



Public Schools of North Carolina



# Career & College: Ready, Set, Go! NC's Race to the Top (RttT) Initiative

NC Association of School Business Officers

July 27, 2011

# Overview

1. Review “What is RttT?”
2. Reiterate General RttT Requirements & Process for LEAs/Charters
3. Review Budget Process
4. Explain Monitoring Process
5. Address Questions

**Key Take Away = Know how to move forward with your RttT plan!**



# NC RttT Quick Facts

## What is RttT?

- Competitive Grant – NC one of 12
- Focused reform agenda – “four pillars”
- Dollars to help us move ahead “Further and Faster”

# NC RttT Quick Facts

**\$399,465,768**  
for use over 4 years

50% ← → 50%

**\$ 165 m to Districts &  
Charter Schools**

**\$35 m pooled for NC  
Education Technology  
Cloud**

**\$ 199 m spread over 15  
State initiatives  
&  
time-limited, project-  
specific RttT management**

# NC RttT Quick Facts

RttT dollars to be focused on...

- Building Capacity
- Developing Infrastructure
- Creating Sustainable Reform

**Moving NC Further...Faster**



# NC RttT Quick Facts

## RttT dollars...

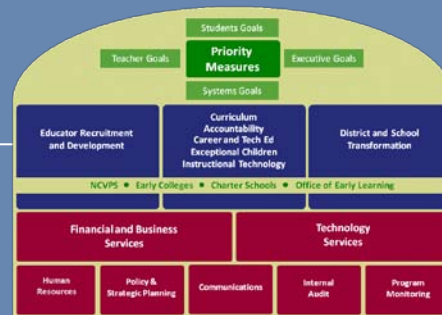
- Released to NC on 1-31-11
- Can be used through 9-23-14
- Must be used in accordance with approved plan

**Moving NC Further...Faster**

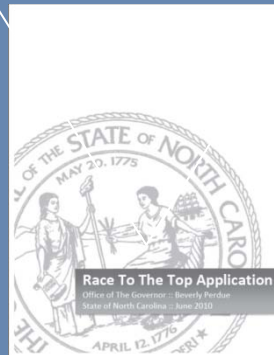


# NC RttT Overarching Goals

Outcome alignment between....



SBE Goals in Navigator



Race to the Top Application

# NC RttT Overarching Goals

- High Graduation Rates
- Strong Student Achievement
- Career- & College-Readiness

**Statewide**



# NC's Aligned Plan



[www.ncpublicschools.org/](http://www.ncpublicschools.org/)



# General Requirements/ Process



# General RttT Requirements

1. Detailed Scopes of Work (DSWs) must address **all RttT Required Activities**
2. Same process as last year...but with more time for support and modification (as needed)
  - **Regional Lead or Charter Consultant** your point of contact /primary support
3. Monitor (look back) & Plan (look ahead)
  - **Both due August 3**

# 2011-12 DSW Submission Timeline

Feb 10, 2011	March & April	June 1-27	August 3 - 16	August 9-22	Sept. 1
<p><b>DPI Finance Informational Webinars (2)</b></p> <ul style="list-style-type: none"> <li>Requirements for submission of budget and detailed plans</li> </ul>	<p><b>DPI Informational Webinars focused on RttT State Initiatives</b></p> <ul style="list-style-type: none"> <li>LEAs &amp; Charters</li> <li>Review Requirements for Local RttT Plans</li> <li>Provide LEAs/Charters with greater detail regarding State Plan (by Initiative)</li> </ul>	<p><b>Regional Support &amp; Planning Meetings</b></p> <ul style="list-style-type: none"> <li>LEAs &amp; Charters</li> <li>Review Informational Webinar Info</li> <li>Review Approval process</li> <li>Review Monitoring Plan</li> <li>Support Updated local DSWs</li> <li>Support Implementation</li> </ul>	<p><b>All Local DSWs &amp; Progress Reports submitted to DPI</b></p> <p><b>All DSWs reviewed by DPI</b></p>	<p>DPI staff follow up with LEAs/Charters to resolve any outstanding issues</p>	<p><b>All Plans Final (posted to RttT Website)</b></p>

**Our Goal: All DSWs approved, All deliverables completed**



# Budget Process



# RttT Program Report Codes

## PRCs:

156 Race to the Top

157 RttT – Regional Leadership Academies

158 RttT – Recruitment Incentive, Low Achieve Schools



# Budget Submission Issues

- Funds cannot be expended against RttT funds unless a budget has been submitted & approved in BUD
- Still LEAs/Charters that have been rejected but have not submitted an amendment
- Check BUD for the approval status
- If you need help getting your budget approved, contact [Samuel.fuller@dpi.nc.gov](mailto:Samuel.fuller@dpi.nc.gov) or [Chris.pond@dpi.nc.gov](mailto:Chris.pond@dpi.nc.gov)



# RttT FY 2011-12 Budgets

- FY 2011-12 budgets must be submitted and approved prior to expending in FY 2011-12
- 24 LEAs & 22 Charters have yet to submit; 30 LEAs & 4 Charters require revision
- Check BUD for your approval status
- Also check BUD after DPI closeout is complete for adjustments to Estimated Carryover, and adjust budgets accordingly



# Allowable Expenditures

- RttT currently operating on an open chart of accounts
  - Most expenditures allowable, provided they have been approved in DSW
  - Indirect costs:
    - Not permitted with contracted services (Object Code 311) or with Capital Outlay expenditures (Object Code 5xx)
- Total of the line item and its respective indirect cost should equal the Budget for that activity***

# Detailed Scopes of Work (DSW)

- Budgets submitted to BUD must match the DSW, for both activity and fiscal year
- Changes to the DSW require submission to DPI of an amended DSW
- You must enter budgets and budget amendments in BUD - and send us an email indicating effective year



# Budget Submission Process

- **Detailed Guidelines in the 2-11-11 Finance Officers Newsletter:**

[www.ncpublicschools.org/docs/fbs/resources/newsletters/fonews/2011fonews.pdf](http://www.ncpublicschools.org/docs/fbs/resources/newsletters/fonews/2011fonews.pdf)

- When submitting a Budget to BUD, also send an email to the Race to the Top email address, Debby Jackson, and Chris Pond:

[racetothetop@dpi.nc.gov](mailto:racetothetop@dpi.nc.gov) , [debby.jackson@dpi.nc.gov](mailto:debby.jackson@dpi.nc.gov) , and [chris.pond@dpi.nc.gov](mailto:chris.pond@dpi.nc.gov)

- Include whether BUD ties to original or amended DSW; *if amended*, attach amended DSW to the email



# Procurement

[www.ncrecovery.gov/Compliance/OERIDirectives.aspx](http://www.ncrecovery.gov/Compliance/OERIDirectives.aspx)

- OERI Directives 3, 3a, and 3b outline the provisions and requirements for purchases using ARRA and RttT funds
- Use the Contracting Checklist to ensure compliance;  
[www.ncpublicschools.org/docs/fbs/arra/allotments/contractingchecklist.pdf](http://www.ncpublicschools.org/docs/fbs/arra/allotments/contractingchecklist.pdf)
- Splitting Purchases to avoid seeking proper competition for a contract purchase is prohibited



# Sole Source

- It is recommended that purchases using recovery funds go through the bid process:

**“When in doubt, bid it out.”**

- RttT Technology Sole Source Guidance  
<http://it.ncwiseowl.org/blog/One.aspx?portalId=11753536>



# Debarment List

- State and local agencies should check the State and Federal Debarment Lists to ensure debarred contractors are not used
- If you have questions or concerns, please contact Stephanie English at [stephanie.english@dpi.nc.gov](mailto:stephanie.english@dpi.nc.gov) or (919) 807-3686



# Whistle Blower

- OERI directives 5 and 6 provide guidance for reporting Allegations of Fraud, Waste and Abuse of ARRA funds
- It is also requires Posting of Whistle Blower rights and Remedies
  - Physical posters are to be posted in a visible place in LEA/Charter school buildings
  - We also recommend posting a link on the LEA/Charters Website that leads to the state or the Federal Whistle Blower websites



# Whistle Blower Links

- [www.dpi.state.nc.us/internalaudit/fraud/](http://www.dpi.state.nc.us/internalaudit/fraud/)
- [www.ncrecovery.gov/reportFraud/reportFraud.aspx](http://www.ncrecovery.gov/reportFraud/reportFraud.aspx)
- US Education Department Office of Inspector General Hotline 1-800-MIS-USED



# Notification

- Chart on DPI website, includes CFDA numbers for all ARRA programs allotted through DPI, along with PRC number, and Federal Award Number
- <http://www.ncpublicschools.org/fbs/arra/allotments/>



# Monitoring Process



# RttT Program Monitoring

1. Required by RttT – plan approved by USED
2. LEAs/Charters will submit “Progress Report” for 2010-11 school year to NCDPI by August 3, 2011
3. Bottom line: “Did you do what you said you were going to do in your state-approved DSW?”
4. Results determine which LEAs/Charters will receive follow up (virtual desk-side reviews or on-site monitoring visits by DPI team)



# Summary

1. Reviewed “What is RttT?”
2. Reiterated General RttT Requirements & Process for LEAs/Charters
3. Reviewed Budget Process
4. Explained Monitoring Process
5. Addressed Questions

**Key Take Away = Know how to move forward with your RttT plan!**

