30<sup>th</sup> to carry over an additional 12 months. Funds are potentially available to LEAs/charter schools for 27 months provided a budget is submitted each year. The LEA budget for each year may not exceed the number of schools it commits to serve multiplied by \$2,000,000.
2. The SEA will determine whether to renew an LEA's SIG grant if one or more schools within the LEA are not meeting the goals identified for the interventions an LEA is implementing, student achievement outcomes, and leading indicators as described in the Assurances.
3. A waiver for section 421(b) of the General Education Provisions Act (20 U.S.C. § 1225(b)) to extend the period of availability of school improvement funds for the SEA and all of its LEAs to September 30, 2013 has been approved.

**IDEA TITLE VI-B (2004) - ARRA**

PROGRAM REPORT CODE: 144  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-144-XXX  
 CFDA: 84.391A  
 PR/AWARD #: H391A090092, H391A090092A

TYPE: Dollars

TERM: Up to 30 months (obligate all funds by 9/30/11)

PURPOSE: The ARRA-IDEA provides funds to help local education agencies, charter schools and state-operated programs to initiate, expand, and continue special education and related services to children with disabilities ages 3 through 21. The IDEA recovery funds under ARRA provide an opportunity for LEAs, charter schools and state-operated programs to implement innovative strategies to improve outcomes for children and youths with disabilities while stimulating the economy.

**ELIGIBILITY:**

Each LEA/charter school/state-operated program was entitled to a one time funding (two 50% allocations) based on eligibility established under section 613(a) of the IDEA.

**FORMULA:**

Base payments: Each LEA shall receive a base amount equal to a proportional share of 75% of the FY 1999-2000 IDEA Title VI-B grant. The LEA's portion is calculated based on the December 1998 child count.

Funds remaining after distribution of the base shall be allocated as follows:

1. Eighty-five percent (85%) of remaining funds shall be allotted to those agencies on the basis of ADM of children enrolled in public and private elementary and secondary schools within each agency's jurisdiction.
2. Fifteen percent (15%) of remaining funds shall be allotted to those agencies in accordance with their relative numbers of children living in poverty, as determined by the December 1st free lunch count.

**SPECIAL PROVISIONS:**

1. Each LEA, charter school and state-operated program was awarded an initial allocation (50% of total allocation) in April 2009. Costs are allowable beginning February 17, 2009, the effective date of the grant. Eligibility for the funds was based on eligibility established by the LEA for FY 2008 IDEA funds.
2. The second 50% allocation was awarded in October 2009. Eligibility for the funds was based on signed FY 2009 IDEA Assurances and Certifications.

3. Unused funds not encumbered by June 30<sup>th</sup> are carried over to next year. All ARRA funds must be obligated by September 30, 2011.
4. Each LEA/charter school/state-operated program must maintain documentation that it has spent IDEA, Part B recovery funds in accordance with the IDEA law.
5. Positions paid with these funds are subject to time and effort requirements.
6. ARRA requires regular reporting to the federal government as part of the transparency and accountability assurances. For most PRCs, DPI will send the data to the federal government on behalf of the LEAs, charter schools and state-operated programs using the monthly UERS data. However, there may be some occasions where the requested data is not readily available and will have to be independently collected from the LEAs, charter schools and state-operated programs via a survey or BUD. All LEAs, charter schools and state-operated programs that accept ARRA funds are required to comply with these data requests and reporting requirements by the stated deadline.

**IDEA TITLE VI-B (2004)**

PROGRAM REPORT CODE: 060  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-060-XXX  
 CFDA: 84.027A

TYPE: Dollars

TERM: Up to 27 months

PURPOSE: Provides funding to initiate, expand, and continue special education to handicapped children ages 3 through 21.

ELIGIBILITY: Each LEA/charter school/state operated program must establish their eligibility under section 613 of the IDEA. A plan must be submitted that provides assurances that the LEA/charter school/state-operated program meets each of the conditions in section 613(a) of the IDEA. Funds are available to provide services for eligible children with disabilities currently served and who subsequently enroll or are identified during the year, or to conduct child find activities if no children with disabilities are currently served by the LEA/charter school/state-operated program  
**Grant application with established eligibility must be approved prior to the LEA/charter school/state-operated program receiving the allotment.**

## FORMULA:

Base payments: Each LEA shall receive a base amount equal to a proportional share of 75% of the FY 1999-2000 IDEA Title VI-B grant. The LEA's portion is calculated based on the December 1998 child count.

Base payment adjustments for any fiscal year after the base year fiscal year are adjusted:

1. If a new LEA or charter school is created, the LEA's base allocation shall be divided among the new LEA or charter school and affected LEA based on the relative numbers of children with disabilities ages 3 through 21 contained in the most current December 1st child count.
2. If one or more LEAs are combined into a single new LEA, the base allocations of the merged LEAs shall be combined.
3. If the LEA's geographic boundaries or administrative responsibility for providing services to children with disabilities ages 3 through 21 are changed, the base allocations of affected LEAs shall be redistributed among affected LEAs. The base will then be based on the relative number of children with disabilities from ages 3 through 21 contained in the most current December 1st child count.

Funds remaining after distribution of the base shall be allocated as follows:

1. Eighty-five percent (85%) of remaining funds shall be allotted to those agencies on the basis of ADM of children enrolled in public and private elementary and secondary schools within each agency's jurisdiction.

2. Fifteen percent (15%) of remaining funds shall be allotted to those agencies in accordance with their relative numbers of children living in poverty, as determined by the December 1st free lunch count.

Set Aside and Risk Pool. If the actual amount set aside for State administration is over \$850,000 and the State will use funds from its award to support a high risk pool, the maximum amount the state may set aside is 10% of the grant award. North Carolina supports a Risk Pool and Out-of-District Placement Program. These funds may also be used for state level activities in the description of the Use of Funds under Part B of the Individuals with Disabilities Education Act – 20 U. S C. 1411(e)(5); 34CFR, Section 300.171.

#### SPECIAL PROVISIONS:

1. Each project can be awarded funds for a period of time beginning July 1st and ending September 30th of the following fiscal year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over for an additional 12 months. Funds are potentially available to LEAs/charter schools/state operated programs for 27 months, provided a timely project application is submitted each year.
2. Each LEA/charter school/state operated program must maintain documentation that it has spent the same minimum amount (on the average) for the education of its children with disabilities as the LEA/charter school/state operated program has spent for all the children in the LEA/charter school/state operated program taken as a whole before any IDEA VI-B funds are used for the education of children with disabilities.
3. Program Administrators will notify the School Allotments Section of any LEA/charter school that does not have an approved budget by October 31st. After this notification, funds carried forward will be reduced from the LEA's/charter school's budget based on the results of a Hearing.
4. Any unallotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.
5. The Individuals with Disabilities Education Improvement Act (2004) IDEA requires LEAs /Charters determined to have significant disproportionality as described under 300.646(b) (2) to reserve the maximum amount of funds (15%) under section 613(f) of the Act to provide comprehensive coordinated early intervening services to serve child in the LEA/Charter, particularly, but not exclusively, children in those groups that are significantly disproportionate under 300.646(a) of the IDEA regulation. The IDEA also requires the LEA to review and, if appropriate, revise policies, practices, and procedures as describe under 300.646(b)(1) of the IDEA regulations.
6. Funds are transferred under two conditions: (1) LEAs with significant disproportionality will have 15% of their current year total IDEA allocation (PRC 049 and 060) transferred to Program Report Code 070 – Coordinated Early Intervening Services – IDEA 2004. This fund transfer directive comes from the Exceptional Children Division annually of all LEAs with significant disproportionality. (2) LEAs may requests permission for “permissive use” of up to and including 15% of their total IDEA 2004 allocation (PRC 049 and 060) for Coordinated Early Intervening Services from the Exceptional Children Division. The amounts approved by the Exceptional Children Division are subtracted from the LEAs PRC 060 current allotment by the School Business Services – School Allotments Section before funds are allocated.

7. During the first 60 school days, if a child with disabilities who was included in the prior fiscal year December 1 child counts leaves or returns to a LEA from a charter school, the share of funds allocated for that child will be adjusted. In order to initiate the transfer of funds, the LEA or charter school receiving the students must notify the School Allotments
  
8. Section in writing by the 10th of the month after the child transferred. Notification must include the child's name, CECAS Unique ID, birth date, the LEA or charter school from which the child came, the date the student transferred and the beginning date of the current school year.

**IDEA VI-B (2004) – CAPACITY BUILDING AND IMPROVEMENT (Ending)**

PROGRAM REPORT CODE: 044  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-044-XXX  
 CFDA #: 84.027A

TYPE: Dollars

TERM: 27 Months

**PURPOSE:**

To improve results for children with disabilities through:

- Personnel development and support in research-based improvement strategies such as literacy and math instruction, Positive Behavior Intervention and Support, transition activities, and autism training.
- Direct services to children who are expelled or in correctional facilities, state operated programs, or charter schools.
- Improvement strategies under the State's Improvement Plan, such as co-teaching, inclusion, early intervention, safe schools, and mentoring.
- Adoption of promising practices, materials, and assistive technology.
- Implementation of interagency agreements.
- Problem solving between parents and school personnel.

**ELIGIBILITY:** All LEAs, charter schools and state operated programs are eligible.  
**Application must be approved prior to the LEA receiving the allotment.**

**FORMULA:**

The amount of funding is determined by the LEA's/charter school's/state operated program's December 1, IDEA VI-B child count. A funding factor is developed by dividing the total funds available by the total child count. The per-child factor is then multiplied by each LEA's/charter school's/state operated program's child count. The individual distribution is then adjusted to equal a minimum allocation of \$1,000.

The allocation is based on \$1.00 per identified child with a disability with \$1,000 minimum for LEAs with three or more schools with a maximum not to exceed \$10,000. LEAs with one or two schools have a \$500 minimum allocation. Funding will be based on the December 1 child count for LEAs being monitored.

**SPECIAL PROVISIONS:**

1. Each project is awarded funds for a period of time beginning July 1st and ending September 30th of the following fiscal year. The Tydings Amendment extends the grant period for an additional 12 months; therefore, each grant covers a period of 27 months. Funds will be available to LEAs/charter schools/state operated programs for 27 months provided a project is submitted each year.

2. Program Administrators will notify the School Allotments Section of any LEA/charter school that does not have an approved budget by October 31st. After this notification, funds carried forward will be reduced from the LEA's/charter school's budget.
3. Any unallotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.
4. Positions paid with these funds are subject to time and effort requirements.

**IDEA VI B - CHILDREN WITH DISABILITIES – RISK POOL**

PROGRAM REPORT CODE: 114  
 UNIFORM CHART OF ACCOUNTS: XXXX-114-XXX  
 CFDA #: 84.027A

TYPE: Dollars

TERM: July 1 – June 30

PURPOSE: Provides IDEA, Title VI, Part B funds to “high need” students with disabilities served in local education agencies (LEAs). These funds are to be used for the student’s special education and related service needs.

**ELIGIBILITY:**

A reserve has been established for LEAs with “high needs” students with disabilities. The costs of the educational services must impact the resources of the LEA serving the student. The allocation is based on an approved budget submitted by the LEA. The LEA must address the following information before eligibility can be determined for funding:

1. Address the financial impact on the LEA.
2. Address ranking on Poverty Index, number of children over the 12.5% cap, and receipt of local funding.
3. Number of “high needs” students with disabilities currently being served.
4. Ensure that the cost of the student is three times the average per pupil expenditure.
5. State Exceptional Children, IDEA, Title VI, Part B, and Average Daily Membership (ADM) allocation must be documented on the Risk Pool application for the student submitted for funding.
6. Address the additional special education and related services needed for each student.
7. There is no “carry-over” provision. Funds must be spent in the school year allocated.
8. A Summary list of LEA/charter school allotments will be provided semi-annually to the State Board of Education.

**FORMULAS:**

All per child allotments (average daily membership (ADM), state aid and federal IDEA 611) are subtracted from the costs of special education and related services on an approved application. The remaining funds are allocated to the LEA/charter school.

**SPECIAL PROVISIONS:**

1. Funds cannot be transferred into or out of this category.
2. Funds may only be expended according to the approved budget.
3. An application must be submitted annually for each student with disabilities to determine eligibility.
4. The prior per pupil expenditure for Title I calculations will be used to determine eligibility. (NCLB§9101(2); 20 U.S.C. §7801(2)).

5. Special consideration will be given to those LEAs serving a large population of “high needs” students, with counts over 12.5% cap, with high percentage ranking on the poverty index, and those which receive no local funding.
6. Funds are child specific and all unused funds must be returned.
7. All withdrawals must be reported within ten days.
8. Indirect cost cannot be charged to this program.
9. Positions paid with these funds are subject to time and effort requirements.

**IDEA VI-B (2004) – COORDINATED EARLY INTERVENING SERVICES (CEIS)**

PROGRAM REPORT CODE: 070

UNIFORM CHART OF ACCOUNTS: XXXX-070-XXX  
CFDA #: 84.173A

TYPE: Dollars

TERM: Up to 27 months

**PURPOSE:**

CEIS is used to develop and implement coordinated, early intervening services, which may include interagency financing structures, for students in kindergarten through grade 12 (with a particular emphasis on students in kindergarten through grade 3) who have not been identified as needing special education or related services but who need additional academic and behavioral support to succeed in a general education environment. IDEA federal regulations mandates 15% of an LEA, charter school, or state-operated program's total annual IDEA allocation (PRC 049 and 060) is set-aside for any LEA, charter school or state-operated program with significant disproportionality based on race and ethnicity with respect to the identification of children with disabilities including particular impairment; the placement in particular educational settings of children; and the incidence, duration and types of disciplinary actions, including suspension and expulsions. These funds cannot be used for the special education and/or related services for children with disabilities. These funds are for students in kindergarten through grade twelve at risk of placement in special education. **This legislation also requires states to monitor the expenditure of CEIS funds. Services provided for non-disabled students for CEIS should be purchased through Program Report Code (PRC) 070.**

**ELIGIBILITY:** All LEAs, charter schools, state-operated programs mandated to use 15% of their total IDEA funds (PRC 049 and 060) and LEAs, charter schools and state-operated programs electing to use up to and including 15% of their total IDEA funds (PRC 049 and 060) permissively for CEIS are eligible.

**FORMULAS:** The formula for mandated CEIS is 15% of the LEA, charter school or state-operated program's current year total IDEA allotment (PRC 049, 060) transferred to PRC 070. The formula for permissive CEIS is up to and including 15% of the LEA, charter school or state-operated program's current year total IDEA allotment (PRC 049 and 060) transferred to PRC 070.

**SPECIAL PROVISIONS:**

1. For non-mandatory CEIS LEAs, funds can be reverted (between July 1 and January 15 of the initial fiscal year of the allocation) from PRC 070 to PRC 060. Funds may only be expended according to the approved budget.
2. Expenditures for Coordinated Early Intervening Services should be from PRC 070.
3. Coordinated Early Intervening Service expenditures must be based on scientifically research

based programs selected by the LEA, charter school or state-operated program for students in general education at risk of placement in special education. The 15% provided for these programs is federally mandated for any LEA, charter school or state-operated with significant disproportionality by disability, or race-ethnicity, but this does not prohibit other LEAs, charter schools or state-operated programs from using up to and including 15% of their total IDEA funds for Coordinated Early Intervening Services.

4. Funds are specific and all unused funds not encumbered by June 30th are carried over to next year. Although calculated on the total IDEA allocation (PRC 049 and 060), the 15% for Coordinated Early Intervening Services is taken from the initial PRC 060 allocation, thus reducing the initial allocation annually. The 15% for Coordinated Early Intervening Services cannot be based on carry-over funds from prior year initial allotments. Each project can be awarded funds for a period of time beginning July 1st and ending September 30th of the following year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over for an additional 12 months. Funds are potentially available to LEAs for 27 months, provided a timely project application is submitted each year. The 15% of total IDEA funds for Coordinated Early Intervening Services follows the same liquidation period. Federal regulations require 15% be set-aside annually for all mandated CEIS.
5. Expenditures under this PRC will be used to determine the percentage of funds expended on students in general education to prevent placement in special education.
6. Exceptional Children Division will, after review of necessary data, assign technical assistance to LEAs not following state and federal guidelines regarding the use of these federal funds.
7. Positions paid with these funds are subject to time and effort requirements.

**IDEA TITLE VI-B PRESCHOOL - ARRA**

PROGRAM REPORT CODE: 145  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-145-XXX  
 CFDA: 84.392A  
 PR/AWARD #: H392A090096, H392A090096A

TYPE: Dollars

TERM: Up to 30 months (obligate all funds by 9/30/11)

PURPOSE: The ARRA-IDEA provides funds to help local education agencies initiate, expand, and continue special education and related services to children with disabilities ages 3 through 5. The IDEA recovery funds under ARRA provide an opportunity for LEAs to implement innovative strategies to improve outcomes for children with disabilities while stimulating the economy.

**ELIGIBILITY:**

Each LEA was entitled to funding if they have established their eligibility under section 613(a) of IDEA.

**FORMULA:**

Base payments: Each LEA shall receive a base amount equal to a proportional share of 75% of the FY 1997-98 IDEA Title VI-B Preschool grant. The LEA's portion is calculated based on the December 1996 child count.

Funds remaining after distribution of the base shall be allocated as follows:

1. Eighty-five percent (85%) of remaining funds shall be allotted on the basis of ADM of children enrolled in public and private elementary and secondary schools within each agency's jurisdiction.
2. Fifteen percent (15%) of the remaining funds shall be allotted in accordance with the relative numbers of children living in poverty, as determined by the December 1st free lunch count.

**SPECIAL PROVISIONS:**

1. Each LEA was awarded an initial allocation (50% of total allocation) in April 2009. Costs are allowable beginning February 17, 2009, the effective date of the grant. Eligibility for the funds was based on eligibility established by the LEA for FY 2008 IDEA Preschool funds.
2. The second 50% allocation was awarded in October 2009. Eligibility for the funds was based on signed FY 2009 IDEA Assurances and Certifications.
3. Unused funds not encumbered by June 30<sup>th</sup> are carried over to next year. All ARRA funds

must be obligated by September 30, 2011.

4. Each LEA must maintain documentation that it has spent IDEA, Part B recovery funds in accordance with the IDEA law.
5. Positions paid with these funds are subject to time and effort requirements.
6. ARRA requires regular reporting to the federal government as part of the transparency and accountability assurances. For most PRCs, DPI will send the data to the federal government on behalf of the LEAs, charter schools and state-operated programs using the monthly UERS data. However, there may be some occasions where the requested data is not readily available and will have to be independently collected from the LEAs, charter schools and state-operated programs via a survey or BUD. All LEAs, charter schools and state-operated programs that accept ARRA funds are required to comply with these data requests and reporting requirements by the stated deadline.

**IDEA VI-B (2004) - PRESCHOOL**

PROGRAM REPORT CODE: 049  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-049-XXX  
 CFDA #: 84.173A

TYPE: Dollars

TERM: Up to 27 months

PURPOSE: Provides funds to initiate and expand preschool special education programs for children with disabilities ages 3-5.

**ELIGIBILITY:**

Each LEA that has established its eligibility under section 613 of IDEA is eligible for funds to provide services for eligible children with disabilities ages 3-5.

**A grant application must be submitted and approved prior to the LEA receiving the allotment.**

**FORMULA:**

Base payments: Each LEA shall receive a base amount equal to a proportional share of 75% of the FY 1997-98 IDEA Title VI-B Preschool grant. The LEA's portion is calculated based on the December 1996 child count.

Base payment adjustments: For any fiscal year after the base year fiscal year:

1. If a new LEA is created, the LEA's base allocation shall be divided among the new LEA and the affected LEA based on the relative numbers of children with disabilities ages 3 through 5 contained in the most current December 1 child count.
2. If one or more LEAs are combined into a single new LEA, the base allocations of the merged LEAs shall be combined.
3. If two or more LEAs with geographical boundaries or administrative responsibilities for providing services to children ages 3 through 5 changes, the base allocations of the affected LEAs shall be redistributed among affected LEAs. This redistribution is based on the relative numbers of children with disabilities ages 3 through 5 contained in the most current December 1st Child Count.

Funds remaining after distribution of the base shall be allocated as follows:

1. Eighty-five percent (85%) of remaining funds shall be allotted on the basis of ADM of children enrolled in public and private elementary and secondary schools within each agency's jurisdiction.
2. Fifteen percent (15%) of the remaining funds shall be allotted in accordance with the relative numbers of children living in poverty, as determined by the December 1st free lunch count.

## SPECIAL PROVISIONS:

1. Each project can be awarded funds for a period of time beginning July 1st and ending September 30th of the following fiscal year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over for an additional 12 months. Funds are potentially available to LEAs for 27 months provided a project is submitted each year.
2. Funds must be used to supplement state, local and other federal funds and not to supplant those funds.
3. Program Administrators will notify the School Allotments Section of any LEA/charter school that does not have an approved budget by October 31st. After this notification, funds carried forward will be reduced from the LEA's budget.
4. Any un-allotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.
5. Positions paid with these funds are subject to time and effort requirements.

**IDEA VI-B PRESCHOOL TARGETED ASSISTANCE**

PROGRAM REPORT CODE: 119  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-119-XXX  
 CFDA: 84.173A

TYPE: Dollars

TERM: Up to 27 months

**PURPOSE:** The Individuals with Disabilities Education Act 2004 provides funds to local education agencies specific areas of need for students with disabilities. These targeted areas include the establishment and coordination of preschool demonstration classes and preschool assessment centers, preschool program network consultants and training, improving preschool LRE opportunities for handicapped preschoolers, and improving LEA family involvement activities.

**ELIGIBILITY:**

LEAs are eligible based on specific targeted assistance approved by the Office of Early Learning.

Initial application for competitive awards must be approved by the State Board of Education prior to the LEA receiving the allotment. A summary list of LEA allotments for non-competitive awards will be provided to the State Board of Education semi-annually.

**FORMULA:**

These funds are allocated based on state level assessment of local needs, approved requests to operate best practice programs, and the costs of approved state level initiatives.

**SPECIAL PROVISIONS:**

1. Each LEA can be awarded funds for a period of time beginning July 1st and ending September 30th of the following fiscal year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over for an additional 12 months. Funds are potentially available to LEAs for 27 months.
2. Each LEA must maintain documentation of funds expended annually to support the approved special needs targeted assistance.
3. Positions paid with these funds are subject to time and effort requirements.
4. Any unallotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.
5. The sub-grant award serves the FY 2011-12, FY 2012-13 and FY 2013-14 school years.

**IDEA VI-B – SPECIAL EDUCATION STATE IMPROVEMENT GRANT**

PROGRAM REPORT CODE: 082  
 UNIFORM CHART OF ACCOUNTS: XXXX-082-XXX  
 CFDA #: 84.323A

TYPE: Discretionary Funds

TERM: Up to 27 months

**PURPOSE:**

The Special Education State Improvement Grant (State Personnel Development Grant) provides personnel development and program support services to significantly improve the performance and success of students with disabilities in local education agencies (LEA), charter schools and state-operated programs in North Carolina. Funds support the implementation of researched-based practices in reading/writing and/or mathematics using sites and centers established by grant recipients.

The four major goals established to accomplish the purpose of Special Education State Improvement Grant are to:

1. Improve basic skills performance for students with disabilities.
2. Increase the percentage of qualified teachers of students with disabilities.
3. Increase graduation rates and decrease drop-out rates of students with disabilities.
4. Improve parent satisfaction with, and support of, school services.

**ELIGIBILITY:** Each LEA, charter school, and state-operated program is provided an opportunity to submit an application to be a State Improvement Project (SIP) Best Practice Reading/Writing Center/Site and/or Mathematics Center/Site.

Initial applications for funding must be approved by the State Board of Education prior to the LEA/charter school receiving the allotment.

**FORMULAS:** Funds are allocated annually to LEAs, charter schools and state-operated programs with approved applications. The amount of funds allocated is based on state level assessment of local needs, and approved centers and sites. The applicant's service area may include one or more LEAs. (site or center)

**SPECIAL PROVISIONS:**

1. Funds may not be transferred out of this PRC.
2. Funds are allocated beginning July 1 of each year.
3. Each LEA, charter school and state-operated program with an approved application which is part of the State Improvement Project must maintain documentation of funds expended annually to support the implementation of their SIP application plan.

4. Funds are specific and all unused funds not encumbered by June 30th are carried over to next year.
5. Each project can be awarded funds for a period of time beginning July 1 and ending September 30th of the following year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry-over for an additional 12 months.
6. Positions paid with these funds are subject to time and effort requirements.
7. A summary list of LEAs/charter school allotments will be provided semi-annually to the State Board of Education.

**IDEA TITLE VI-B –SPECIAL NEEDS TARGETED ASSISTANCE**

PROGRAM REPORT CODE: 118  
UNIFORM CHART OF ACCOUNTS CODE: XXXX-118-XXX  
CFDA: 84.027A

TYPE: Dollars

TERM: Up to 27 months

**PURPOSE:** The Individuals with Disabilities Education Act 2004 provides funds to local education agencies, charter schools and state-operated programs for specific areas of need for students with disabilities. These targeted areas include the establishment and coordination of reading/writing coordinators and training, math coordinators and training, early literacy activities, Positive Behavior Interventions and Support coordinators and training, Responsiveness to Instruction coordinators and training, related services support, autism and low incidence support and training and transition training.

**ELIGIBILITY:**

All LEA/charter school/state-operated programs are eligible based on specific targeted assistance approved by the Exceptional Children Division.

Initial application for competitive awards must be approved by the State Board of Education prior to the LEA receiving the allotment. A summary list of LEA allotments for non-competitive awards will be provided to the State Board of Education semi-annually.

**FORMULA:**

These funds are allocated based on state level assessment of local needs, approved requests to operate academic and behavioral programs, and the costs of approved state level initiatives.

**SPECIAL PROVISIONS:**

1. Each LEA, charter school and state-operated program can be awarded funds for a period of time beginning July 1st and ending September 30th of the following fiscal year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over for an additional 12 months. Funds are potentially available to LEAs/charter schools/state-operated programs for 27 months.
2. Each LEA/charter school/state-operated program must maintain documentation of funds expended annually to support the approved special needs targeted assistance.
3. Funds are specific and all unused funds not encumbered by June 30<sup>th</sup> are carried over to the next year.
4. Positions paid with these funds are subject to time and effort requirements.

**LEARN AND SERVE AMERICA**

**Grant is now administered by NC Communities in Schools. NCDPI will not receive funds FY 10-11 funds or provide oversight for new funds in the grant.**

PROGRAM REPORT CODE: 064  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-064-XXX  
 CFDA #: 94.004

TYPE: Dollars

TERM: Up to 12 months

PURPOSE: Provides funding for developing and implementing service-learning programs designed to increase achievement; youth involvement, and other positive student outcomes by participating in experiential, inquiry-based learning from community issues and activities. Additionally, it is for training teachers and assisting them in developing service-learning curricula to be integrated into academic programs; and for encouraging and increasing volunteer support services.

## ELIGIBILITY:

LEAs/charter schools implementing system-wide Service Learning projects are eligible for funding on a competitive basis. Funding is dependent upon recommendation to and approval by the State Board of Education, and the actual receipt of funding from the national funding agency, The Corporation for National and Community Service.

FORMULA: N/A

## SPECIAL PROVISIONS:

1. LEAs/Charter Schools funds must be expended from the award date through June 30th each year of the program. No liquidation period is allowed beyond June 30th.
2. Projects may be funded for up to three years, but are dependent upon compliance with the requirements of the grant, and recommendation to and approval by the State Board of Education. All sub-grantees are subject to an annual review of programmatic, financial, and any other fiduciary aspect of each program.
3. A local match of in-kind and/or cash contribution is required on a dollar for dollar basis.
4. LEAs/Charters schools must acquire approval for the purchase of equipment having an acquisition cost of \$1,000 or more.
5. At the SEA level, any unallotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.

**MATH AND SCIENCE PARTNERSHIP**

PROGRAM REPORT CODE: 112  
UNIFORM CHART OF ACCOUNTS CODE: XXXX-112-XXX  
CFDA #: 84.366B

TYPE: Dollars

TERM: Up to 27 months

**PURPOSE:**

The Mathematics and Science Partnership (MSP) program is intended to increase the academic achievement of students in mathematics and science by enhancing the content knowledge and teaching skills of classroom teachers. Partnerships between high-need school districts and the science, technology, engineering, and mathematics (STEM) faculty in institutions of higher education are at the core of these improvement efforts. Other partners may include state education agencies, public charter schools or other public schools, businesses, and nonprofit or for-profit organizations concerned with mathematics and science education.

**ELIGIBILITY:**

LEAs, Charter and Private Schools, or any public or private organization are eligible to apply for funding. Grants will be made based on a competitive process. The actual recipient to be funded will be selected on the basis of criteria specified in the Request for Proposal (RFP) and Federal regulations.

**State Board of Education approval is required before the allocation of funds to selected LEAs/charter schools.**

FORMULA: N/A

**SPECIAL PROVISION:**

Any un-allotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.

**McKINNEY VENTO HOMELESS ARRA GRANTS**

PROGRAM REPORT CODE: 148  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-148-XXX  
 CFDA #: 84.387A  
 PR/AWARD #: S387A090034

TYPE: Dollars

TERM: Up to 24 months  
 July 1, 2009 – June 30, 2011, one-time Allotment

PURPOSE: To enable LEAs to provide services to homeless children and youth in a time of economic crisis and to meet the U.S. Department of Education's four goals for expending ARRA funds:

- (1) Spend funds quickly to save and create jobs
- (2) Ensure transparency, reporting, and accountability
- (3) Thoughtfully invest one-time funds
- (4) Improve student achievement through school improvement and reform

ELIGIBILITY: All LEAs and charter schools that have a FY 2007-2008 Homeless Student Count of 15 or more and submit an application for approval for the grant.

FORMULA: Funds will be allocated according to the following categories of numbers of homeless students identified and enrolled in school based on the 2007-2008 C SPR.

Each LEA will be allocated a base amount per a range of homeless students identified and enrolled in school based on 2007-2008 C SPR data:

Homeless Students	Base Allocation
15-50	\$5,000
51-100	\$15,000
101-300	\$20,000
301-700	\$30,000
Greater than 700	\$40,000

Base amounts are estimates based on 2007-2008 Homeless Student Count. If funds are remaining after base allocation, the remaining funds will be allocated on a per pupil basis for the number of homeless students identified in 2007-2008.

**SPECIAL PROVISIONS:**

1. NC DPI must award the funds to LEAs on or before August 8, 2009. (See the U.S. Department of Education *Guidance on*

*McKinney-Vento Homeless Children and Youth Program Funds Made Available under the American Recovery and Reinvestment Act of 2009 at*

<http://www.ed.gov/policy/gen/leg/recovery/guidance/homeless.pdf>)

2. The intent is for LEAs to spend the funds within a year to meet the greatest economic needs, but LEAs are allowed to spend funds over a two-year period and have until September 30, 2011 to obligate the funds.
3. Funds must be spent on allowable activities for subgrants listed in Section 723 of the McKinney-Vento Act.
4. ARRA funds must be tracked separately from other funding.
5. LEAs and charter schools with awards must sign assurances that they will submit all required reports and submit to all monitoring requirements.
6. Any funds remaining unallotted at the end of the first year will be reallocated to LEAs and Charters with approved application on a dollar per homeless student basis.
7. Unallotted funds will be allocated to approved eligible recipients.
8. ARRA requires regular reporting to the federal government as part of the transparency and accountability assurances. For most PRCs, DPI will send the data to the federal government on behalf of the LEAs and charter schools using the monthly UERS data. However, there may be some occasions where the requested data is not readily available and will have to be independently collected from the LEAs and charter schools via a survey or BUD. All LEAs and charter schools that accept ARRA funds are required to comply with these data requests and reporting requirements by the stated deadline.

**McKINNEY – VENTO HOMELESS ASSISTANCE ACT**

PROGRAM REPORT CODE: 026  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-026-XXX  
 CFDA #: 84.196A

TYPE: Dollars

TERM: Up to 27 months

PURPOSE: To provide funds to develop services to meet the educational and related needs of homeless students (e.g. tutoring, counseling, enrollment, attendance, staff development, parent training, etc.).

**ELIGIBILITY:**

LEAs including charter schools which have identified 50 or more homeless students, may submit an application. LEAs including charter schools, which have identified less than 50 homeless students, may submit an application as a consortium with LEAs whose total number of identified homeless students is greater than 50.

**State Board of Education approval is required before allocation of funds to selected LEAs/charter schools.**

FORMULA: Total funding to LEAs/charter schools is subject to receipt of approved allocations from the U.S. Department of Education.

**SPECIAL PROVISIONS:**

1. Priority must be given to homeless students. After the educational and related needs of homeless students are met, other at-risk children are eligible to receive services.
2. Each project is awarded funds for a period of time beginning July 1st and ending September 30th of the following fiscal year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carryover for an additional 12 months. Funds are potentially available to LEAs/charter schools for 27 months, provided a timely budget is submitted each year.
3. Program Administrators will notify the School Allotments Section of any LEA/charter school that does not have an approved budget by October 31st. After this notification, funds carried forward will be reduced from the LEA's/charter school's budget.
4. Any unallotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.
5. The sub-grant award serves the FY 2011-12, FY 2012-13, and FY 2013-14 school years.

**NATIONAL CLEAN DIESEL FUNDING ASSISTANCE PROGRAM - (ARRA) - GRANT (Ended)**

PROGRAM REPORT CODE:	150
UNIFORM CHART OF ACCOUNTS:	XXXX-150-XXX
CFDA#:	10.579
PR/AWARD #:	2A-95422609-0

TYPE: Dollars

TERM: Up to 17 Months

**PURPOSE:**

Provide funds to reduce diesel emissions and protect human health and the environment by retrofitting diesel control devices with a particulate removal efficiency of 25% or greater on 121 school buses and retire six buses replacing them with newer cleaner 2010 engines in Wilson, Edgecombe, Pitt, and Nash counties.

**ELIGIBILITY:**

Grants will be made only to LEAs awarded by the Environment Protection Administration (EPA) under the American Recovery and Reinvestment Act (ARRA).

FORMULA: N/A

**SPECIAL PROVISION:**

ARRA requires regular reporting to the federal government as part of the transparency and accountability assurances. For most PRCs, DPI will send the data to the federal government on behalf of the LEAs and charter schools using the monthly UERS data. However, there may be some occasions where the requested data is not readily available and will have to be independently collected from the LEAs and charter schools via a survey or BUD. All LEAs and charter schools that accept ARRA funds are required to comply with these data requests and reporting requirements by the stated deadline.

**RACE TO THE TOP (RttT)**

PROGRAM REPORT CODE:	156
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-156-XXX
CFDA#	84.395
PR Award#	S395A100069

TYPE: Dollars

TERM: August 24, 2010 – August 31, 2014

PURPOSE: This grant is designed to spur public school innovation, and is a key component of North Carolina's work to continue school improvement

**ELIGIBILITY:**

All LEA/charter schools that received Title I funding in FY 2009-10 (regular and ARRA) and who elected to participate by submitting a RttT Detailed Scope of Work (DSW) by November 9, 2010.

**Current DSW must be approved prior to the LEA/charter school receiving the allotment.**

FORMULA: To determine each LEA's and Charter school's allocation, total the two Statewide allocations (2009-10 regular and ARRA) and divide each receiving LEA and Charter's total for that year by the grand total (2009-10 regular and ARRA) for all LEAs and Charters. The resulting % of the total is the exact same percent that was applied to the LEA/Charter 50% portion of the RttT grant to determine the total RttT allocation for an LEA or Charter.

**SPECIAL PROVISIONS:**

1. There is no Administrative cap, but LEAs/Charters are encouraged to keep the administrative costs to a minimum.
2. Program Administrators will notify the School Allotments Section of any LEA/charter school that does not have an approved budget by October 31st. After this notification, funds carried forward may be reduced from the LEA's/charter school's budget.
3. Funding for LEAs/Charter without an approved DSW is reallocated to participating LEAs/Charters on a prorated basis in alignment with the initial allotment formula.
4. Supplanting, or the replacement of state funds with federal funds, is not prohibited under the Race to the Top guidelines. However, RttT funds are not to be used to supplant funding for basic school operations (other fund sources, including EduJobs and SFSF may be used for this purpose.) RttT expenditures must be either for a new program or an enhancement or expansion of an existing program that is aligned with the RttT initiatives.
5. Prorated reductions were made from each allocation to cover the cost of implementing the NCEdCloud on behalf of all LEAs/Charters.

**RACE TO THE TOP – Regional Leadership Academy**

PROGRAM REPORT CODE: 157  
UNIFORM CHART OF ACCOUNTS CODE: XXXX-157-XXX  
CFDA# 84.395  
PR Award# S395A100069

TYPE: Dollars  
TERM: August 24, 2010 – August 31, 2014

PURPOSE: In accordance with North Carolina’s Race to the Top (RttT) Grant, Regional Leadership Academies (RLA) will recruit highly-qualified teachers and prepare them to become effective principals for low-achieving schools.

ELIGIBILITY:  
An LEA acting as fiscal agent for a RLA will be allotted funds for the RLA based on the contract amounts.

**Contract must be approved prior to the LEA/charter school receiving the allotment.**

FORMULA: Allocations to eligible LEAs/charter schools are made based on the contract with the RLA for which the LEA/Charter serves as fiscal agent.

SPECIAL PROVISION:  
Aspiring principals who are accepted into a RLA will participate in the preparation program as defined.

**RACE TO THE TOP- Recruitment Incentive Low Achieving Schools**

PROGRAM REPORT CODE:	158
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-158-XXX
CFDA#	84.395
PR Award#	S395A100069

TYPE: Dollars

TERM: August 24, 2010 - August 31, 2014

PURPOSE: In accordance with North Carolina's Race to the Top (RttT) Grant, an incentive award will be distributed as compensation to certified personnel in lowest-achieving schools that meet performance criteria.

**ELIGIBILITY:**

Schools: There are 118 lowest-achieving schools, as defined in the NC RttT Grant Application. To be eligible for the incentive compensation, the school must be one of these 118 schools, and must achieve higher than expected improvements in the previous school year, as determined by ABC results.

Person: An eligible person is a certified employee of an eligible school described above, who is required to be paid from the NC certified salary schedule, and coded to a lowest-achieving school. An eligible person may only include principal, assistant principal, teacher, and student services personnel.

Eligible person must be in pay status for 45 consecutive days during the school year to be eligible for all or part of the incentive. Days on short term or long term disability, workers compensation or leave without pay may not be included in the 45 consecutive days.

Certified personnel, who work more than 45 consecutive days and less than the school year, shall be eligible for a pro-rata portion of the incentive.

Part-time personnel are eligible for a pro-rata portion of the compensation incentive. Part-time employees must work at least 20 hours at the school to be eligible for a pro-rata portion.

Substitutes are not eligible. Interim teachers who meet the other eligibility requirements are eligible.

FORMULA: A certified person is eligible for a maximum of \$1,500. An eligible person who returns to the same persistently low achieving school in 2011-12 shall receive 100% of their bonus. An eligible person who does not return shall receive 50% of their eligible bonus.

**SPECIAL PROVISION:**

Funding will be reverted as of the February expenditure reports.

**RACE TO THE TOP – STEM**

PROGRAM REPORT CODE:	159
UNIFORM CHART OF ACCOUNTS CODE:	XXXX-159-XXX
CFDA#	84.395
PR Award#	S395A100069

TYPE: Dollars

TERM: August 24, 2010 - August 31, 2014

PURPOSE: In accordance with North Carolina's Race to the Top (RttT) Grant, provide funding for the 20 anchor and affinity network STEM schools, as identified by the grant, for purchase of STEM related equipment, and for a summer program for development and/or review of STEM curriculum.

ELIGIBILITY: Twenty (20) identified RttT STEM schools, 4 anchor schools and 16 affinity network schools, are eligible for funding.

**Application for funding must be approved prior to the LEA/charter school receiving the allotment.**

FORMULA: Equipment funds are allotted on a per school basis, with a flat amount available to each school. Funds for summer curriculum development and/or review are based on the state average teacher salary and the number of teachers participating in the summer program.

**SPECIAL PROVISIONS:**

1. In order to receive the equipment allotment, schools must submit a plan detailing the equipment to be purchased, the cost, and how this equipment will further the school's RttT initiative. The plan must be approved by the NCDPI Director of STEM Education and Leadership.
2. Teachers participating in the curriculum development and/or review are selected by the schools.
3. Selected teachers must be approved by the NCDPI Director of STEM Education and Leadership and the NC New Schools Project.

**RURAL AND LOW INCOME SCHOOLS (RLIS)**

PROGRAM REPORT CODE: 109  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-109-XXX  
 CFDA# 84.358

TYPE: Dollars  
 TERM: Up to 27 months

PURPOSE: To provide additional resources for rural and low income schools that might otherwise receive formula allocations in amounts too small to be effective in meeting their intended purpose.

**ELIGIBILITY:**

All of the following conditions must be true for a LEA/charter school to receive funding:

1. 20% or more of the children ages 5 to 17 served by the LEA/charter school are from families with incomes below the poverty line.
2. All schools in the LEA/charter school must be designated as school locale code 6, 7, or 8 (school locale codes 6, 7, and 8 have less than 25,000 people); and
3. The LEA/charter school is ineligible to receive a grant from the Small, Rural Schools Act (SRSA).
4. Eligibility is subject to a final determination by the U.S. Department of Education.

**Application must be approved prior to the LEA/charter school receiving the allotment.**

FORMULA: Allocations to eligible LEAs/charter schools are made on the basis of ADM.

**SPECIAL PROVISIONS:**

1. Each project can be awarded funds for a period of time beginning July 1st and ending September 30th the following year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over. Funds are potentially available to LEAs/charter schools for 27 months, provided a timely project application is submitted each year.
2. Program Administrators will notify the School Allotments Section of any LEA/charter school that does not have an approved budget by October 31st. After this notification, funds carried forward will be reduced from the LEA's/charter school's budget.
3. Reallocation of current year funds: Funding for the current year that is not allocated will be distributed to eligible LEAs/charter schools based on the current formula or program needs by February.
4. Any un-allotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.

**SMALL, RURAL SCHOOLS ACHIEVEMENT PROGRAM (SRSA)**

PROGRAM REPORT CODE: 091  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-091-XXX  
 CFDA #: 84.358

TYPE: Dollars  
 TERM: Up to 27 months

PURPOSE: To give small, rural school districts more flexibility so that allocations under certain programs can be combined to more effectively raise students' academic achievement.

**ELIGIBILITY:**

To be eligible, a LEA/charter school must:

1. Have less than 600 students served by the LEA/charter school or have a total population density of fewer than 10 persons per square mile in each county in which a school served by LEA/Charter is located and;
2. Be designated as a School Locale Code 7 or 8. (School Locales 7 and 8 have less than 2,500 people).
3. Eligibility is subject to a final determination by the U.S. Department of Education.

**Application must be approved prior to the LEA or charter school receiving the allotment.**

**FORMULA:**

Under the SRSA program, eligible LEAs/charter schools may combine funds allocated under Education Technology (PRC 107), Teacher Quality (PRC 103), and Safe/Drug-Free Schools (PRC 048), and Innovative Programs (PRC 059) and use them for any of the following activities authorized under:

- Title I Basic (PRC 050)
- Improving Teacher Quality (PRC 103)
- Educational Technology (PRC 107)
- English Language Acquisition (PRC 104)
- Safe/Drug Free Schools (PRC 048) – *No current year funding.*
- 21st Century Community Learning Centers (PRC 110)
- Innovative Programs (PRC 059) – *No current year funding.*

**SPECIAL PROVISIONS:**

1. Each project can be awarded funds for a period of time beginning July 1st and ending September 30th the following year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over. Funds are potentially available to LEAs/charter schools for 27 months, provided a timely project application is submitted each year.

2. Program Administrators will notify the School Allotments Section of any LEA/charter school that does not have an approved budget by October 31st. After this notification, funds carried forward will be reduced from the LEA's/charter school's budget.
3. If an LEA or Charter is eligible for the Small Rural Achievement Program in one fiscal year and not the next, carryover funds will be unallotted under PRC 091 and allotted back into the original PRC using a pro-rata distribution. The LEA or Charter must receive approval of their carryover budget in the original PRC to be able to spend the carryover funds.

**TITLE II IMPROVING TEACHER QUALITY**

PROGRAM REPORT CODE: 103  
 UNIFORM CHART OF ACCOUNTS: XXXX-103-XXX  
 CFDA#: 84.367A

TYPE: Dollars

TERM: Up to 27 Months

PURPOSE: Provides funding to help increase the academic achievement of all students by ensuring that all teachers are highly qualified to teach.

ELIGIBILITY: LEAs, charter schools, and Private Schools are eligible to apply for funding. Private Schools will be required to collaborate with LEAs when applying. **Application must be approved prior to the LEA/charter school receiving the allotment.**

**FORMULAS:**

Allocations to LEAs are based on the following:

1. A “hold-harmless” amount will be allotted based on the amount each LEA/charter school received in FY 2001-2002 under the former Eisenhower Professional Development and Class-Size Reduction grants.
2. If a new LEA or charter school is created, a hold harmless amount is calculated for the new unit by using the FY 2001 – 02 funding factors.
3. The remaining will be allotted based on the following formula:
  1. Twenty percent (20%) will be distributed based on relative population of children ages 5-17.
  2. Eighty percent (80%) will be distributed based on the relative population of children ages 5-17 from families with incomes below the poverty line.

**SPECIAL PROVISIONS:**

1. Each project can be awarded funds for a period of time beginning July 1st and ending September 30th of the following year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over for an additional 12 months. Funds are potentially available to LEAs/charter schools for 27 month, provided a timely project application is submitted each year.
2. There is no limit on the amount that a LEA/charter school may spend for administrative costs. However, all costs are subject to requirements in OMB Circular A-87 to include the requirement that all costs must be necessary, reasonable, and allocable to the program.
3. Program Administrators will notify the School Allotments Section of any LEA/charter school that does not have an approved budget by October 31st. After this notification, funds carried forward will be reduced from the LEA’s/charter school’s budget.

4. Reallocation of current year funds: Funding for the current year that is not allocated will be distributed to eligible LEAs/charter schools based on the current formula or program needs by February.
5. Any un-allotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.

**TITLE III - LANGUAGE ACQUISITION**

PROGRAM REPORT CODE: 104  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-104-XXX  
 CFDA# 84.365A

TYPE: Dollars

TERM: Up to 27 months

PURPOSE: To help ensure that children who are Limited English Proficient (LEP), including immigrant children and youth, attain English proficiency, develop high levels of academic attainment in English and meet the same State academic content and student achievement standards of non-LEP children, and to assist LEAs/charter schools in building their capacity to establish, implement, and sustain language instructional educational programs and programs of English language development for LEP children.

**ELIGIBILITY:**

LEAs/charter schools having a sufficient number of Limited English Proficient students are eligible for funding.

**Application must be approved prior to the LEA/charter school receiving the allotment. Applications are due in May of each year.**

FORMULA: Funds are allocated on the basis of an annual headcount of the LEA's/charter school's LEP students, including immigrant students and youth.

**SPECIAL PROVISIONS:**

1. Any LEA/charter school earning less than \$10,000 based on the formula must enter a consortium with other LEAs/charter schools.  
**For any new consortia created as of 2006: No more than five LEAs are allowed in one consortium. Contact program administrators for the state consortium guidelines, as well as consortium guidance from the Office of English Language Acquisition at the US Dept. of Education.**
2. If an eligible LEA/charter school is a member of a consortium receiving an award under PRC 104, the LEA/charter school's PRC 104 award shall be allotted to the fiscal agent for the consortium and the sum total of awarded funds shall be used to benefit all of the members of the consortium.
3. Each project can be awarded funds for a period of time beginning July 1st and ending September 30th the following year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over. Funds are potentially available to LEAs/charter schools for 27 months, provided a timely project application is submitted each year.
4. Program Administrators will notify the School Allotments Section of any LEA/charter school that does not have an approved budget by October 31st. After this notification, funds carried

forward will be reduced from the LEA's / charter school's budget.

5. Funds must be used to supplement and not supplant existing resources.
6. Administration expenditures are limited to 2% of total expenditures.
7. One-day or short-term workshops and conferences are not permitted unless the activity is a part of an established comprehensive professional development program for an individual teacher.
8. Reallocation of funds: Funding for current year projects not allocated will be redistributed to eligible units based on the current formula or program needs as determined by grant requirements by February.
9. Any un-allotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous years' funds have been used.

**TITLE III - LANGUAGE ACQUISITION (SIGNIFICANT INCREASE)**

PROGRAM REPORT CODE: 111  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-111-XXX  
 CFDA# 84.365A

TYPE: Dollars

TERM: Up to 27 months

PURPOSE: To provide funds for enhanced instructional opportunities for immigrant children and youth designed to assist them in achieving in elementary and secondary schools, including activities designed to assist parents in becoming active participants in the education of their children and activities designed to support personnel to provide services to immigrant children and youth. Also provides funds for additional basic instructional services that are directly attributable to the presence of immigrant children and youth.

**ELIGIBILITY:**

LEAs/charter schools:

LEAs/charter schools having a significant increase in the percentage of immigrant students enrolled as compared to the average of the two preceding fiscal years.

**Application must be approved prior to the LEA/charter school receiving the allotment. Applications are due in May of each year.**

FORMULA: Funds are allocated on the basis of an annual headcount of the LEA/charter schools immigrant students.

**SPECIAL PROVISIONS:**

1. If an eligible LEA/charter school is a member of a consortium receiving an award under (PRC 104) and also receives an award under Title III– Significant Increase (PRC 111), the significant increase funds shall be allotted to the specific LEA. The LEA recipient may choose to expend PRC 111 funds only for their LEA OR to combine their awarded funds to benefit all the members of the consortium. The LEA and/or consortium Title III Application(s) must reflect how the funds will be expended.
2. Each project can be awarded funds for a period of time beginning July 1st and ending September 30th the following year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over. Funds are potentially available to LEAs/charter schools for 27 months, provided a project is submitted each year.
3. LEA must be able to show how PRC 111 funding provides enhanced instructional opportunities for immigrant children and youth.
4. Program Administrators will notify the School Allotments Section of any LEA / charter school that does not have an approved budget by October 31st. After this notification, funds carried forward will be reduced from the LEA's / charter school's budget.

5. Reallocation of funds: Funding for current year projects not allocated will be redistributed to eligible units based on the current formula or program needs as determined by grant requirements by February.
6. Any un-allotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous years' funds have been used.
7. Funds must be used to supplement not supplant existing resources.

**TITLE IV- SAFE AND DRUG-FREE SCHOOLS AND COMMUNITIES****NO CURRENT YEAR FUNDING**

PROGRAM REPORT CODE: 048  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-048-XXX  
 CFDA #: 84.186A

TYPE: Dollars

TERM: Up to 27 months

PURPOSE: Provides funding to support programs that involve parents and communities in preventing violence in and around schools; and preventing the illegal use of alcohol, tobacco, and drugs.

ELIGIBILITY: Each LEA/charter school is entitled to funding. **Application must be approved prior to the LEA or charter school receiving the allotment.**

**FORMULA:**

Of the total funds available to State Aid, allocations will be made to LEAs based on the following formula:

1. Forty percent (40%) will be allotted on the basis of ADM, including private school enrollment.
2. Sixty percent (60%) will be allotted on the basis of the relative amount received under Title I, Part A for the preceding year.

**SPECIAL PROVISIONS:**

1. Each project is awarded funds for a period of time beginning July 1st and ending September 30th of the following year. The Tydings Amendment can extend the grant period to 27 months by allowing unexpended funds as of September 30th to carry over for an additional 12 months. Funds are potentially available to LEAs/charter schools for 27 months, provided a timely project is submitted each year. Carryover funds are subject to applicable carryover percentage limitations.
2. Of the amount available for state aid funding, administrative cost is limited to two percent (2%).
3. Program Administrators will notify the School Allotments Section of any LEA/charter school that does not have an approved budget by October 31st. After this notification, funds carried forward will be reduced from the LEA's/charter school's budget.
4. The amount of funds a LEA/charter school may carry over for use in the following fiscal year at September 30th is limited to 25% of the original appropriation. Funds in excess of percentage limitations will revert and will be re-allotted to LEAs/charter schools determined to have the greatest need for additional funds. A LEA/charter school may submit a request in writing to the Division of School Improvement, Instructional Support/Safe Schools Section, for a waiver of the carryover percentage limitations.
5. LEAs will be reduced for new charter schools that apply for funding. The amount allocated will be based on the charter schools enrollment in accordance with the formula listed above.

6. For charter schools to be eligible for funding, they must submit an application by the application deadline date.
7. Reallocation of current year funds: Funding for the current year that is not allocated will be distributed to eligible LEAs/charter schools based on the current formula or program needs by February.
8. Any un-allotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.

**TITLE IV - 21st CENTURY COMMUNITY LEARNING CENTERS**

PROGRAM REPORT CODE: 110  
 UNIFORM CHART OF ACCOUNTS: XXXX-110-XXX  
 CFDA#: 84.287C

TYPE: Dollars

TERM: Up to 12 Months

PURPOSE: The purpose is to establish 21<sup>st</sup> Century Community Learning Center (21<sup>st</sup> CCLC) programs that provide students with afterschool academic and enrichment opportunities, along with activities designed to complement the regular school day. An emphasis must be on providing literacy and math strategies, as well as a broad array of additional services that may include the following: youth development activities, drug and violence prevention, counseling and mentoring, art, music and character education. Families of participating students must also be provided an opportunity to receive services. All services must be offered to students scoring at levels I and II on the statewide testing program, and must adhere to the guidance provided by the state and federal guidance.

**ELIGIBILITY:**

LEAs, Charter and Private Schools, and public or private organizations are eligible to apply for funding. Grants will be made based on a competitive process, with recipients to be funded and selected on the basis of criteria specified in the Request for Proposal (RFP).

**State Board of Education approval is required before allocation of funds.**

FORMULA: N/A

**SPECIAL PROVISIONS:**

1. Grants will be awarded for four years with a gradual reduction in each of the last two years of the grant period, beginning with 20% in the third year and 40% in the fourth year. This reduction is to encourage programs to become sustainable when the grant period expires. Funding for each of the four years will be subject to the availability of funds and will be based on evidence of compliance with the Request for Proposal (RFP), state and federal guidance, and program progress and performance.
2. Each grantee can be awarded funds for the academic year starting on July 1<sup>st</sup> and ending on June 30<sup>th</sup>. Unspent funds remaining after June 30<sup>th</sup> of each year will revert without a liquidation period.
3. The minimum amount of an allotment to eligible entities is \$50,000, and the maximum amount is not to exceed \$400,000.00 unless the program administrators, with State Board approval, deem another amount as necessary to operate a program.
4. Any un-allotted funds created from previous years will be used to cover allowable expenditures for current year projects until the previous year's funds have been used.

**TITLE IV - 21st CENTURY COMMUNITY LEARNING CENTERS (CCLC) – Summer Program Mini Grants**

PROGRAM REPORT CODE: 113  
 UNIFORM CHART OF ACCOUNTS: XXXX-113-XXX  
 CFDA#: 84.287C

TYPE: Dollars

TERM: Up to 5 Months

PURPOSE: To establish summer programs that provide students with academic enrichment opportunities along with activities designed to complement the regular school program.

**ELIGIBILITY:**

LEAs, Charter and Private Schools, and public or private organizations are eligible to apply for the funding. Grants will be made based on a competitive process with recipients to be funded and selected on the basis of criteria specified in the 21<sup>st</sup> CCLC application.

**State Board of Education approval is required before allocation of funds.**

FORMULA: N/A

**SPECIAL PROVISIONS:**

1. Each grantee may be awarded funds for up to five (5) months, to start after the end of the regular school year., All funds are to be used to implement and operate a 21<sup>st</sup> Century Community Learning Center Summer Program. The funds will expire on September 30, with no liquidation period beyond that date.
2. The minimum amount of an allotment to eligible entities is \$50,000, and the maximum amount must not exceed \$75,000 unless the program administrator, with State Board approval, determines that amount another amount is necessary to operate the summer enrichment program.

**TITLE V- State Abstinence Education Grant Program (AEGP)****Funded Fiscal Year 2010 through Fiscal 2014**

PROGRAM REPORT CODE: 101  
 UNIFORM CHART OF ACCOUNTS CODE: XXXX-101-XXX  
 CFDA #: 93.235

TYPE: Dollars

TERM: Up to 12 months

PURPOSE: Provides funding to enable the State to implement abstinence education programming as defined by Section 510(b) of the Social Security Act (42 U.S.C. 710 (b)). These programs may also include mentoring, counseling and adult supervision services that promote abstinence from sexual activity.

ELIGIBILITY: The program must serve grade(s) 4 through 6 in 19 high need LEAs/charter schools. LEAs are prioritized based on high teen pregnancy and birth rates, high rates of children aged 0-17 in foster care, high free and reduced lunch eligibility and academic risk factors (e.g. poor attendance and low graduation rates).  
 Note: Due to federal guideline changes, States are limited to the number of sub-awardees to fund.

**SPECIAL PROVISIONS:**

1. The grant period is from October 1<sup>st</sup> to September 30<sup>th</sup> of the following year. Funds must be obligated by September 30<sup>th</sup> and liquidated by December 31<sup>st</sup>. Any funds that remain unobligated or unliquidated after December 31<sup>st</sup> will revert to the State with no liquidation period beyond that date.
2. Carryover of funds is not applicable to this grant.
3. Program Administrators will notify the School Allotments Section of any LEA/charter school that does not have an approved plan and budget by January 31<sup>st</sup>. After this notification, funds carried forward will be reduced from the LEA's/charter school's budget.
4. Reallocation of current year funds: Funding for the current year that is not accepted by a prioritized LEA or is not approved by January 31<sup>st</sup> may be distributed to other eligible LEAs/charter schools based on program needs.