

IDEA, Part B (611)
Grant – (PRC 060)

'A Closer Look'

**Financial/Business Services
Summer Conference
July 28, 2011**

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NCDPI – Exceptional Children Division

IDEA, Part B (611) Grant

- Maintenance of Fiscal Effort (MOE)
- Coordinated Early Intervening Services (CEIS)
- Eligible Parentally-Placed Private School Children
- Use of Funds



MOE

- Level of expenditures to maintain from fiscal year to fiscal year
- Budget documentation - eligibility requirement for IDEA, Part B funds
- MOE documentation - compliance requirement for IDEA, Part B funds
- Worksheet /Justification forms
www.ncpublicschools.org/fbs/finance/federal
- New FY 2011-12 application
 - Total local expenditures only
 - Per child expenditures



2. MAINTENANCE OF FISCAL EFFORT

*** First Preceding Fiscal Year amount must be equal to OR more than Second Preceding Fiscal Year ***

If the level of expenditures (local, or State and local) are reduced, an explanation letter must be submitted by September 30th from LEA superintendent to Exceptional Children Division director for approval.

(a) Enter LEA budget and prior year expenditures for the education of students with disabilities. (34 CFR 203) Funds Budgeted FY 2011-2012 in each funding source must equal or exceed Funds Spent FY 2010-2011, or most recent prior fiscal year data is available, in the same funding source unless an exception and/or adjustment is documented below in section (b) for an eligible reduction allowance.

Selected Budget Method
2011-2012

(one must be selected)

- (1) State and Local Expenditures
- (2) Local Expenditures Only
- (3) Per Child State and Local Expenditures
- (4) Per Child Local Expenditures Only

Selected MOE Method
2010-2011

(one must be selected)

- (1) State and Local Expenditures
- (2) Local Expenditures Only
- (3) Per Child State and Local Expenditures
- (4) Per Child Local Expenditures Only

Methods for Determining MOE	(I) Funds Budgeted 2011-2012	(II) Funds Spent 2010-2011 <i>First Preceding Fiscal Year</i> Due by September 30th	(III) Funds Spent 2009-2010 <i>Second Preceding Fiscal Year</i>	Reduction Amount 2010-2011
(1) Total State and Local Expenditures	<input type="text"/>	<input type="text"/>	4510	
(2) Total Local Expenditures Only	<input type="text"/>	<input type="text"/>	5000	
(3) Per Child State and Local Total Expenditures	<input type="text"/>	<input type="text"/>		
(4) Per Child Local Total Expenditures Only	<input type="text"/>	<input type="text"/>		

(b) Reduction Allowances (check all that apply)

34 CFR §300.204 Exception:

- Voluntary departure, by retirement or otherwise, or departure for just cause, of special education or related services personnel;
- Decrease in the enrollment of children with disabilities;
- Termination of costly expenditures for long-term purchases, such as the acquisition of equipment or the construction of school facilities;
- Termination of an exceptionally costly obligation to a particular child with a disability because the child: (check all that apply)
 - Has left jurisdiction;
 - Has reached the age at which the obligation to provide a free appropriate public education (FAPE) to the child is terminated; or
 - No longer needs the program of special education.
- Assumption of cost by a high cost fund operated by the State Education Agency under 34 CFR 300.704(c).

34 CFR §300.205 Adjustment

Total LEA Part B allocation under section 611 for current grant fiscal year exceeds the amount the LEA received for the previous fiscal year; and LEA meets the following criteria for reduction of no more than fifty percent (50%) of the amount of the excess which will be used for Elementary & Secondary Education Act (ESEA) activities:

- Receives increase in total IDEA section 611 funds since the previous fiscal year;
- “Meets Requirements” under IDEA section 616 determinations;
- Has not had action taken against it by the state education agency under IDEA section 616;
- Has not had responsibility for providing a free appropriate public education (FAPE) taken from it by the state education agency;
- Has not been found by the state education agency to have significant disproportionality under 34 CFR §300.646; AND
- If elects permissive use of coordinated early intervening services (CEIS) under CFR §300.226, pursuant to this adjustment section the

- CEIS amount the LEA intends to use is less any amount reduced for the maintenance of fiscal effort and
- CEIS and reduction total amounts together do not exceed the lesser of the total amount available for the reduction (maximum 50% of excess amount) or CEIS (maximum 15% of total allocation under sections 611 and 619).

CEIS

- Students K-12 (particularly K-3) not currently identified as needing special education
- Students who need additional academic and behavioral support to succeed in general education
- Permissive use – up to 15% of total IDEA Part B funds (611+619)
- Mandatory use – 15% of total IDEA Part B funds (611+619)
- Funds transfer from PRC 060 to PRC 070
- IDEA, Part B funds eligibility requirement



Adidas County Schools

IDEA - Part B (611) Grant

DRAFT -- This Plan Has Not Yet Been Approved by the
NC Department of Public Instruction Exceptional Children Division.

Early Intervening Services and Permissive Use Description REQUIRED SECTION OF IDEA, PART B

LEAs identified as having significant disproportionality with respect to identification of children as children with disabilities, or placement are required to reserve the maximum amount (15%) of the allotment to provide comprehensive coordinated early intervening services (300.205, 300.226 and 300.646). LEAs not identified as having significant disproportionality, may use up to 15% of their allotment for early intervening services. (300.208) LEAs assure that funds used for coordinated early intervening services will supplement, not supplant, other Federal, State and local funds including funds made available under the ESEA.

1. Describe the district-wide implementation of EIS or permissive use of funds.
2. Describe the group of students who have been targeted for EIS:
3. Describe the data used in determining what students were targeted:
4. Describe how the LEA will track the effectiveness of the EIS (ie: did the student end up in special education):
5. Describe how the funds will be expended (example: a portion of an individual's salary, supplies and materials, etc.):
6. Number of students receiving Early Intervening Services in 2010-2011:
7. Total number of students who received Early Intervening Services under the IDEA anytime in the past three school years (2008-2009, 2009-2010 and 2010-2011) and received special education and related services in 2010-2011.:

Eligible Parentally -Placed Private School Children

- Students enrolled in a nonprofit institutional day or residential school that provides elementary / secondary education as determined under State law, except not exceeding grade 12 for secondary school
- Does not include children enrolled by public agency
- District responsibility - LEA where private school is located
- Annual child count between October 1 and December 1
- Required proportionate share federal expenditure



B. Non-Profit Parentally Placed Private School Children**For each category that is not applicable, enter a zero****Carry-Over Funds from 2010-2011 School Year Proportion Share Calculation for Parentally-Placed School Children with Disabilities****Total**

5000

0

(a) Proportionate Share Amount for Prior School Year:

(b) Total Proportionate Share Amount Expended for Prior School Year:

(c) Carry-Over Funds Proportionate Share:

(Note: The carry-over funds must be added to the proportionate share amount calculated below to determine the total proportionate share for the 2011-2012 school year.)**Private School Counts
** Count in Whole Numbers Only ****

(1) Children in private schools with Service Plans.

(2) Number of Children enrolled in private school who have been evaluated.

Proportionate Share Calculation for Parentally-Placed School Children with Disabilities

(3) Enter Initial Allocation received by LEA:

(Note: If Initial Allocation has not been received, use Planning Allotment received. This item must be amended when Initial Allotment is received.)

(4) Enter the number of Eligible Public and Private School Children:

(5) Enter the number of Eligible Private School Children :

(6) Proportionate Share for Private School Children:

0

(7) Carry-Over Funds Proportionate Share (same as line c above):

0

Total Proportionate Share for the Private School Children FY 2011-2012 :

0

(8) Date of Consultation/meeting with representatives of private school to discuss children with disabilities.

D. PRIVATE SCHOOL PARTICIPATION/PARENTALLY PLACED - ALL REQUIREMENTS BELOW MUST BE ADDRESSED - Specifically describe the procedure implemented to ensure Private School Participation **NOT REQUIRED FOR STATE OPERATED PROGRAMS.**

- Give description of how a timely and meaningful consultation occurs with private school representatives and representatives of parents of a parentally placed private school child with disabilities during the design and development of special education and related services (300.134)
- Give a description of how the process operates throughout the year. (300.134)(c)
- Give description of how (each parentally placed private school child with a disability who has been designated to receive services) the Service Plan is developed addressing the specific special education and related services the LEA will provide (300.138)(b)
- Give description of how parentally placed children are provided services using proportionate share of Part B funds for services included in the student's services plan. Be specific in the statement about *which* children will receive services; *what* services will be provided; *how and by whom* services will be provided; and *where* the services will be provided. (300.134)(d)

“Parentally-Placed”—Expenditures

Number of eligible children with disabilities

In public schools 300

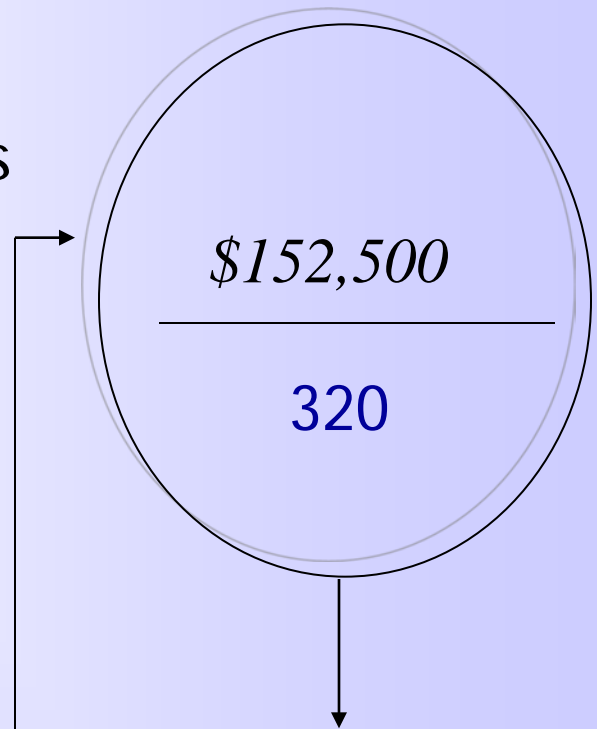
In private schools + 20

320

Federal Part B
Flow-Through

\$\$ \$152,500

LEA receives



\$476.57 a child

x 20
children

\$9,531.25 for
proportionate
share

USE OF FUNDS

- Purpose
 - Instructional Services
 - System-wide support
- Object
 - Salaries & benefits
 - Purchased services
 - Supplies/materials
 - Equipment
 - *How does it improve the education of students with disabilities?*
 - Equipment Disposition Form
www.ncpublicschools.org/fbs/finance/federal
Submit to attention: Kelly Breest
- Narrative & budget agreement



Example . . . Would DPI Approve Budget?

IDEA, Part B (611) Grant Project Narrative

B. USE OF FUNDS - State specifically how funds will be utilized. The narrative must agree with the submitted budget. Employer provided benefits (i.e. social security, retirement, hospitalization, worker's compensation) and supplementary and benefits-related pay (i.e. supplement, bonus, longevity, disability) may be included with salaried positions. Indirect cost and unbudgeted funds may also be included in the budget. The grant cannot be approved until the budget is submitted.

Funds will be used to partially support 1 teacher salary and employer provided benefits for students with a speech impairment at the middle school. Funds will also be used for psychological services provided by a salaried and contracted psychologist for instructional support.

Budget via BUD

Year ending: 06/30/ 2011 PRC: 060 IDEA VI-B HANDICAPPED
Version: 1 Description: 060 IDEA VI-B Rounding: Y
Effective date: 01 / 07 / 2011 To 06 / 30 / 2011 Approval: N not reviewed

---Benefits/indirect cost---				-----			
Hospital	:	\$4,929.00	Approved allotment:	:	\$107,667.40		
Retirement	:	10.5100 %	Estimated carryover	:	\$.00		
Social security:	:	7.6500 %	Total	:	\$107,667.40		
Indirect cost	:	.0000 %	Detail total	:	\$107,667.00		
			Admin carryover	:	\$.00		

X	F	Purp	Obj	Locn	Use	503	R	Soc	Ret	Description	Budget amount
-	3	3600	000	000	000	00				Revenue	\$107,667.40-
-	3	5210	121	000	000	00				EC - SALARY - TEACHER	\$11,661.00
-	3	5210	133	000	000	00				EC - SALARY - PSYCHOLOGIS	\$35,000.00
-	3	5210	211	000	000	00				EC - EMPLOYER'S SOC SEC -	\$3,570.00
											More...
		0000	000	000	000	00					

Fast path: _____ Year ending: 06/30/ _____ PRC: _____ LEA: _____
Enter=Continue F5=Refresh F12=Cancel

Example . . . Would DPI Approve Budget?

IDEA, Part B (611) Grant Project Narrative

B. USE OF FUNDS - State specifically how funds will be utilized. *The narrative must agree with the submitted budget. Employer provided benefits (i.e. social security, retirement, hospitalization, worker's compensation) and supplementary and benefits-related pay (i.e. supplement, bonus, longevity, disability) may be included with salaried positions. Indirect cost and unbudgeted funds may also be included in the budget. The grant cannot be approved until the budget is submitted.*

Funds will be used to partially support 1 teacher salary and employer provided benefits for students with a serious emotional disability in the self-contained classroom at John Doe Elementary School. A salaried psychologist will be employed to provide instructional support. A full-time personal care teacher assistant position will be funded for students with multiple disabilities.

Initial budget via BUD

Year ending: 06/30/ 2011 PRC: 060 IDEA VI-B HANDICAPPED
 Version: 1 Description: 060 IDEA VI-B Rounding: Y
 Effective date: 01 / 07 / 2011 To 06 / 30 / 2011 Approval: N not reviewed

---Benefits/indirect cost---				-----			
Hospital	:	\$4,929.00	Approved allotment:	:	\$107,667.40		
Retirement	:	10.5100 %	Estimated carryover	:	\$.00		
Social security:		7.6500 %	Total	:	\$107,667.40		
Indirect cost	:	.0000 %	Detail total	:	\$107,667.00		
			Admin carryover	:	\$.00		

X	F	Purp	Obj	Locn	Use	503	R	Soc	Ret	Description	Budget amount
-	3	3600	000	000	000	00				Revenue	\$107,667.40-
-	3	5210	121	000	000	00				EC - SALARY - TEACHER	\$11,661.00
-	3	5210	133	000	000	00				EC - SALARY - PSYCHOLOGIS	\$35,000.00
-	3	5210	211	000	000	00				EC - EMPLOYER'S SOC SEC -	\$3,570.00
											More...

0000 000 000 000 00
 Fast path: ____ Year ending: 06/30/ ____ PRC: ____ LEA: ____
 Enter=Continue F5=Refresh F12=Cancel

Example . . . Would DPI Approve Budget?

IDEA, Part B (611) Grant Project Narrative

B. USE OF FUNDS - State specifically how funds will be utilized. *The narrative must agree with the submitted budget. Employer provided benefits (i.e. social security, retirement, hospitalization, worker's compensation) and supplementary and benefits-related pay (i.e. supplement, bonus, longevity, disability) may be included with salaried positions. Indirect cost and unbudgeted funds may also be included in the budget. The grant cannot be approved until the budget is submitted.*

Funds will be used to employ teachers and instructional support personnel, including associated benefits. Specifically, 15 teacher positions and 5 compliance specialist positions will be funded to provide educational and support services to students with disabilities.

Budget amendment via BUD

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Year ending: 06/30/ 2011 PRC: 060 IDEA VI-B HANDICAPPED
Version: 1 Description: 060 IDEA VI-B Rounding: Y
Effective date: 01 / 07 / 2011 To 06 / 30 / 2011 Approval: N not reviewed
---Benefits/indirect cost---
Hospital : $4,929.00 Approved allotment: $107,667.40
Retirement : 10.5100 % Estimated carryover : $.00
Social security: 7.6500 % Total : $107,667.40
Indirect cost : .0000 % Detail total : $107,667.00
Admin carryover : $.00
-----
X F Purp Obj Locn Use 503 R Soc Ret Description Budget amount
-----
- 3 3600 000 000 000 00 Revenue $107,667.40-
- 3 5210 121 000 000 00 EC - SALARY - TEACHER $11,661.00
- 3 5210 133 000 000 00 EC - SALARY - PSYCHOLOGIS $35,000.00
- 3 5210 211 000 000 00 EC - EMPLOYER'S SOC SEC - $3,570.00
More...
0000 000 000 000 00
Fast path: ____ Year ending: 06/30/ ____ PRC: ____ LEA: ____
Enter=Continue F5=Refresh F12=Cancel
    
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